

	(q) Local Review Body (r) Pension Fund (s) Scrutiny (t) Planning & Building Standards (u) Executive (Economic Dev)	26 January 2015 29 January 2015 29 January 2015 2 February 2015 3 February 2015	Pages 103-114 Pages 115-116 Pages 117-121 Pages 123-132 Pages 133-137	
7.	Open Questions.			15 mins
8.	Scottish Borders Adult Protection Committee Annual Report 2013-2014. (Pages 1 - 36)			10 mins
	Consider report by Chief Social Work Officer. (Copy attached.)			
9.	Scottish Borders Child Protection Committee Annual Report 2013-2014. (Pages 37 - 42)			10 mins
	Consider report by Chief Social Work Officer. (Copy attached.)			
10.	Borders Railway.			
	<p>Scottish Borders Council, Midlothian Council and Edinburgh City Council submitted a bid in this year's COSLA Excellence Awards. The bid entitled "The Borders Railway - Partnership in Action" was nominated as a finalist and judging took place on 4 February. The entry describes the enduring strength of our Partnership over the years to secure delivery of the Borders Railway. It focuses on the high level of innovation required and sets out how we have ensured best practice from this work has been utilised in other areas of work. The presentation includes video clips that set out the historical context, provides the views of the three Council Leaders on the Project and interviews with pupils from Galashiels Academy. The Award Results will be announced as part of the COSLA Conference on 12 March. Officers will give the presentation to Council that was given to the Awards Judging Panel on 4 February.</p>			
11.	Local Flood Risk Management Plans. (Pages 43 - 210)			30 mins
	Consider report by Service Director Commercial Services seeking approval of the draft Local Flood Risk Management Plans for the Forth Estuary Local Plan District, the Tweed Local Plan District, and the Solway Local Plan District. (Copy attached.)			
12.	Jedburgh (Skiprunningburn) Flood Protection Scheme. (Pages 211 - 220)			10 mins
	Consider joint report by Service Directors for Capital Projects and Commercial Services requesting confirmation of the Jedburgh (Skiprunningburn) Flood Protection Scheme 2014. (Copy attached.)			
13.	Integration of Health & Social Care - Establishing a Strategic Planning Group. (Pages 221 - 238)			10 mins
	Consider report by Depute Chief Executive People on the arrangements for establishing a permanent Strategic Planning Group to support the arrangements for the Integration of Health and Social Care in the Borders. (Copy attached.)			
14.	Scheme of Administration - LLP Strategic Governance Group. (Pages 239 - 246)			10 mins
	Consider report by Chief Executive seeking approval for the remit of the LLP			

	Strategic Governance Group which will monitor and regulate SB Cares LLP. (Copy attached.)	
15.	Cultural Strategy for the Scottish Borders. (Pages 247 - 256) Consider report by the Corporate Transformation and Services Director seeking support for a Cultural Strategy for the Scottish Borders, and to agree the Council's role in delivering the Strategy in partnership with cultural sector stakeholders and businesses. (Copy attached.)	10 mins
16.	Integrated Culture and Sport Trust. (Pages 257 - 264) Consider report by the Corporate Transformation and Services Director. (Copy herewith.)	10 mins
17.	Committee Membership. Consider the following appointments: (a) 2 Members of Scrutiny. (b) Vice-Chairman of Scrutiny (member of the Administration) (c) Member of Standards Committee (member of the Administration)	10 mins
18.	Wellbeing and Safety Champion. Consider appointment of Wellbeing and Safety Champion.	2 mins
19.	Any Other Items previously Circulated.	
20.	Any Other Items which the Convener Decides are Urgent.	
21.	Private Business. Before proceeding with the private business, the following motion should be approved:- "That under Section 50A(4) of the Local Government (Scotland) Act 1973 the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in the relevant paragraphs of Part 1 of Schedule 7A to the aforementioned Act."	
22.	Minutes. Consider private Sections of Scottish Borders Council Meetings held on:- 18 December 2014 Page 139 29 January 2015 Pages 141-142	2 mins
23.	Committee Minutes. Consider private sections of the Minutes of the following Committees:- (a) Selkirk Common Good Fund 2 December 2014 Page 143 (b) Pension Fund 11 December 2014 Pages 145-147 (c) Executive (Economic Dev) 3 February 2015 Page 149	2 mins
24.	Waste Treatment Contract.	10 mins

	Consider report by Corporate Transformation and Services Director. (Copy attached.)	
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NOTES

- 1. Timings given above are only indicative and not intended to inhibit Members' discussions.**
- 2. Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.**

Please direct any enquiries to Louise McGeoch Tel 01835 825005
email lmcgeoch@scotborders.gov.uk

**SCOTTISH BORDERS ADULT PROTECTION COMMITTEE
ANNUAL REPORT (2013 – 2014)**

Report by Chief Social Work Officer

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report informs members of the continuing progress in Scottish Borders in the development of an interagency approach to the support and protection of adults who are at risk of harm (as defined in the Adult Support & Protection (Scotland) Act 2007).**
- 1.2 The Annual Report covers the activities of the Scottish Borders Adult Protection Committee during the period 1st April 2013– 31st March 2014 (attached). The report highlights the continuing work being done in the Scottish Borders regarding completing statutory duties in relation to supporting and protecting adults at risk of harm in the area. This includes information on the Adult Protection Committee and its subcommittees; statistical information collated by the Adult Protection Unit; the operational work undertaken in order to meet the statutory requirements of the Adult Support & Protection (Scotland) Act 2007 Act; and the Learning & Development programme adopted by the Scottish Borders.

2 RECOMMENDATIONS

- 2.1 It is recommended that the Council endorses the Annual Report of the Scottish Borders Adult Protection Committee 2013/14 and agrees that it be published on the Council's website and distributed.**

3 BACKGROUND

- 3.1 The interagency Scottish Borders Adult Protection Committee and the Adult Protection Unit were established in 2005. This is the Ninth Annual Report published, on behalf of the Adult Protection Committee.
- 3.2 The Adult Support & Protection (Scotland) Act 2007 was passed by the Scottish Government in February 2007 and received royal assent on 22 March 2007. Part 1 of the Act deals with the protection of adults at risk of harm and was implemented in October 2008. It introduced new measures to protect individuals who fall into the category of 'adults at risk'.

4 ANNUAL REPORT 2013 - 2014

- 4.1 The Annual Report details the range and variety of activity undertaken by the Adult Protection Committee in working together to protect adults at risk in the Scottish Borders, and implement fully the Adult Support & Protection (Scotland) Act 2007.
- 4.2 In order to achieve its objectives, the Adult Protection Committee agreed an 'Interagency Strategy and Plan for Protection of Adults at Risk (2012-2015)' in order to meet the statutory requirements of the Adult Support & Protection (Scotland) Act 2007. There are three subcommittees established in order to help the Adult Protection Committee and the Adult Protection Unit achieve the priorities in the Interagency Strategy.
- 4.3 A review of Adult Protection activity shows an overall increase in protection concerns since 2008. In 2013 – 2014 there were 1253 referrals received where there was a suspicion of an adult at risk of harm. This progressed to 190 Adult Protection concerns being investigated where it was known or believed that an adult was at risk as defined by the Adult Support and Protection (Scotland) Act 2007. 'Adults at risk' are adults who
 - (a) Are unable to safeguard their own well – being, property, rights or other interests
 - (b) Are at risk of harm, and
 - (c) Because they are affected by disability, mental disorder, illness or physical or mental infirmity, are more vulnerable to being harmed than adults who are not so affected.

For those referrals that did not progress to an Adult Protection concern, as defined above, a significant number were signposted to other services for support.

- 4.4 Under the Adult Support & Protection (Scotland) Act 2007, the Council has a duty to inquire and investigate situations where harm is suspected. The majority of inquiries and investigations are managed through the use of supportive interventions without resorting to the use of Protective Orders available via this Act; or other appropriate interventions via the Mental Health (Care & Treatment) (Scotland) Act 2003 or the Adults with Incapacity (Scotland) Act 2000. This is in line with the principles of these Acts and what would be the least restrictive, most beneficial and proportionate response. Most situations are progressed by negotiation and cooperation, and consideration is given to other legal avenues where

appropriate. The sharing of appropriate information between partner agencies has ensured that individuals are suitably protected from harm without unnecessary intervention.

- 4.5 The Learning & Development programme adopted by the Scottish Borders attempts to deliver a bespoke approach to learning. The rolling programme of standard training sessions is open to all agencies (statutory, independent and third sector) based in the Scottish Borders, and the outcomes are based on the national training programme developed for the Scottish Government. It is acknowledged that it is important for all partners to work together in supporting and protecting adults at risk of harm, and multi-agency training helps to deliver this message to people who may have contact with adults at risk. In 2013-2014, a total of 2,031 people completed training modules.

5 IMPLICATIONS

5.1 Financial

There are no costs attached to any of the recommendations contained in this report.

5.2 Risk and Mitigations

This report makes an important contribution to the overall management of risks of the Council and the governance of this important area of activity.

5.3 Equalities

It is anticipated there will be no adverse equality implications due to race, disability, gender, age, sexual orientation or religion/belief arising from the proposals contained in this report.

5.4 Acting Sustainably

There are no known effects on economic, social or environmental implications arising from this report.

5.5 Carbon Management

There are no known effects on carbon emissions arising from this report.

5.6 Changes to Scheme of Administration or Scheme of Delegation

There are no known changes required to either the Scheme of Administration or the Scheme of Delegation as a result of the proposals in this report.

6 CONSULTATION

- 6.1 The Chief Financial Officer, the Service Director Regulatory Services as Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR, and the Clerk to the Council have been consulted and their comments have been incorporated into the final report.

- 6.2 The Adult Protection Committee and relevant subgroups were consulted and comments have been incorporated into the final report.

Approved by

Elaine Torrance
Chief Social Work Officer

Signature

Author(s)

Name	Designation and Contact Number
Jim Wilson	Independent Chair of the Adult Protection Committee Tel: 01896 664159

Background Papers: Nil

Note – You can get this document on tape, in Braille, large print and various computer formats by contacting the address below. Diane Milne can also give information on other language translations as well as providing additional copies.

Contact Diane Milne, Social Work, Scottish Borders Council, Council Headquarters, Newtown St. Boswells, Melrose, TD6 OSA.

Scottish Borders Adult Protection Committee

Annual Report 2013 - 2014



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1. Executive Summary

This is the ninth annual report of the Scottish Borders Adult Protection Committee covering the period from 1st April 2013 - 31st March 2014. This report provides a summary of the work undertaken during this period by the Committee, its Sub Committees and the Adult Protection Unit, with particular reference to the implementation of the Inter Agency Strategy 2012-2015 for the protection of adults at risk in Scottish Borders.

There are three sub Committees covering Audit, Operations, Learning & Development who report on progress at each meeting of the main Committee.

Adults at risk, as defined by the Adult Support and Protection (Scotland) Act 2007, are individuals aged 16 or over who:-

- are unable to safeguard their own well-being ,property, rights or other interests;
- are at risk of harm;
- because they are affected by disability, mental disorder, illness or physical or mental infirmity, are more vulnerable to being harmed than adults who are not so affected.

"Harm" includes physical and sexual harm, neglect, financial exploitation, and harassment.

A review of Adult Protection activity during the period shows a continued overall increase in protection concerns since 2008, with the majority of concerns regarding service users recorded as Older People followed by those with a Learning Disability. Once again more females than males were referred, totalling 63% of all referrals. Financial and Physical abuse continues to be reported as the most frequent form of harm. It is noticeable that whilst the majority of abuse occurred in the service users own homes a significant number of referrals originated from the private home care sector. This report references the robust review procedure and Large Scale Inquiry process in place in Scottish Borders to manage incidents of Neglect and Acts of Omission in Care Homes and other settings.

The report highlights practice developments that Scottish Borders partners have established to address the five National Proprieties; Adults at Risk from Financial Harm; Adult Protection in Care Home Settings; Service User and Carer Engagement; Accident & Emergency Services; Data Collection.

As in previous years the report highlights the level of interagency cooperation involved in protecting adults at risk and details the high numbers of staff across all agencies who have completed learning and development training.

Scottish Borders, similar to other areas across Scotland, has undergone a period of significant change over the past year. From a Scottish Borders perspective this has involved major structural change as well as key changes in management structure and personnel responsible for the protection of adults at risk. It is my view that despite these changes there has been no loss of focus on Adult Protection and indeed there is significant evidence of service development.

A clear focus in the past year has been to ensure that feedback from Service Users and Carers on outcomes is obtained. It is hoped that the recently confirmed policy and protocol involving contact with service users immediately following involvement in an Adult Protection process will provide this information, to confirm how service users and carers perceive they are being protected from harm and how services could be improved.

In summary I believe that key structures and processes are in place in the Scottish Borders to support adults at risk. The embedded culture of self-evaluation assists in identifying areas of good practice as well as areas for further development. Enhanced feedback from Service Users and Carers will greatly assist in educating service direction. All parties across Scottish Borders have been instrumental in improving services to adults at risk over the past year. I believe that their commitment to tackling issues affecting adults at risk will be reflected in the continuous review and implementation by Committee of its interagency and associated strategies.

Jim Wilson

Independent Chair Scottish Borders Adult Protection Committee

2. The Adult Protection Committee's and Unit

The Adult Protection Committee

In order to meet the statutory requirements of the Adult Support & Protection (Scotland) Act 2007 (ASPA) the Adult Protection Committee (APC) implemented its agreed 'Interagency Strategy' and Plan for Protection of Adults at Risk (2012-2015). Interagency Strategies are informed by the legislative requirements that were made on Adult Protection Committees under the Act (sections 42 - 46), as well as local issues that the Committee is aware need to be actioned in order to maximise the safeguarding measures for Adults at Risk in the Scottish Borders.

The Interagency Strategies are regularly reviewed and updated at the Committee's bi-monthly meeting in order to make sure that there is progress towards achieving the objectives.

The Interagency Strategy for 2012-2015 aims to focus on four specific areas of work;

- To keep under review the procedures and practices relating to the safeguarding of adults at risk;
- To promote the highest standard of interagency in preventing or dealing with the causes and effects of harm to adults at risk;
- To give information and advice to any public body or office holder on the exercise of functions which relate to safeguarding of adults;
- To ensure appropriate cooperation between agencies.

Within Scottish Borders there is a clear multi-agency Training Programme and Training Strategy. Specialist development sessions and forums are in place to disseminate knowledge, share good practice, and enhance practitioner's skills. In Scottish Borders the Adult Protection Unit (APU) has a good interface between Criminal Justice, Multi-Agency Public Protection Arrangements (MAPPA), Domestic Violence and Children's Services.

The APC have made key links with Trading Standards, Safer Communities and with local Banks and Building Societies, in a drive to address Financial Harm and scams. Work will continue into late 2014 on a Public Awareness Campaign, this may include radio advertising and a range of methods to highlight issues of harm to the public.

Adult Protection in Care Homes continues to be a challenge both for Scottish Borders and Nationally. Here in SBC we have made an interim change to our Large Scale Inquiry (LSI) process, any requests to enter this process, must include a thorough investigation and, the final decision to enter the process, must be agreed by the Chief Social Work Officer. This strengthens defensible decisions in our process.

Client and Carer involvement through the Adult Protection process, was an area of development, from last year's Adult Protection self-evaluation. This is an area we have improved on, and we now have a new engagement and feedback process in place. Progress on feedback, should be evidenced in next year's annual report.

Scottish Borders Council have taken the opportunity, this year to refresh their local Adult Protection Policy and Procedure. This is now live and these changes reflect the new Adult Support and Protection Codes of Practice and new additions in Adult Protection such as;

Self-Directed Support, the Vulnerable Young Person's protocol, and the transitions interface with Children Services.

These changes will help clarify multi-agency responsibility and cooperation through the Inquiry and Investigation process.

Scottish Government have introduced the National Data Set, and the changes in the Adult Protection process, will assist us to gather the investigation information required by Government. The work carried out by the Data Set, will help us in future, benchmark our progress much more effectively, with other Local Authorities and Nationally.

The Committee has three standing subcommittees set up in order to achieve priorities of the Interagency Strategy.

The Adult Protection Audit Subgroup

The Audit Subgroup continues to meet every two months. Some of the key areas of work currently being addressed by the group are as follows:-

Improve Service User and Carer involvement. Work was identified in the last annual report, to improve Service User and Client involvement, within the Adult Protection process. This is an Adult Protection National Priority, so we now have identified a system and process, social work will seek permission from Clients and Carers, and information will be passed to an independent advocacy agency, who will undertake an impartial feedback questionnaire. The learning and feedback will be passed back to Scottish Borders Council and this will inform how we improve or deliver our service. We should see evidence of improved participation by the next annual report.

The Adult Protection Audit subgroup continues to monitor national reports and disseminate learning to practice teams. In the 2013/2014 period a multi-agency review was carried out regarding Child U. This review was hosted through the Children Services process, but had good cross interagency presence including NHS Borders, Mental Health, and both Child and Adult Services. This is a good example of cross cooperation between agencies, particularly where learning spans different area of service provision.

The LSI Process and progression of cases which come into LSI are monitored by the Adult Protection Audit Subgroup. Regular updates on developments and progress are discussed and tracked by the multi-agency group. Following a preliminary review of the LSI process, a revised process was implemented, which now involves more inquiry and Head of Service approval before entering the LSI process. This new process offers a more proportionate response to large scale harm. We have had 12 meetings held under the LSI process. In order to support the LSI process the Community Care Reviewing Team (CCRT) have a well-established process which highlights at an early stage, failing standards within the registered care homes. In addition to this a nominated Reviewing Officer is attached to each of the Care Homes.

The procedure for Significant Case and Incident Review (SCIR) has been bench marked against the health model; this has helped clarify responsibility and should help avoid unnecessary duplication. We have had no SCIR in this period but have had two Practice Reviews, one of which involved child and adult protection crossover, and the learning has led to a better understanding of reporting and responding to harm where this is responsibility and crossover between partner agencies.

The Adult Protection Interagency Operational Group

The rise of social media and use of phone/computer technology continues to be a challenge with adults with a disability or with support needs. This was highlighted in last year's annual report, and appears to be a recurring theme. One of the reasons for this, is that modern technology enables people to access wider social circles very easily, particularly in rural areas such as Scottish Borders. We have issued safe internet guidance and information on scams, to staff and to care providers to assist them in keeping people safe from harm. Further work on public awareness is planned in the near future.

The primary prevention study which was commissioned over a year ago and which involved partner agencies and the third sector has been concluded. This study highlighted, good one to one work across all agencies, good multi-agency cooperation between partners, and good systems in place to spot and pass on issues of harm. Scottish Borders have a wide range of services out with SBC, NHS Borders and Police Scotland, who all contribute through support and specialist advice, these agencies compliment our service provision.

One success in Scottish Borders is that we have good cross representation within the operational group. We have Police Scotland, NHS Borders, SBC management and SBC staff from practice, and there is a place on this group for third sector representation. So a broad range of perspectives is heard. In order for key information to be shared more widely, Scottish Borders have good third sector sharing arrangements, through organisations such as Borders Voluntary Care Voice and third sector partners.

Last year the Operational group looked to improve the number of complex risk assessments carried out in Scottish Borders, I can report that the uptake of complex risk assessments has improved and continues in the right direction. The APU have now commissioned an in-depth audit of complex risk assessments and of case chronologies, to review the quality of work. The findings will go back through the Adult Protection Audit Sub group soon. In order to improve the use of chronologies in complex cases, refresher training, will happen in October 2014 for all necessary, Adult Services staff.

The Learning and development Subgroup

The Learning and Development subgroup has responsibility for overseeing the Interagency Training Strategy, developed in Scottish Borders, and which provides tiered training appropriate to the needs of SBC, Health, Police and third sector employees.

SBC and Health have reviewed the use of an e-Learning module, as a creative way of delivering basic Adult Protection training as an induction for all staff.

This new e-Learning module is being rewritten to make the process, clear and easy to follow.

In terms of specific training within the last year, the rolling level two training program continues to be popular and well attended, it is free and accessible to all key partner agencies and third sector care staff. Refresher training for level three council officers has happened, this included specialist input on interviewing skills.

One key initiative which began in this annual reporting period was the development and delivery of bespoke training into a Care Home setting. The identified private Care Home benefited from specific bespoke training, and staff support. The outcome of this intervention was to raise care standards and improve the staff's knowledge and reporting of harm.

We hope to use this model in future, as a bespoke multi-agency care package, for wider delivery into all care home settings.

Last year within A&E and selected wards at Borders General Hospital (BGH), we reported that a Screening and Reporting of Harm Tool, was in place by NHS Borders. This Tool was introduced to support the recognition and recording of Adults at Risk of Harm. Staff now have had specialist training to spot and report Adults at Risk of Harm. We continue to receive referrals direct from A&E, and can be reassured that the knowledge and communication systems are in place to meet this National Adult Protection priority.

In terms of increasing wider staff awareness, a fresh set of wallet cards have been commissioned and although these went out to NHS and SBC last year, these will be distributed to a wider source this time around including the third sector. This will be distributed soon when telephone numbers have been fully clarified.

Further information and leaflets has been sent to all G.P. Practices, Practice Managers, in all Health Centres, have been approached to display Adult Protection leaflets and information in the surgery waiting areas and the BGH now has an advert on their electronic screens highlighting Adults at Risk of Harm.

The Five National Priorities in Adult Protection - Scottish Borders Council Progress

Adults at Risk from Financial Harm

Financial abuse and Physical abuse continue to be reported as the most frequent form of harm. The Minister, in response to the previous biennial report noted the work undertaken on Financial Harm and the proactive role in jointly working with Trading Standards and local banks. During the recording period joint work involving Adult Protection and Trading Standards staff has sought to tackle the ever increasing problem of scams, with targeted support to adults considered to be at risk of Financial Harm. In relation to joint working with the banks the CEO from one of the major banks in the area recently attended the APC to discuss the role financial institutions can play in preventing and identifying financial abuse. A corporate approach is now being progressed involving all major banks in the area, with proposals to launch a publicity campaign, possibly involving the "Think Jessica" material.

Adult Protection in Care Home Settings

Whilst the majority of recorded harm is noted as occurring in the service users Own Home, a significant level of reported abuse has been noted over the recording period in the Private Care Home sector.

SBC have a CCRT who regularly visit and monitor all Care Homes in the area. The LSI process works alongside key partners such as NHS Borders, The Care Inspectorate and the Care Providers to bring the level of care to the expected and safe standard.

Recent developments have included the provision of bespoke training to a private care provider where standards of care were deficient.

This training was developed by a team of trainers in relation to Adult Protection and National Care

Standards in care home settings. The training has been delivered to all frontline staff in an identified care home and evaluated positively. Follow up sessions are being arranged for impact evaluation purposes.

Service User and Carer Engagement

Scottish Borders partners recognise the need to involve Service Users and Carers throughout the Adult Protection process. The process of collecting feedback on all cases where Service Users have been involved in a Concern has only recently been put in place and the APC welcomes the opportunity to explore peoples experience and how services might be better delivered. We are keen to learn and understand what we do well and equally not so well, so we can evaluate our systems and improve our process, all to provide better support in the future.

Accident & Emergency Services

Within BGH extensive training was introduced to support the recognition and recording of Adults at Risk of Harm. A Screening Tool was developed specifically for A&E and three of the receiving wards on admission to hospital, to recognise and report harm. The Assessment Tool and Referral Record is a straight forward two page document that is completed by NHS Borders staff immediately, if it is known or believed that a person is an 'Adult at Risk' of harm. The document provides a clear five step guidance to staff who are required to; ensure immediate safety; seek the adults consent to take action where possible/practicable; report to line managers; refer to Social Work and refer to discharge liaison team to ensure patient is not discharged to an unsafe situation.

Extensive training for the Emergency Department staff ensures that they have an opportunity to reflect upon Adult Protection in Emergency Department settings; understand their legal/professional duties and responsibilities under the ASPA; know what to do if Adult Protection concerns arise and how to make a referral as detailed above. Staff are made aware of NHS Borders Adult Protection procedures, guidelines and sources of professional advise / guidance / training. NHS Borders staff were represented on the National Working Group and as members gave a presentation on the assessment tool.

Data Collection

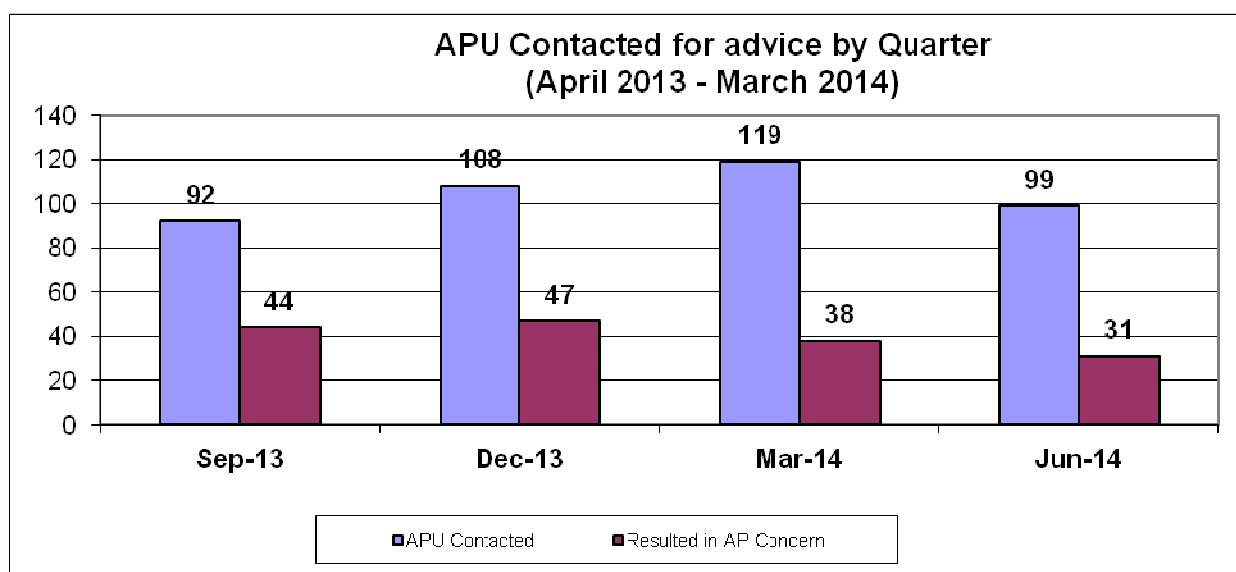
Scottish Borders has always collected a significant level of detail in relation to management information and is well placed to provide the detail required for the National Data set. Over and above what information is requested for Scottish Government, Scottish Borders will continue to collect and review information relevant to the region, and agree interagency supports and strategies to meet local trends and challenges.

The Scottish Borders Adult Protection Unit (Table 1)

In Scottish Borders the existence of a colocated APU is seen as a major strength, this encourages closer working relationships, partnership and communication between agencies. A multi-agency Initial Review Discussion (IRD) process is in place which coordinates information on referrals concerning Adult Protection matters.

The Adult Protection element of which consists of the Adult Protection Coordinator who line manages two Adult Protection Officers, a joint NHS/SBC Training and Development Officer. In addition we have the dedicated support of three skilled administration staff.

The Adult Protection Officers (APO) are experienced practitioners; they offer independent support and advice. They have a wealth of knowledge, skills and experience to draw upon, in order to support practice teams and partner agencies. The chart below highlights some of the contact to the APU for support and advice.



As well as support and advice the APO's Chair all Adult Protection Case Conferences and LSI's where requested. The Officers also supplement training to all partner agencies when required.

A Council Officer forum was established in order to provide a supportive arena for designated Council Officers alongside unit staff to discuss and improve practice. This is led by the APU, and the agenda includes case reviews, research and debate and discussion of general issues that the Council Officers are coming across in Adult Protection.

3. Adult Protection Activity (2013 - 2014)

The APU continues to monitor the statistics from the Social Work Information Management System (Framework-i). The Act was implemented on 31 October 2008 and from this time the APU has been collecting the Adult Protection data sets requested by the Scottish Government. Unless otherwise stated, the figures below were collected in the period 1st April 2013 - 31st March 2014.

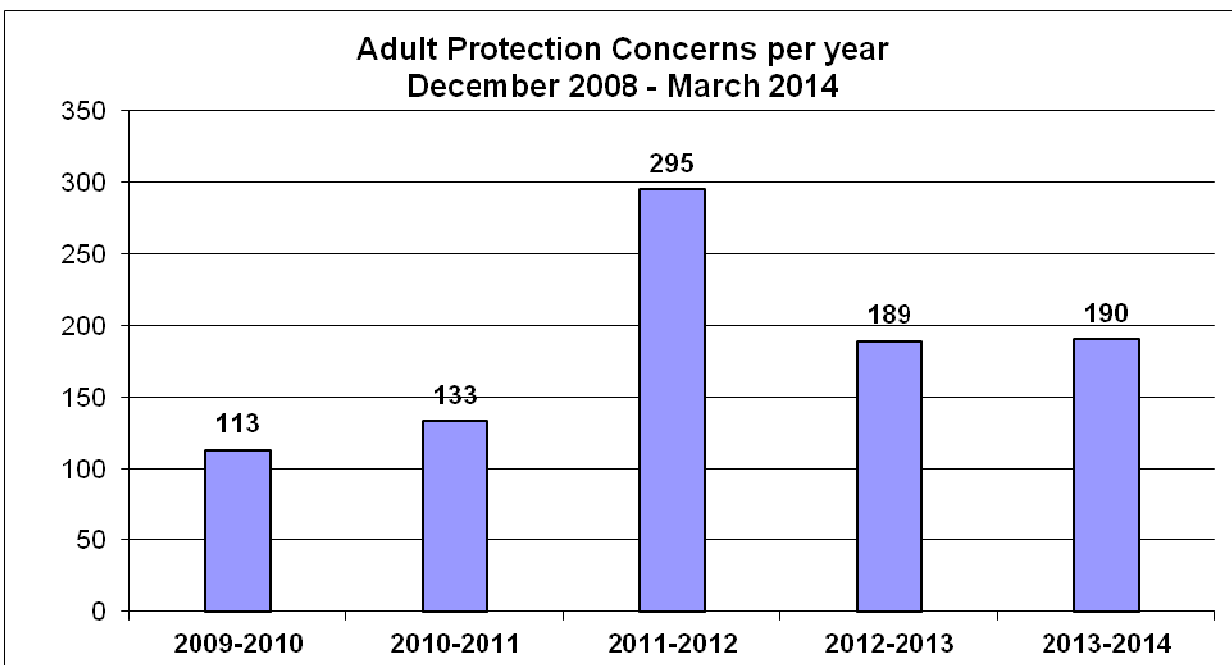
Referrals to Adult Protection (Table 2)

An Adult Protection Referral to Scottish Borders Council occurs when any person suspects, an Adult is at Risk of Harm. Referrals come from a large variety of sources. These Referrals come into SBC either through the Duty Hub in office hours, or, through the Emergency Duty Team which operates outwith office hours. During the course of 2013 - 2014 the following numbers of referrals were received.

Total number of Referrals
1253

Adult Protection Concerns (Table 3)

All Adult Protection Referrals are reviewed by the practice team, and where these are known or believed to be Adults at Risk of Harm, these proceed to an Adult Protection Concern. The criteria for an Adult Protection Concern are drawn from the Adult at Risk of Harm definition laid out through the ASPA. For those welfare referrals that do not proceed to an Adult Protection Concern, as defined above, a significant number will be signposted to other services for support, others may be considered, shared and closed. The chart below highlights the number of AP Concerns over last 5 years.

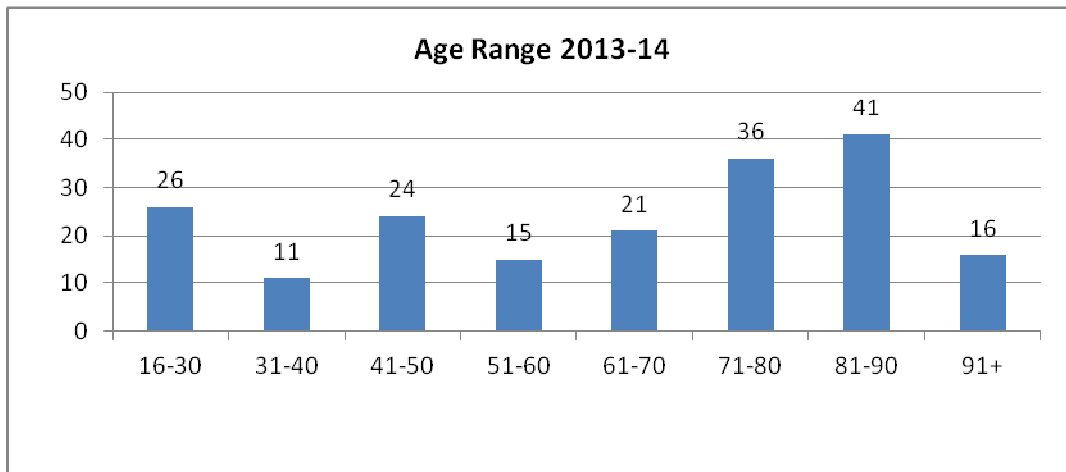


The following table reflects cases that have proceeded as Adult Protection Concerns in this Annual Report period of 2013 to 2014. [\(Table 4\)](#)

Total number of AP Concerns
190

All the charts listed below, in the AP Concern section, are drawn from the front end of the Adult Protection process. This is before the formal Inquiry or Investigation has begun. Later in this Annual Report at the end of the Investigation process, I will report on the number of agreed Adults at Risk of Harm, the types of harm, and on the final outcome of the Adult Protection process.

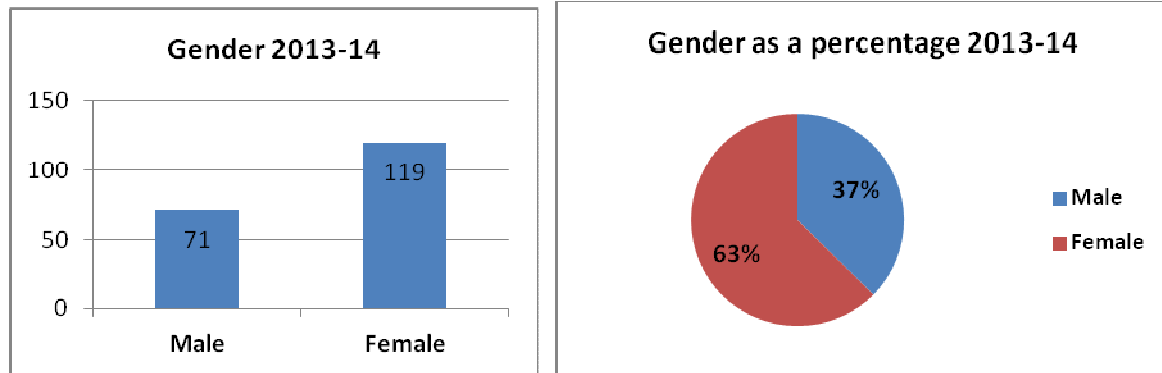
Number of Adult Protection Concerns by Age Range (Table 5)



From the 190 Adult Protection Concerns loaded in this period, the above chart demonstrates the age range of adults affected. One important point to draw your attention to is the point that adults beyond the age of 71, make up for almost half of all Adult Protection Concerns. When comparing these figures to last year, there is a slight increase in the 71-80 age range, but the 81-90 years and 91 years plus remain consistent on last year's figures. Financial Harm and Acts of Omission/Neglect are the key features of the types of harm in the older adult group.

Looking at the age range of 16-30, these figures have seen a modest reduction from 30 to 26 on last year's figures. However the age range of 41-50 years has seen a surprising 50 % increase on last year's figures, adults with a Learning Disability or adults who have Mental Health needs, are the client group mostly reported in this age range. Relationships and boundary issues, Social Media and Internet harm are areas which give us new challenges to tackle in this age range and service user group.

Percentage of Concerns by Gender (Table 6 & 7)



In Scottish Borders females are at higher risk than males, this gender balance is a continued theme on last year, with little variation. I can report that this is a theme seen nationally over Scotland. The gap between genders only becomes apparent from 71 years onwards. Up until this point the numbers of male and females at risk is very similar. One reason for the gap is that post 71 years of age; females tend to outlive males, or more females appear to be living on their own and therefore at greater risk.

Concerns by Team and area of Scottish Borders (Table 8)

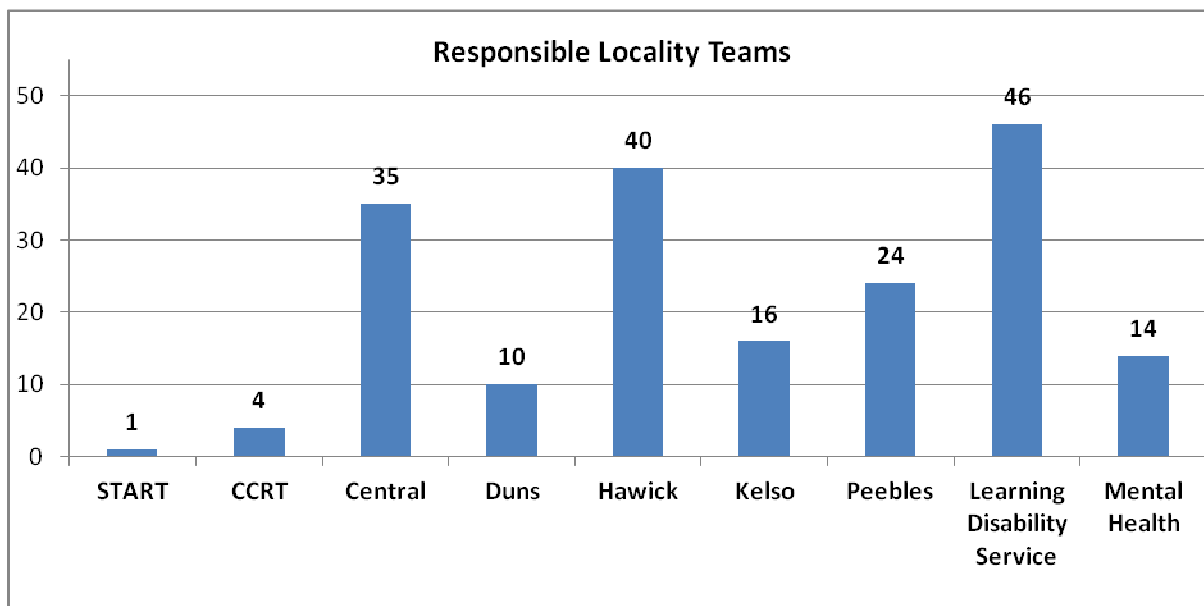


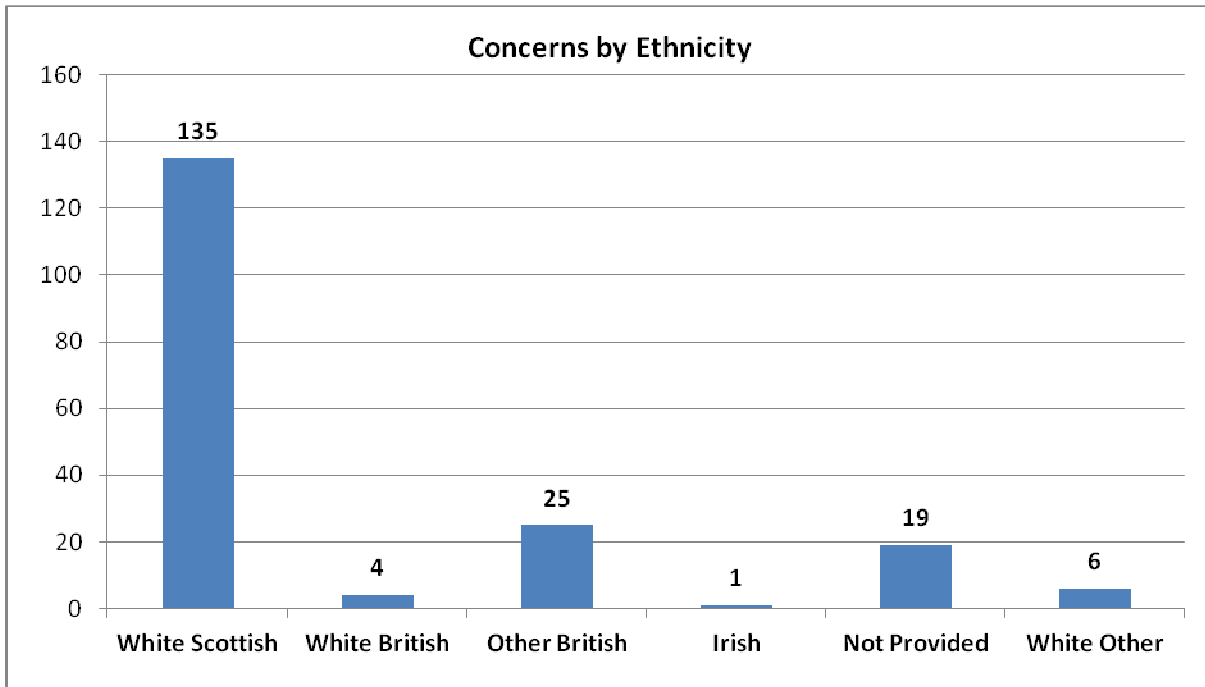
Table 8 highlights the spread of Adult Protection (AP) Concerns across Scottish Borders and the teams. I must highlight that although the CCRT are listed with 4 cases, they may be involved in reporting and possibly Investigating Harm, but the responsibility for a Care Home concern, would sit with the locality team where the Care Home is situated.

Adult Protection Concerns emerge in the greatest number, from the Learning Disability Service; this team consistently has the highest amount of Adult Protection Concerns than the other locality teams. Cognitive functioning, ability to understand and maintain relationships, power imbalance and the ability to respond to risk are all part of this complex area of work.

The Learning Disability Service in the Scottish Borders is an integrated Social Care and Health model. The team remain proactive to intervene quickly and to stop harm from unnecessarily escalating.

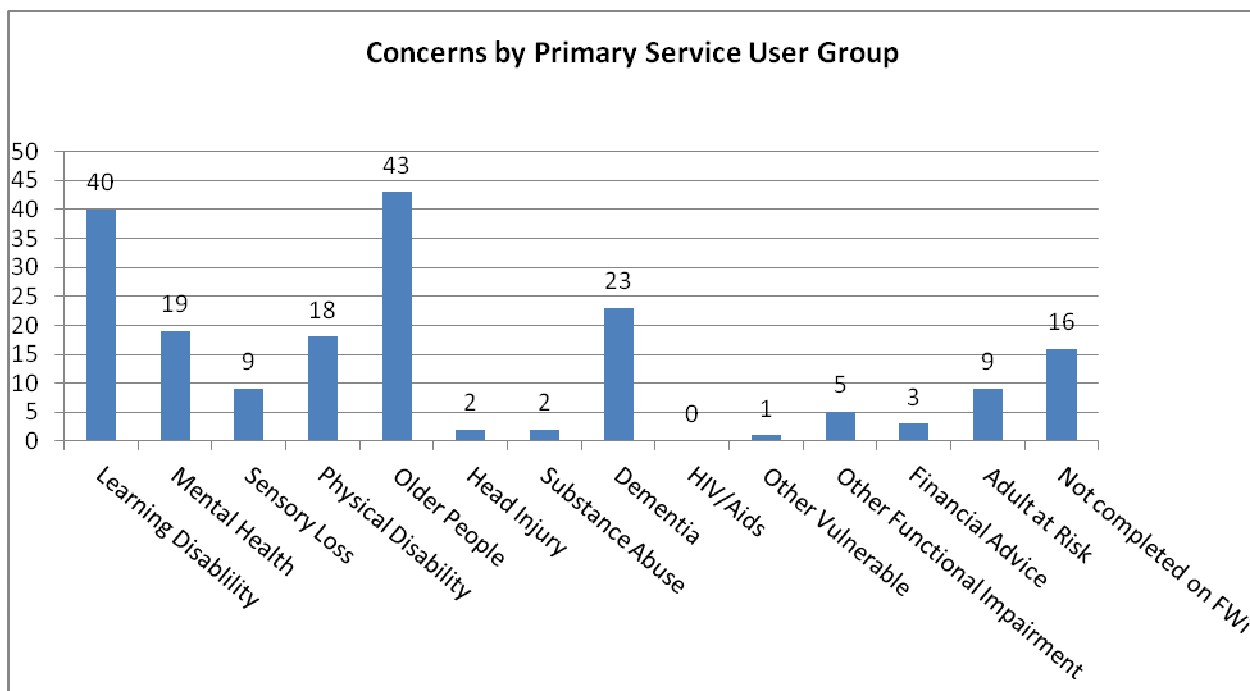
Hawick and Central area have the two largest towns and population densities in Scottish Borders and therefore have more AP Concerns than rural locations. The Adult Social Care and Health locality teams have a much wider remit than specialist services. They cover many different client groupings and numerous types of welfare and social work issues. Locality teams are heavily involved in LSI investigations and tend to be very busy practice teams. More than half of all Adult Protection Concerns come from Older Adults over 71 years of age alone, the teams cover work from 16 years to 91 years and over, so the age range and client groups and work is both complex and diverse.

Concerns by Ethnicity (Table 9)



The majority of Adults at Risk are of White Scottish ethnicity in Scottish Borders. To help understand the context of these figures, I will highlight that the population of Scottish Borders, based on 2011 census figures, was an estimated 113,870 people. From these figures we can report that only one and a half percent of Scottish Borders adults were reported as being of Asian, Black, Mixed or another ethnic grouping. This is the most likely explanation for the majority of figures sitting in one ethnic grouping, and for our figures sitting lower than urban or more densely populated areas in Scotland.

Concerns by Primary Service User Group (Table 10)



When reviewing adults at risk by Service User Group, two particular groupings stand out, these being Older Adults and Adults with a Learning Disability. Financial Harm through scams and bogus callers has been an area of concern for Older Adults in Scottish Borders. Some of the steps we have taken have included stronger and more effective links being made with Trading Standards and Police Scotland. We have sent out information and advice to previous victims of Financial Harm and scams, and given key advice given on keeping safe from further harm. The next annual period will see further work around public awareness and a campaign to advertise locally. This should raise the at risk of harm profile and highlight the importance of keeping safe.

The area of Learning Disability has had different challenges, the rise of social media and internet enabled phones, has seen a rise in cyber harm through social media and internet dating sites. For some adults with a Learning Disability, there have been particular concerns about relationships and the setting of safe and appropriate boundaries. However the digital era is here to stay, and how we support adults to keep themselves safe, will continue to be a challenge for Social Work and Social Care staff well into the future.

AP Concerns by the Type of Harm Reported (Table 11)

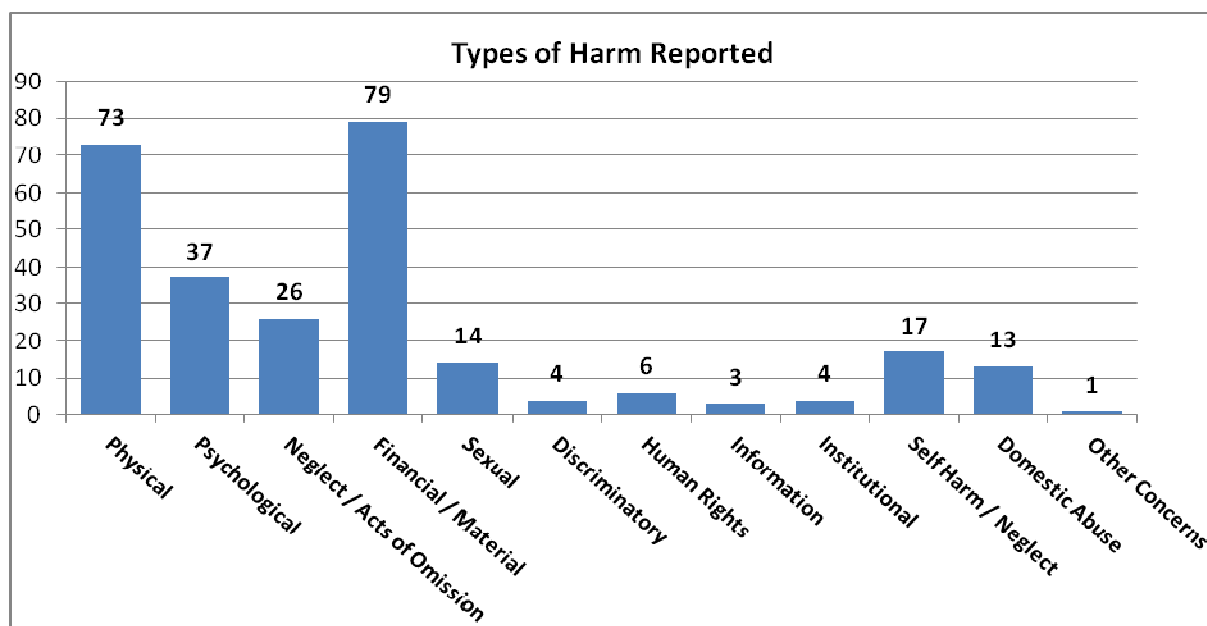
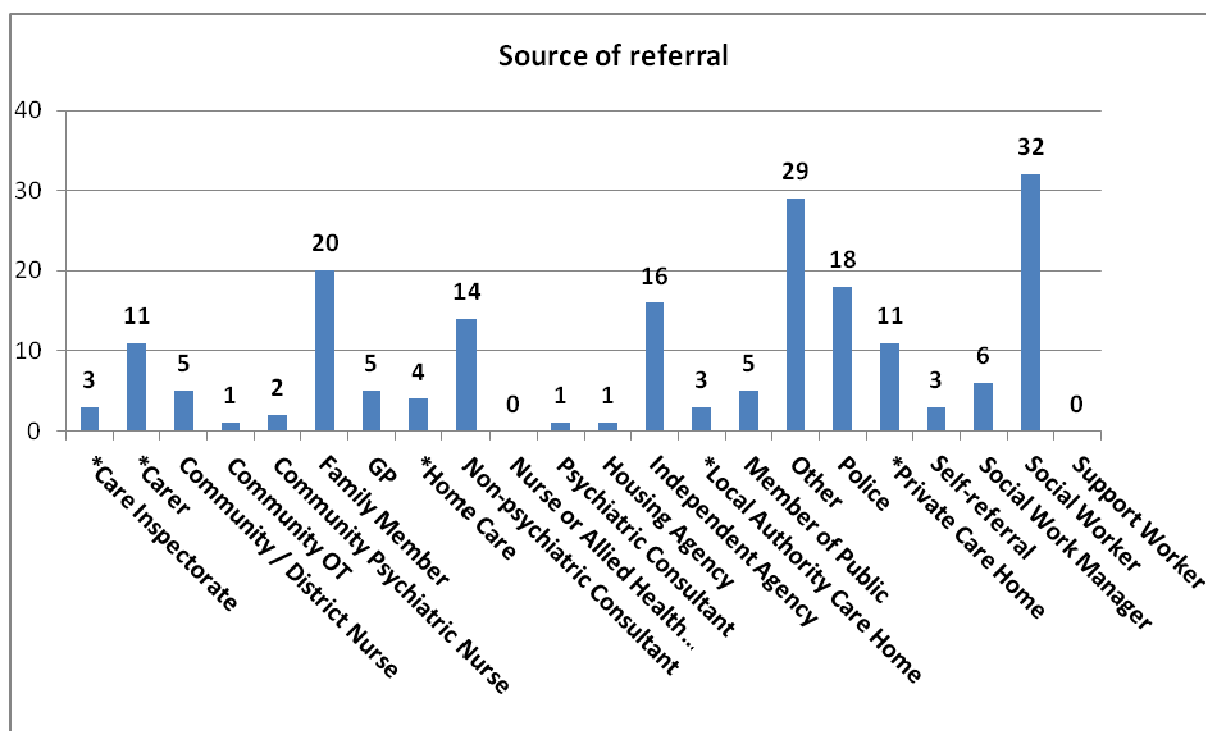


Table 11 highlights the types of harm reported in Scottish Borders, Financial / Material Harm continues to be the highest grouping, but figures compared to last year are very similar. Work to combat scams and Financial Harm, has been highlighted earlier, will continue into next year, through a Public Awareness Campaign and work with banks and building societies.

When we look at Physical Harm, I can report that figures from last year have increased by 20 %, extensive training to partner agencies and the third sector, will have contributed to better awareness, and an increase in reporting. This would be a similar picture for domestic abuse, which has also seen an increase by 25%. Agencies are reporting more, however in the case of domestic abuse, we now have the Multi-Agency Risk Assessment Conference (MARAC) which is a robust support system to record, support and tackle this issue. Self Harm remains consistent on last year's figures, Sexual Harm has reduced by 40% on last year's figures.

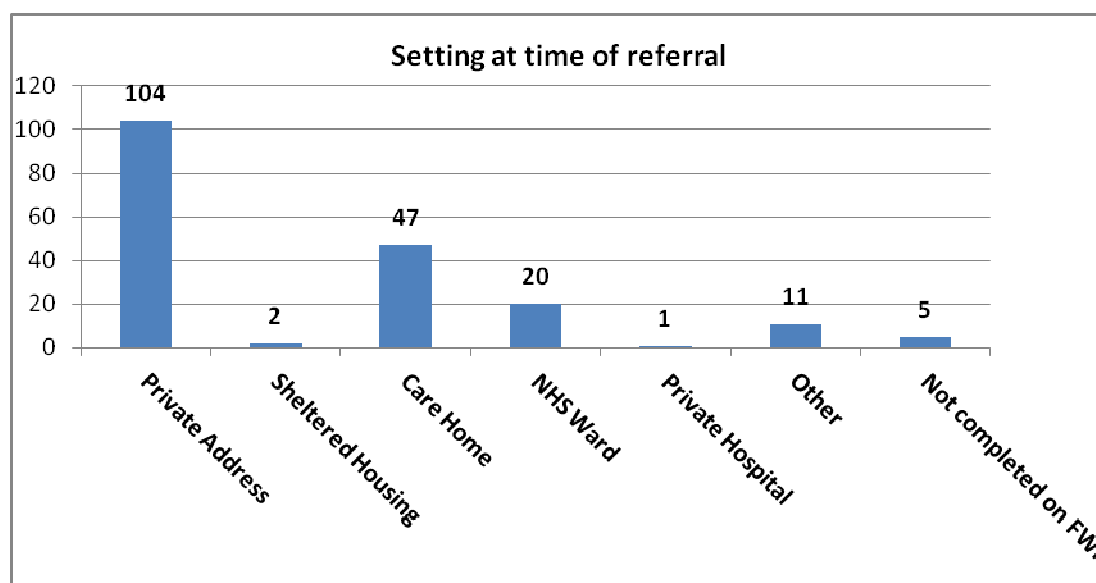
Source of Referral from AP Concern (Table 12)



As can be seen from table 12 above we receive AP Concerns from many sources, this includes multi-agency partners, clients, carers and family members, and agencies in the third sector. The figures listed above are made of concerns which have been reviewed and were, known or believed to be adult at risk, concerns. It is important to note that Scottish Borders received 1253 referrals, and although every referral is reviewed, many of these referrals are welfare concerns, which do not need to enter the Adult Protection process, but can be dealt with through social work services or signposted to key partners for services.

NHS Borders and General Practitioners are well represented, as is Police Scotland who are collocated within the Public Protection Unit. One of the key strengths of collocation is that, this helps key discussions around Child and Adult Protection, particularly where there is crossover. Social work referrals from last year have increased by 33 %. The other area of growth to report is the number of AP referrals from Carers and Family Members, these numbers have increased from 3 to 11 and from 10 to 20 per year respectively. Some of this can be attributed to Family Members and Carers, becoming more attuned to spotting and reporting Financial Harm, the growth in referrals from Unpaid Carers and Family Members is positive progress. Other agencies such as the Fire Service Scotland are also now submitting AP Referrals and with the Ambulance Service coming on board at APC, we may continue to see a growth in referrals at the front end of the process.

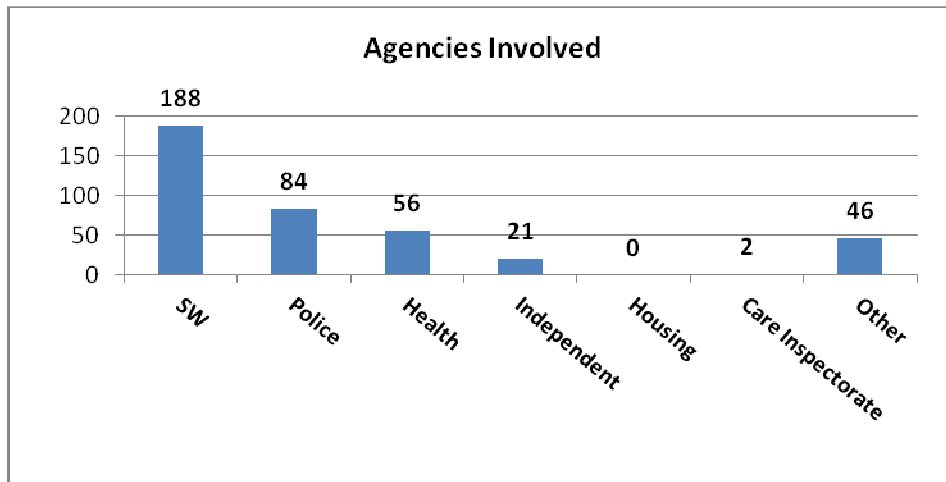
Setting at time of Referral - Drawn from AP Concern (Table 13)



The majority of reported harm at the front end of the process takes place in Private Addresses, or, in other words someone's own home. This is a continued theme on last year, and with the majority of adults living in their Own Home, this will be a feature all over Scotland. The second highest figure is adults in Care Home settings, which is a current National Priority, again over Scotland. Scottish Borders do have a robust review procedure in Care Homes, and we have an LSI process, to manage multiple incidents of Neglect or Acts of Omission in Care Home and Other settings. As part of a plan to improve these statistics, the Adult Protection Learning and Development group in partnership with SBC and NHS Borders have developed a bespoke training package. This bespoke package will be used to train all staff, in their own care home settings. Training will cover the National Care Home Standards, Adult Protection responsibility, and all the necessary work around preventing, recording and reporting harm. We hope to roll this specialist input out to all 22 care homes in Scottish Borders, free of charge, very soon.

4. Adult Protection Inquiries

Agencies Involved in cases that reached the Adult Protection Interagency Referral Discussion (IRD)/Inquiry/Investigation Stage (Table 14)



The table above highlights that social work are involved in all AP Concerns. This is to be expected as the local authority is the lead agency in all AP Inquiry / Investigations. When compared to last year's figures the number of AP Concerns has increased by 30%. We have moved away from a position of where something must meet the three point test, to where we know or believe an adult is an Adult at Risk of Harm, as per the guidance. This will have increased the number of reported AP Concerns. Police Scotland and NHS Borders continue to be involved in many IRD's as well as important conversations and information sharing, outwith what is formally recorded as an IRD. Police Scotland and NHS Borders continue to be key partners and will be involved in specific cases where crime or specialist expertise is required to assess or address issues of support or harm. Independent agencies are also integral to the Inquiry or Investigation process, they are often the agency who works alongside the adult at risk the most, and are a key source of information and knowledge, when looking at measures to support or protect an adult.

On a separate note the new local AP Policy and Procedure will record Client and Carer involvement through the AP process. These statistics will be recorded in next year's annual report, and better reflect the Client and Carer work, which happens in SBC.

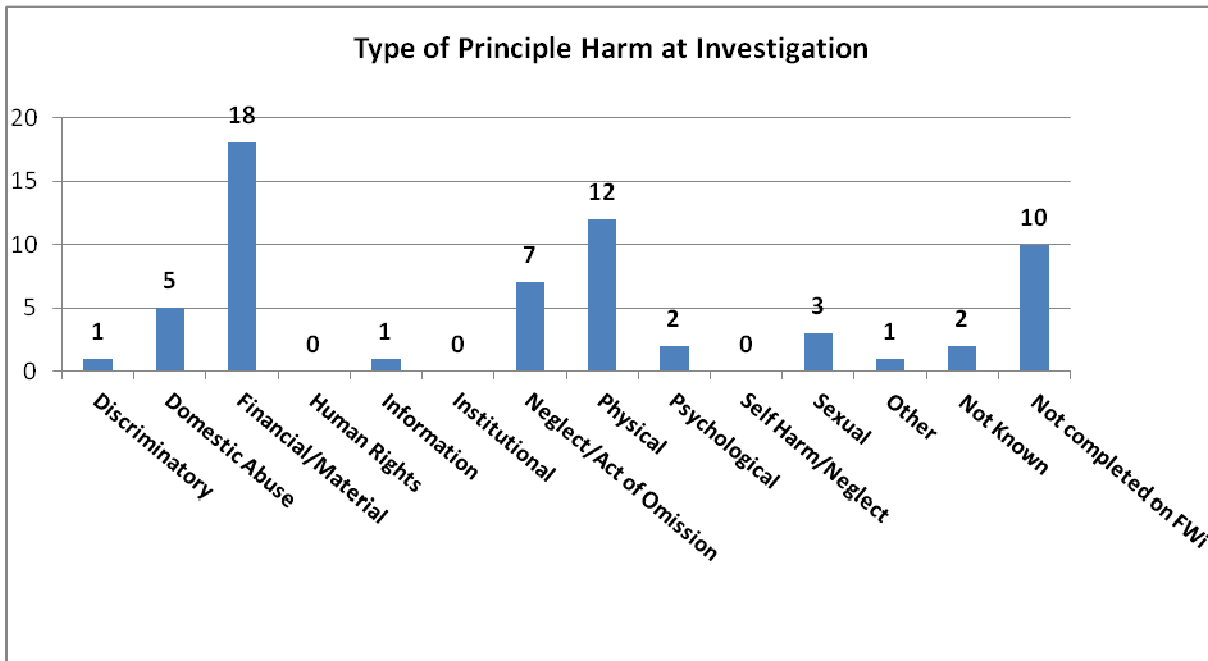
Adult Protection Inquiry / Investigation (Table 15)

Following the loading of an AP Concern and an IRD cases proceed under this current process to AP Inquiry/Investigation. Of the 190 AP Concerns processed 62 reached the end of the Investigation process. I would expect to see this figure, the purpose of Inquiry is to gather the facts and evidence around a concern, and to establish whether the reported issues, have facts or evidence, to give the local authority and partner's grounds for concern. Following Inquiry many cases leave the AP process and are signposted or met through alternative arrangements.

Total number of Referrals	1253
Total number of AP Concerns	190
Adults at Risk at end of Investigation	62

At the end of the AP Inquiry (IRD) and Investigation process we were left with 62 cases where the adult was deemed to be an Adult at Risk of Harm and who were in need of support or protection. The following charts break down these 62 cases into information and trends.

Type of Principal harm at Investigation (Table 16)

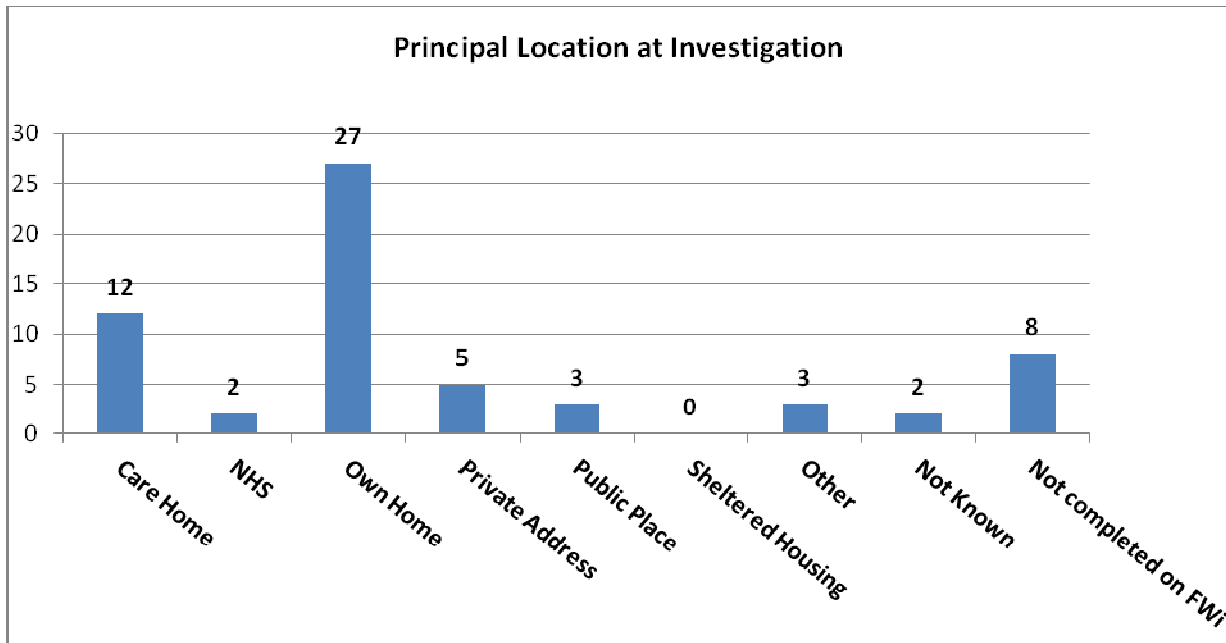


The table above highlights the type of Principal Harm, in the 62 cases we have now established, are Adult at Risk cases. This is the first year we have collated this specific data, so we are unable to compare any of the Investigation charts against previous years, however the commentary will help explain the trends.

The Scottish Government have requested that, we collect data at this point and that we record Principal Harm only. This is all part of a National Data Set which hopes to standardise all AP statistics over Scotland. It is important to note that Psychological and Emotional Harm and other types of harm can be present alongside Principal Harm. These factors will always be recorded and taken into consideration by the local authority, but for the purpose of this report Principal Harm only will be listed.

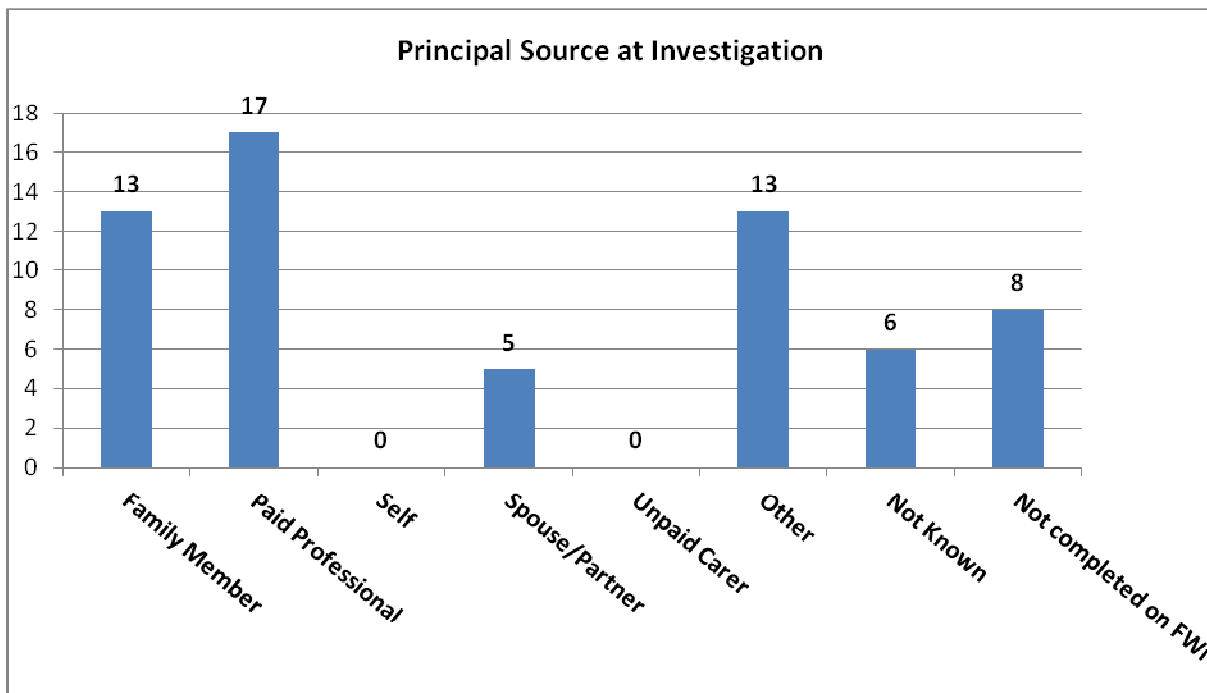
Financial Harm and Physical Harm continue to be our most prominent types of harm here in SBC, followed by Neglect /Acts of Omission. The last type of harm mentioned tends to feature heavily in Care Home settings, this is an area SBC and NHS Borders are looking to tackle through bespoke training into all 22 Care homes. Financial Harm and Physical Harm will be part of a larger Public Awareness media campaign to happen in late 2014 or early in 2015.

Location of Harm at Investigation (Table 17)



From the Location of Harm at Investigation, we see a continued theme from Location of Harm at AP Concern. Adults Own Homes continue to be the highest reported grouping, closely followed by adults in Care Home Settings, as mentioned above robust steps to address these issues, and close multi-agency monitoring procedures are in place.

Principal Source of Harm at Investigation (Table 18)



Of the cases that preceded to full investigation the above chart highlights the range of Principal Source of Harm. In relation to the column Paid Professional, this reflects the number of Concerns and investigations undertaken in privately owned Care Homes, not SBC or NHS Borders settings.

Research indicates that harm occurs from many sources including the Paid Professionals who look after them. Lack of management, poor supervision and staff culture often lead to Neglect or Acts of Omission. It is therefore more important than ever, to have robust arrangements in place, to deal with harm and to monitor and review progress. This includes working very closely with NHS Borders, Police Scotland, The Care Inspectorate and the Care Provider in question. As mentioned previously AP in Care Homes will be an SBC priority going into next year.

To help clarify the area of Other, this is made up from reported friends, acquaintances, other services users / housemates. There is one case of a volunteer and one case of a sex worker reported as harmers in the field of Other, demonstrating relationships are complex and that harm can come from many different sources.

Advocacy and Rights - From Investigation (Table 19)

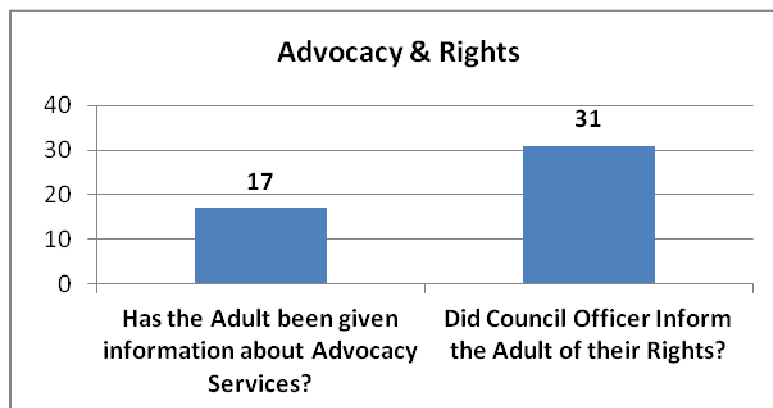


Table 19 highlights the number of adults who were given information about Advocacy Services. It is important to note that some clients have very active carers and family or legal representatives such as Power of Attorney or Welfare Guardianship where they lack Capacity. The 17 cases highlighted in the chart above were cases that social work services felt advocacy would be helpful, in that situation. Client and Carer involvement and use of advocacy has been built into the new local AP Policy and Procedure, both are areas for improvement into 2014/2015.

Outcome of the Adult Protection Investigation (Table 20)

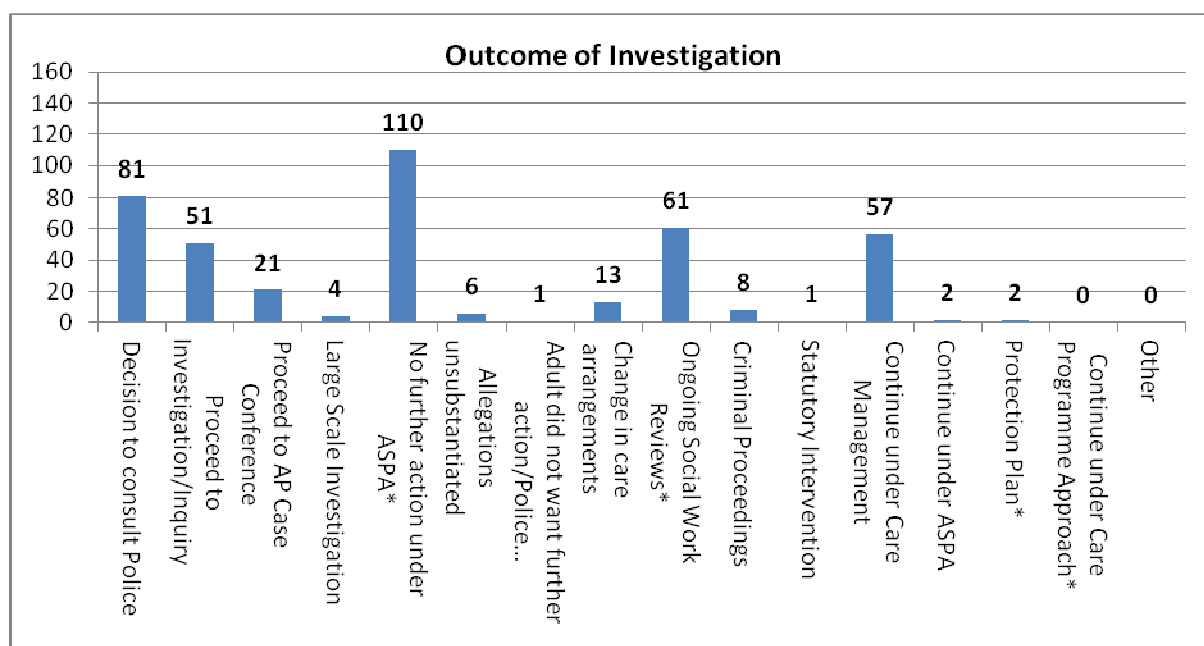


Table 20 above is drawn from the current AP process which has Inquiry and Investigation together, separating the data we need, will be in place for next year's annual report.

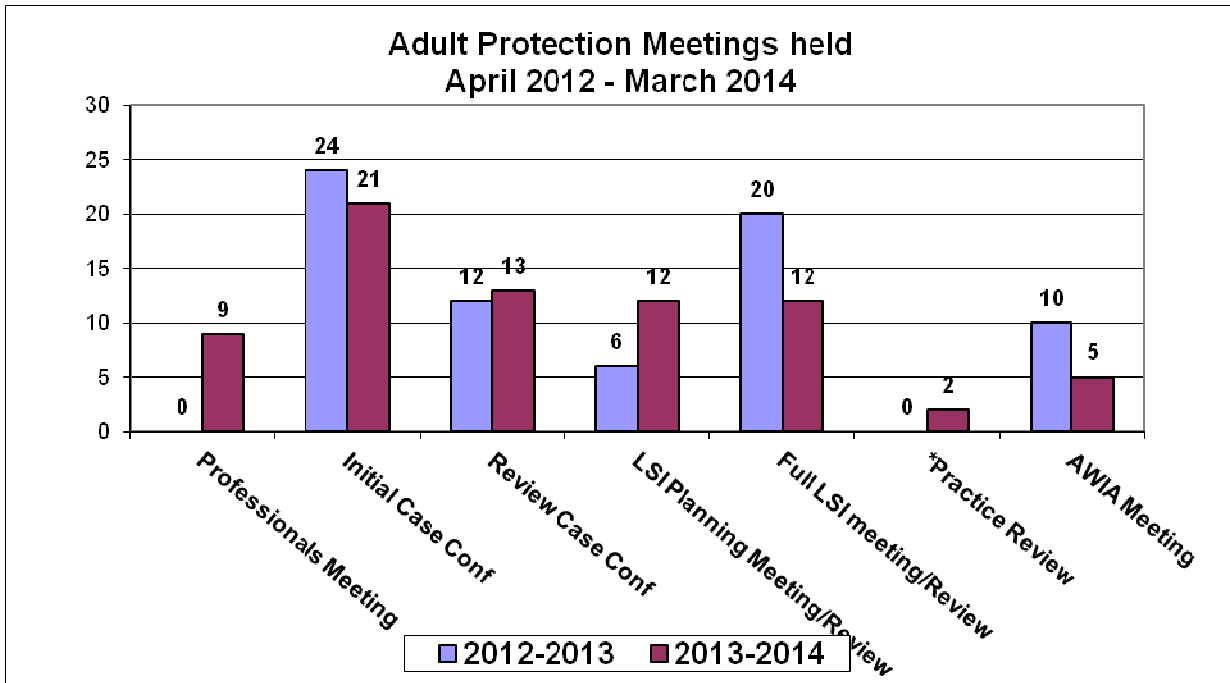
We must also note that any AP case may have multiple outcomes. For example at the end of an Investigation there may be consultation with Police, No Further Action under AP, and Continue to Review under Social Work Case Management.

The important data to draw from the above chart is that out of 62 cases which were known or believed to be Adult at Risk cases, the majority of these cases were dealt with through support or protection arrangements at the Inquiry/Investigation stage and that no further action was required under Adult Protection beyond this point.

However 21 cases did proceed to individual AP Case Conference and four situations led into the new LSI process, where multiple harm was evident, specifically in Care Homes.

5. Case Conferences and Meetings

Adult Protection Case Conferences Held (Table 21)



The majority of cases in Scottish Borders which come into the AP process do not reach AP Case Conference. The process has been designed to be proportionate and responsive to risk. On many occasions following intervention or supportive measures, we see the risk addressed or managed. There were however, 21 cases, which required an AP Case Conference.

As seen in the table above, which compares last year's figures, to this years, we see that Scottish Borders have a range of meeting options, very specific to any situation. For example Professional meetings have always been held below the AP threshold, but where serious risk, gave reason for professionals to meet, discuss and address issues of risk.

There were nine Professional meetings in this annual report period. The new local AP Procedures will rename the Professional meeting to Risk Management meeting, and be flexible enough to involve Clients and Carers, where they fall below the AP threshold, but where there is still a need, to meet discuss and plan, to reduce significant risk to an identified adult.

Large Scale Investigations

The LSI process is designed to meet larger issues of harm in any care settings. Within this annual report period this type of harm has been specific to Care Home settings. The important figure is the number of Full LSI's, this number has reduced by 40%, this is due to changes and criteria for entering the LSI process. This decision is now made by the Chief Social Work Officer in SBC. The frequency and number of Review LSI's are dependent on each individual setting, and dependent on Care Inspectorate and review progress.

Scottish Borders Council embarked on two practice reviews in this period, one was a review of multi-agency involvement in a care setting and one involved a case which was in transition from Children Services to Adult Services and the interplay and crossover between these services and Police Scotland. Both reviews were attended by the necessary people and discussion around the issues and clarity of roles and responsibilities was explored. The learning from the reviews passed to the relevant people, so that learning could be disseminated to all staff.

Attendance at Meetings listed in Table 21 (Table 22)

Meeting Attendance 2013-2014	TOTALS			
WORKERS	Present	Apologies	Absent	Invited
SBC	283	59	5	347
Adult Protection Coordinator/Officer	62	5	0	67
Child Protection	1	0	1	2
Community Care Assessor	25	5	0	30
Home Care	5	0	0	5
Homelessness Services	0	0	0	0
Mental Health Officer	9	4	0	13
Occupational Therapist	3	0	0	3
Residential Home Manager	14	0	0	14
SBC Other	51	16	0	67
Social Worker	29	5	0	34
Social Work Manager	84	24	4	112
NHS	138	63	4	205
Clinical Psychologist	10	1	0	11
Community Psychiatric Nurse	6	1	0	7
General Practitioner	7	13	0	20
NHS Manager	30	18	2	50
NHS Other	6	3	1	10
NHS Support Worker	4	3	0	7
Nurse	7	0	0	7
Nurse - Discharge	0	0	0	0
Nurse - Specialist	15	5	0	20
Physiotherapist	0	1	0	1
Psychiatrist	15	4	0	19
Therapist	38	14	1	53
OTHER AGENCIES	268	85	7	360
Advisory Agency	5	2	0	7
Care Inspectorate	22	9	1	32
Client	10	4	0	14
Family Member or Friend	11	4	1	16
Housing Agency	3	0	0	3
Independent Advocate	13	2	0	15
Independent Agency Manager	35	6	0	41
Independent Agency Support Worker	13	0	0	13
Observer	13	4	0	17
Police	17	9	0	26
Solicitor	0	1	0	1
Specialist Substance Abuse Agency	2	4	1	7
Other	124	40	4	168
TOTALS	689	207	16	912

Significant Case & Incident Reviews (Table 23)

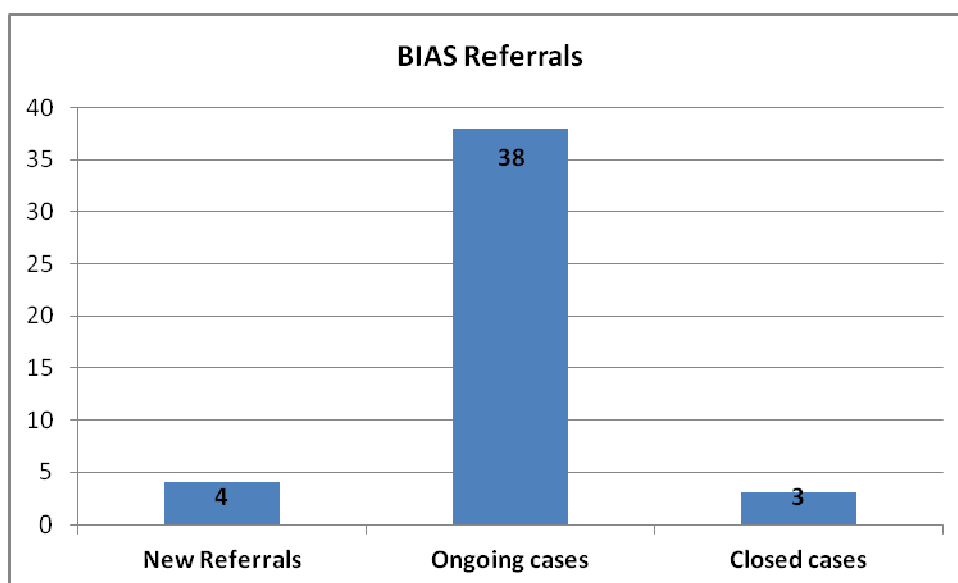
SCR's in this period -	0
Practice Reviews in this period -	2

Warrants and Protection Orders under Adult Support and Protection Act (Table 24)

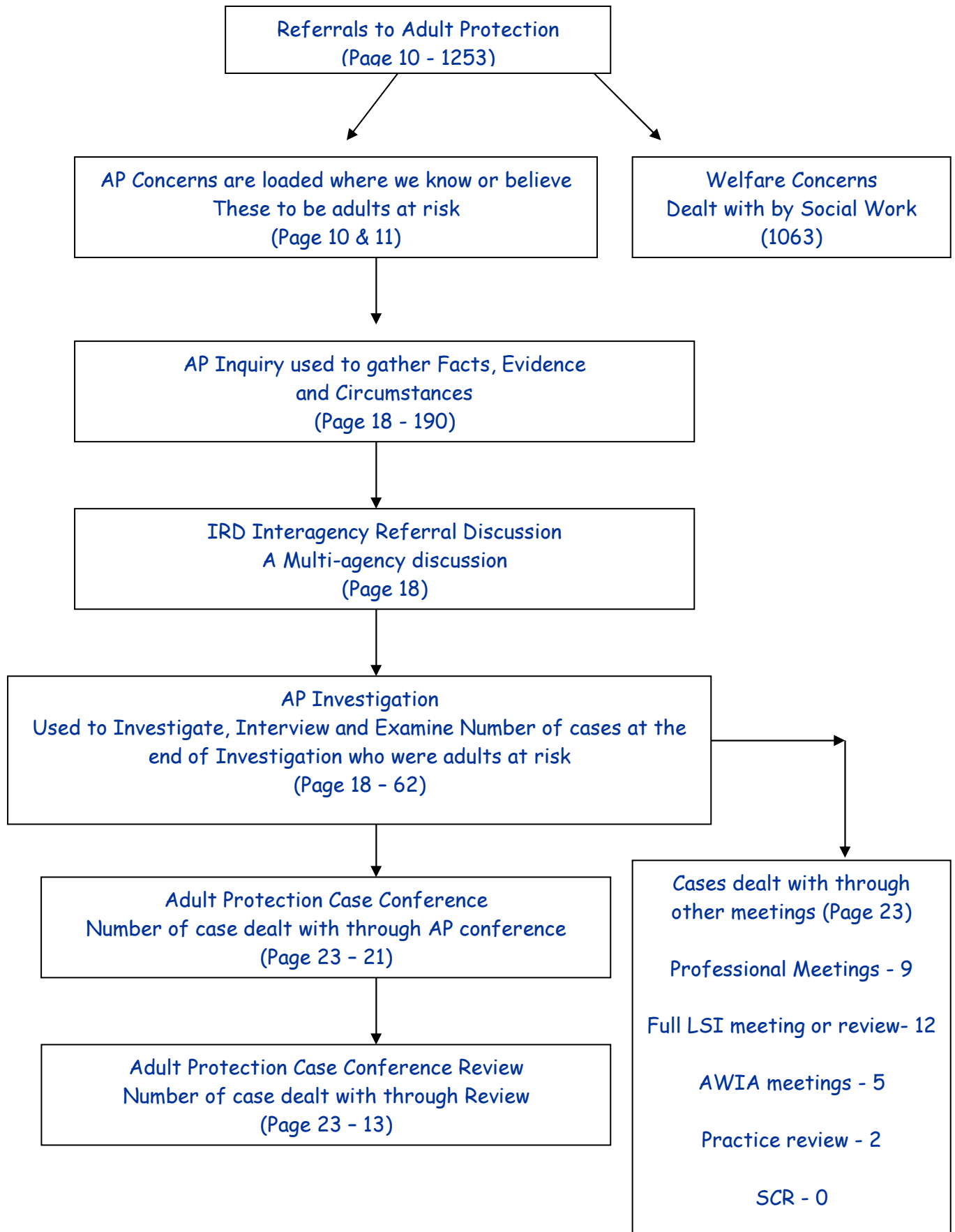
Removal Order -	0
Assessment Order -	0
Banning Order -	0

6. Advocacy (Table 25)

Borders Independent Advocacy Service (BIAS) reports to APC on a quarterly basis regarding service users involved in the AP process referred to them for support. During this period BIAS received a small number of new referrals, and continued to work with an existing client base. In the future BIAS will be involved in evaluating service users' experience of the AP process.



7. Schematic Diagram demonstrating Adult Protection activity through the process (Table 26)



8. Commentary on Annual Activity

This Annual report period, from start of April 2013 to the end of March 2014, has been a busy period. However this has not stopped SBC and its partners reflect and measure our progress to date. The AP self-evaluation and subsequent action plan saw the local authority and partners, highlight and address areas for improvement. As a follow up to this exercise and to ensure we have fully met the areas highlighted in the self-evaluation action plan, a follow up self-evaluation day will happen in November 2014.

Financial harm has once again been our greatest type of reported harm; phone and internet Scams, bogus callers, and financial theft, continue to be challenge here in Scottish Borders but also nationally over Scotland. Work has begun with Trading Standards and Police Scotland Safer Communities' Teams to tackle these issues. As an example locally, we identified a number of victims of Financial Harm in our area, and between Trading Standards and Social Work, these adults were contacted with support and advice around keeping themselves safe.

In general, older adults 71 years and over, account for half of all AP referrals to Scottish Borders, however this figure is echoed nationally. The other important point to highlight is that adult females beyond the age of 71 years become at greater risk of harm than males, this tends happen because, more females are living alone and tend to live longer than males, beyond 71 years of age the gap between genders begins to widen.

In terms of areas in Scottish Borders where harm occurs, the highest levels of harm remain in the largest population areas of Hawick, Galashiels and Central Borders. Pebbles has had a particular busy year, probably explained by a higher than usual number of Older Adults in the town and area. The Learning Disability Service continues to be a very busy team; this is a specialist service which covers the whole of Scottish Borders, relationships, boundaries and internet and phone harm have featured here.

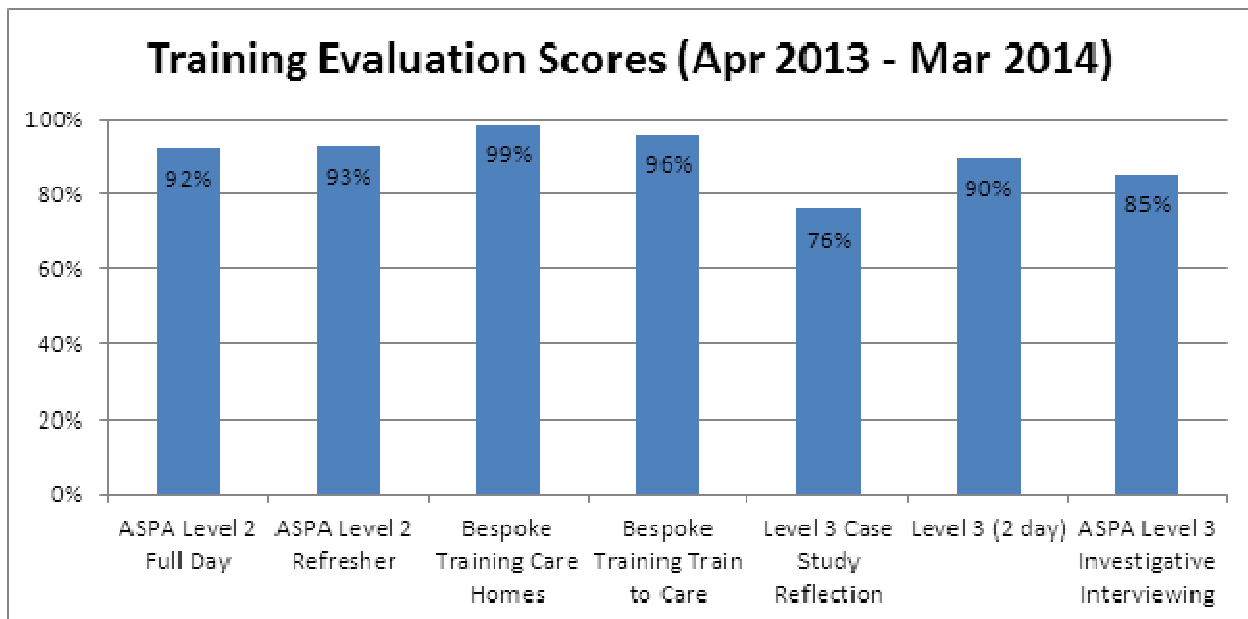
AP in Care Homes continues to be a local and national issue. Neglect/Acts of Omission, alongside some care home cultures, and poor management, compound the problem. On a positive note, Scottish Borders and partners have robust review arrangements and do work in partnership with providers and the regulator the Care Inspectorate. We hope to address some of these challenges and reduce harm in care homes, via a bespoke training package into all 22 Care Homes within Scottish Borders. Progress will continue to be monitored through our CCRT, LSI process, and through quarterly AP reports.

9. Learning & Development Programme (2013-2014) (Table 27)

The Learning & Development programme adopted by the Scottish Borders attempts to deliver a blended approach to learning. The content of the standard training sessions available (Level 1 - 3) in the rolling programme is based on the national training programme outcomes developed on behalf of the Scottish Government. The following tables layout the attendees and training and the types of training attended and training evaluation scores.

Session & Number of times delivered 2013-2014		Numbers of People Attended by Sector					
		SBC	NHS	Police Fire	Independent/ Voluntary	Housing	Other/ Unknown
Level 1: Basic Knowledge & Understanding	NHS Borders 'Protecting People' Corporate Induction (Apr-Jul 2013)		49				
	Adult Support & Protection (ASP)		*1209				
	NHS Borders ASP e-learning (12) (*Includes NHS inductions from August 13)						
	Police Scotland ASP e-learning Module			26			
Level 2: Knowledge & Understanding	'Supporting & Protecting Adults at Risk' Full Day Awareness Raising (14)	49	28	1	222	25	
	'Supporting & Protecting Adults at Risk' Half Day Refresher (7)	62	2	2	83	4	
Level 3: Detailed Knowledge, Understanding & Skills	'Supporting & Protecting Adults at Risk' 2 Day Detailed Knowledge (1)	16			8	3	
	Skills based Workshop for Council Officers (5)	69	16	2	25	2	1
Bespoke Training	Healthcare Support Workers Programme; Public Protection Session (5)		61				
	Train to Care sessions (2)	4	2		7		
	Support Worker Healthcare training (1)		17				
	Care Home Training (2)				33		
	GP Training (1)		3				
Total number of People attended, for all Adult Protection sessions:		200	1387	31	378	34	1
Total people trained		2031					

Training Evaluation Scores (Table 28)



Meeting the training needs of multi-agency partners and the third sector is something we do really well in Scottish Borders. The training is free to access and is delivered and rated to a very high standard. The Learning and Development group have overseen key training in NHS Borders Accident and Emergency settings, and have been pivotal in commissioning a bespoke training package to tackle harm in care homes. This key work will be delivered into the next annual report period, and is hoped to reduce harm in Care Home settings.

10. Closing Statement

This year has seen many changes in Adult Protection Policy and direction.

Locally ELBEG produced their latest AP guidelines and Scottish Government then produced a revised Adult Support and Protection Codes of Practice. These new codes incorporate transition arrangements and Self-Directed Support, the latter being a major policy shift in the way care is assessed and delivered. These developments prompted both NHS Borders and SBC to produce and refresh our local AP arrangements. These changes bring both processes up to date, to meet the evolving policy and challenges, we face in Scottish Borders.

The National Data set will allow all 32 local authorities in time to bench mark Scottish Borders, against other rural areas, up until this point, this was impossible as all local authorities collated information in different ways. AP is evolving and Scottish Borders is at the forefront. This should leave us well placed, as the integration of Health and Social Care Services approaches.

I would like to close this annual report by thanking SBC staff, NHS Borders, Police Scotland colleagues and our partners in the third sector. Without their continued support, commitment and cooperation, the support and protection of Adults at Risk would be challenging. The primary prevention work, close cooperation and commitment of all partners, and our Interagency Strategy ensure our vision; energy and resources are best utilised to target local and national objectives.

David Powell
Adult Protection Coordinator



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CHILD PROTECTION COMMITTEE ANNUAL REPORT 2013-2014

Report by Chief Social Work Officer

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report informs Members of the activities of the Child Protection Committee during the period 1 July 2013–31 July 2014 aimed at protecting children and young people in the Scottish Borders from abuse and neglect.**
- 1.2 The Annual Report covers the main activities of the multi-agency Child Protection Committee (CPC) and its Sub Committees. This information is presented in the Annual Report of the Scottish Borders Child Protection Committee which is included as an addendum to this report.
- 1.3 SBCPC oversees the development of all multi-agency child protection policies and procedures in the Scottish Borders and at the very forefront of this work are the online multi-agency child protection procedures. A number of new sections and protocols were added or updated to ensure best practice and that they are in line with national guidance and legislation.
- 1.4 The management of child protection performance and practice is overseen by CPC. Performance information and self evaluation reports continued to be routinely reviewed by CPC and on a single agency basis to identify trends and inform practice as required.
- 1.5 Lessons learned and good practice examples from National and local child protection case reviews were brought to CPC through the Practice Development and Review Sub Committees. Where required, updates were made to the child protection procedures.
- 1.6 CPC continued to maintain and develop strong working links with local and national strategic groups. The CPC Chair, Lead Officer and CPC Training Officer attended a number of National Child protection meetings during the period. The report in Appendix 1 summarises the work of the Committee, performance and key issues identified.

2 RECOMMENDATIONS

- 2.1 I recommend Council endorses the content of the Child Protection Committee Annual Report (Appendix 1) and agrees it is published on the Council's website and distributed.**

3 BACKGROUND

- 3.1 Scottish Borders Child Protection Committee (CPC) is an inter-agency group of senior staff which provides leadership in promoting the continual improvement of services in this area of work. This entails ensuring that there is an efficient and effective multi-agency response to reports of abuse through, for instance, reviewing and revising practice guidelines, joint training and reviewing of individual cases – all conducted within a culture of continuous learning. In addition, the Committee has a role to promote the safety of children through raising awareness in communities across the Scottish Borders of the key role which members of the public play.
- 3.2 The Child Protection Committee reports directly to the Critical Services Oversight Group (CSOG) consisting of Chief Executive (SBC), Chief Executive (NHS Borders) and Divisional Commander Police Scotland (Police).
- 3.3 During the reporting period 1st July 2013 to 31st July 2014, CPC was briefly chaired by Alan Blackie, who retired on 1st September 2013 and was replaced by Duncan MacAulay on 24th October 2013. Duncan has worked in the social work profession for over 35 years, holding several senior management posts including Director of Social Work and Chair of Child Protection Committee.
- 3.4 Members of CPC remain committed to the protection of children as the focus of the Committee's work. CPC has met regularly during the year. Much has been achieved by CPC and its Sub Groups through its inter-agency agenda and effective partnership work.

4 ANNUAL REPORT 2013-2014

- 4.1 The Annual report highlights the Child Protection Committee's work on training, awareness raising and performance monitoring as well as addressing key issues relating to this. Detailed below is a summary of key points.
- 4.2 There were 93 child protection investigations in 2013/14 with concerns identified including emotional abuse and alcohol and drug misuse. Referrals came from a range of routes including police, family/friends, neighbours and members of the public.
- 4.3 Staff training remains a key priority with a range of training opportunities available including awareness raising, as well as specific training including joint investigative training and child trafficking and exploitation
- 4.4 The CPC regularly monitors key performance information and has noted that the numbers of children on the register has recently decreased. The number of children on the Register in the 2nd Quarter of 2014 was 17. Further research is being undertaken to ensure there is continual improvement in keeping children and young people safe. The findings of this research will be reported back to the Child Protection Committee in 2015.

- 4.5 The CPC Independent Chair has actively promoted the role and remit of the CPC and given brief presentations about his role within the CPC to the Scottish Borders Localities in Eildon, Galashiels, Peebles and Hawick as well as giving a short presentation to Primary Care Administrators, Community Nurses, GPs, Allied Health Professionals and Practice Nurses at Tweed Horizons Centre.
- 4.6 CPC continued its commitment to raising awareness of child protection through the delivery of child protection training events, the CPC website and CPC newsletters.
- 4.7 Child Protection Training remains a key responsibility of CPC with a range of multi-agency and single agency events being held throughout the year.
- 4.8 CPC continued its commitment to creating a positive and transparent culture of continuous learning based upon feedback from those who use and experience our services.
- 4.9 CPC has firmed up cross border links with Northumberland Safeguarding Children's Board and Cumbria Safeguarding Children's Board. Training calendars will be shared between authorities on a regular basis and link names have been exchanged.
- 4.10 CPCs priorities for the forthcoming year (2014/2015), which are the basis of the CPC business plan, are included in the annual report.

5 IMPLICATIONS

5.1 Financial

There are no financial implications.

5.2 Risk and Mitigations

The Annual Report is an important document for monitoring activity and for identifying areas of improvement. The risk of not collating information in this way would be a reduction in the quality of strategic work relating to Child Protection.

5.3 Equalities

There are no equality implications.

5.4 Acting Sustainably

There are no implications identified.

5.5 Carbon Management

There are no implications identified.

5.6 Rural Proofing

There are no implications identified.

5.7 Changes to Scheme of Administration or Scheme of Delegation

There are no implications identified.

6 CONSULTATION

- 6.1 The Chief Financial Officer, the Service Director Regulatory Services as Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR, and the Clerk to the Council have been consulted and their comments have been incorporated into the final report.

Approved by

Elaine Torrance
Chief Social Work Officer

Signature

Author(s)

Name	Designation and Contact Number
Gillian Nicol	Child Protection Lead Officer Tel: 01835 825 080

Background Papers: Nil

Previous Minute Reference: Nil

Note – You can get this document on tape, in Braille, large print and various computer formats by contacting the address below. Ginny Ferguson can also give information on other language translations as well as providing additional copies.

Contact: Ginny Ferguson/Susan Cannon, People Department, Scottish Borders Council, Council HQ, Newtown St. Boswells, Melrose, TD6 OSA. Tel: 01835 825080

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**FLOOD RISK MANAGEMENT (SCOTLAND) ACT 2009
APPROVAL OF DRAFT LOCAL FLOOD RISK MANAGEMENT
PLANS TO BE PUBLISHED ON 2 MARCH 2015 FOR PUBLIC
CONSULTATION**

Report by Service Director Commercial Services

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report proposes that the Council approve the draft Local Flood Risk Management Plans for the Forth Estuary Local Plan District, the Tweed Local Plan District, and the Solway Local Plan District. This approval is required to allow the draft plans to be published for public consultation, on 2 March 2015.**
- 1.2 The Flood Risk Management (Scotland) Act 2009 (FRM Act) requires the production of Flood Risk Management Plans covering each Local Plan District (LPD) in Scotland. Scottish Borders Council's area falls within 3 of the 14 local plan districts in Scotland. These are Forth Estuary LPD, Tweed LPD and Solway LPD.
- 1.3 There will be two sets of complementary plans: Flood Risk Management Strategies produced by the Scottish Environment Protection Agency (SEPA), and Local Flood Risk Management Plans produced by Lead Local Authorities. Scottish Borders Council is the Lead Local Authority for Tweed LPD.
- 1.4 The Flood Risk Management Strategies and Local Flood Risk Management Plans are being developed to be complementary through collaborative partnerships between Local Authorities, SEPA and Scottish Water.
- 1.5 The Flood Risk Management Strategies and Local Flood Risk Management Plans will provide a framework for co-ordinating actions across catchments to deal with all sources of flooding. These plans will be used to ensure long term planning around flooding and future funding should take them into account. This will help to target investment in areas where there is the greatest risk of flooding and where communities can receive the greatest benefit. This will help to maximise the benefit of public investment.

- 1.6 A public consultation will take place from 22 December 2014 to 2 June 2015. This will provide an opportunity for members of the public and stakeholder organisations to comment on the information that will be included in the Flood Risk Management Strategies and Local Flood Risk Management Plans and will ultimately shape the actions that will be taken to manage flood risk.
- 1.7 The public consultation is based on Potentially Vulnerable Areas which are areas where there is significant flood risk, sufficient to justify further assessment and appraisal of flood management actions.
- 1.8 SEPA published the first part of the draft Flood Risk Management Strategies on 22 December 2014. This consists of the characterisation reports for all sources of flooding in Potentially Vulnerable Areas in each of the 14 Local Plan Districts in Scotland.
- 1.9 SEPA will publish the second part of the draft Flood Risk Management Strategies on 2 March 2015. This will consist of the initial objectives and the short list of actions for all sources of flooding in Potentially Vulnerable Areas in each of the 14 Local Plan Districts in Scotland.
- 1.10 Lead Local Authorities will publish the draft Local Flood Risk Management Plans on 2 March 2015.
- 1.11 The 3 draft Local Flood Risk Management Plans relevant to Scottish Borders are given in Appendices 1, 2 and 3 for the Forth Estuary LPD10, Tweed LPD13 and Solway LPD14 respectively.
- 1.12 There is now a need to obtain the Council's approval of the draft Local Flood Risk Management Plans. This approval is required in order to allow publication of the draft plans for consultation on 2 March 2015.

2 RECOMMENDATIONS

2.1 I recommend that the Council:-

- (a) Approves the draft Local Flood Risk Management Plan for PVA10/26 – Berwickshire Coast in the Forth Estuary Local Plan District for consultation, as detailed in Appendix 1.**
- (b) Approves the draft Local Flood Risk Management Plan for the Tweed Local Plan District for consultation, as detailed in Appendix 2.**
- (c) Approves the draft Local Flood Risk Management Plan for PVA14/03 – Newcastleton in the Solway Local Plan District, for consultation, as detailed in Appendix 3.**

3 BACKGROUND

- 3.1 The Flood Risk Management (Scotland) Act 2009 (FRM Act) requires the production of Flood Risk Management Plans covering each Local Plan District in Scotland.
- 3.2 There will be two sets of complementary plans: Flood Risk Management Strategies produced by the Scottish Environment Protection Agency (SEPA), and Local Flood Risk Management Plans produced by Lead Local Authorities.
- 3.3 The Lead Local Authority of each local plan district is responsible for leading the production, consultation, publication and review of the Local Flood Risk Management Plan.
- 3.4 There are 14 local plan districts in Scotland. Scottish Borders Council's area falls within 3 of these local plan districts which are Forth Estuary, Tweed, and Solway. Edinburgh City Council is Lead for the Forth Estuary, Scottish Borders Council is Lead for Tweed, and Dumfries and Galloway Council is Lead for Solway.
- 3.5 The Flood Risk Management Strategies will identify the main flood hazards and impacts, setting out objectives for reducing flood risk and the best combination of actions to achieve this, such as the appropriateness of an alleviation scheme or improving flood warning arrangements. The Flood Risk Management Strategies for each of the 14 Local Plan Districts, taken together, will comprise the National Flood Risk Management Plan for Scotland. The Flood Risk Management Strategies will cover 3 six-year cycles.
- 3.6 The Local Flood Risk Management Plans will take the objectives and actions identified in the Flood Risk Management Strategies and set out what actions will be taken forward, by whom and at what time, to deliver the plan within a six-year cycle.
- 3.7 The Flood Risk Management Strategies and Local Flood Risk Management Plans will provide a framework for co-ordinating actions across catchments to deal with all sources of flooding. These plans will be used to ensure long term planning around flooding and future funding should take them into account. This will help to target investment in areas where there is the greatest risk of flooding and where communities can receive the greatest benefit. This will help maximise the benefit of public investment.
- 3.8 The first planning cycle will run from 2011 to 2016.
- 3.9 The first implementation cycle will run from 2016 to 2022.
- 3.10 The Flood Risk Management Strategies and Local Flood Risk Management Plans are being developed to be complementary through collaborative partnerships between Local Authorities, SEPA and Scottish Water.

- 3.11 In addition, the engagement and support of local and national advisory groups is being sought during the development of the Flood Risk Management Strategies and Local Flood Risk Management Plans.

4 PUBLIC CONSULTATION

- 4.1 A combined SEPA and Scottish Borders Council public consultation will take place from 22 December 2014 to 2 June 2015. This will provide an opportunity for members of the public and stakeholder organisations to comment on the information that will be included in the Flood Risk Management Strategies and Local Flood Risk Management Plans and will ultimately shape the actions that will be taken to manage flood risk.
- 4.2 Lead Local Authorities and SEPA are required to coordinate their consultation arrangements. This is being taken forward by hosting the consultation on Citizen Space, an online consultation tool and coordinating communications, providing a key opportunity to contribute towards raising awareness of flood risk.
- 4.3 The public consultation is based on Potentially Vulnerable Areas which are areas where there is significant flood risk, sufficient to justify further assessment and appraisal of flood management actions.

The public consultation will include:

1. Characterisation reports
Characterisation reports define the existing level of flood risk under the current regime of flood risk management. The characterisation reports define the flood risk problem in Potentially Vulnerable Areas and provide the evidence base against which future actions to reduce risk can be assessed and compared.
 2. Objectives
Agreeing objectives will help develop a common vision for flood risk management. Objectives are agreed in two stages. Initial objectives for consultation are based on SEPA's flood maps and the detailed knowledge and information provided by local authorities, both of which are summarised in the characterisation reports. These objectives are quite broad in nature with their purpose being to help identify the appropriate actions to manage flooding. After consultation, with the benefit of more detailed information on what is practical and feasible in terms of technical solutions and resources, these initial objectives will be refined to provide specific final objectives to manage flooding.
 3. Actions
Once objectives to manage risk in the Potentially Vulnerable Areas have been agreed, a short list of possible actions needs to be identified. Starting from a long-list of possible actions that includes the full range of structural and non-structural interventions, a screening exercise will refine the list to ensure the most sustainable combination of actions is selected to manage the identified flood risks.
- 4.4 SEPA published the first part of the draft Flood Risk Management Strategies on 22 December 2014. This consists of the characterisation reports for all sources of flooding in Potentially Vulnerable Areas in each of the 14 Local Plan Districts in Scotland.

- 4.5 SEPA will publish the second part of the draft Flood Risk Management Strategies on 2 March 2015. This will consist of the initial objectives and the short list of actions for all sources of flooding in Potentially Vulnerable Areas in each of the 14 Local Plan Districts in Scotland.
- 4.6 Lead Local Authorities will publish the draft Local Flood Risk Management Plans on 2 March 2015. These plans consist of two parts which are:
1. A supplementary part
This will be the flood risk management strategies which have been developed by SEPA in collaboration with Local Authorities and Scottish Water.
 2. An implementation part.
This is a delivery plan for the first six-year cycle which will include a detailed timetable, responsibility and arrangements for funding actions which are to be implemented in that cycle.
- 4.7 The required consultation period for both the strategies and plans is a minimum of 3 months. The end of the consultation period for both the strategies and plans is therefore 2 June 2015.
- 4.8 The final Flood Risk Management Strategies will be published on 22 December 2015.
- 4.9 The final Local Flood Risk Management Plans will be published on 2 June 2016.

5 FLOOD RISK MANAGEMENT STRATEGIES

- 5.1 Scottish Borders Council has its own flood protection strategy in place at present. This strategy is based on the principles of avoidance, alleviation, awareness raising and assistance. This was reported to and approved by the Council as follows:
1. Flood Study Scheme Prioritisation Programme (Executive – 2 September 2007)
 2. Strategic Approach to Flood Prevention (Council – 21 February 2008)
 3. Strategy for the Implementation of Flood Protection Schemes (Council – 24 June 2010)
- 5.2 Avoidance actions include maintenance of existing flood defences and watercourse conveyance, and the use of strategic flood risk assessment to inform local development plans and development proposals.
- 5.3 Alleviation actions include large scale formal flood protection schemes and small scale flood protection works. The formal flood protection schemes being taken forward at present are Selkirk FPS, Hawick FPS and Jedburgh (Skiprunning Burn) FPS. The Galashiels FPS was completed and officially opened on 7 November 2014.
- 5.4 Awareness raising actions include promotion of both national and local flood warning target areas and self help flood groups and more recently resilient communities groups.

- 5.5 Assistance includes provision of a subsidised flood protection products scheme, maintenance of sandbag stores and emergency response in the event of a flood.
- 5.6 There has been an extensive data and information collection exercise as part of collaboration between Local Authorities, SEPA and Scottish Water in developing the national Flood Risk Management Strategies. Scottish Borders Council has provided details of its current strategy as part of this information collection exercise and this has informed ongoing collaboration.
- 5.7 When the final version of the national Flood Risk Management Strategies, are published on 22 December 2015, these will be adopted by Scottish Borders Council for the management of flood risk in the Council's area.
- 5.12 All sources of flooding apart from canal flooding are considered for each Potentially vulnerable Area. These are river, coastal and surface water flooding.

6 LOCAL FLOOD RISK MANAGEMENT PLANS

- 6.1 There are three separate draft Local Flood Risk Management Plans which cover Scottish Borders Council's area. These are:

1. Local Flood Risk Management Plan – Forth Estuary LPD10.
2. Local Flood Risk Management Plan – Tweed LPD13.
3. Local Flood Risk Management Plan – Solway Estuary LPD14.

- 6.2 There is 1 Potentially Vulnerable Area in the Forth Estuary Local Plan District which is within Scottish Borders Council's area. This is:

1. PVA 10/26 Berwickshire Coast.

- 6.3 There are 13 Potentially Vulnerable Areas in the Tweed Local Plan District 12 of which are within Scottish Borders Council's area and 1 of which is within South Lanarkshire Council's area. These are:

1. PVA 13/01 West Linton
2. PVA 13/02 Preston
3. PVA 13/03 Lauder
4. PVA 13/04 Eddleston, Peebles, Innerleithen, Selkirk, Stow and Galashiels
5. PVA 13/05 Earlston
6. PVA 13/06 Coldstream
7. PVA 13/07 Biggar (South Lanarkshire Council)
8. PVA 13/08 Broughton
9. PVA 13/09 Kelso
10. PVA 13/010 Jedburgh
11. PVA 13/011 Denholm
12. PVA 13/012 Hawick
13. PVA 13/013 Bonchester Bridge

- 6.4 There is 1 Potentially Vulnerable Area in the Solway Local Plan District which is within Scottish Borders Council's area. This is:
1. PVA 14/03 Newcastleton
- 6.5 The draft Local Flood Risk Management Plans have taken the relevant draft Flood Risk Management Strategies and turned them into draft local delivery plans. These draft delivery plans provide a summary of local actions to manage flood risk and include delivery dates, consider funding and identify how actions will be co-ordinated at local level for the next six years. This will help to target investment in areas where there is the greatest risk of flooding and where communities can receive the greatest benefit. This will help to maximise the benefit of public investment.
- 6.6 The draft delivery plans contain two types of actions. There are LPD level actions which apply across the whole of Scottish Borders Council's area and PVA level actions which apply to an individual PVA.
- 6.7 The LPD level actions are:
1. Land use planning
 2. Self help / awareness raising
 3. Emergency planning
 4. Watercourse clearance and repair
 5. Property level protection
 6. Flood warning schemes
 7. Surface water management plans
- 6.8 The PVA level actions are:
1. Maintenance of existing flood protection schemes
 2. Runoff control
 3. River or floodplain restoration
 4. Sediment management
 5. Construction of online or offline storage
 6. Modification of conveyance
 7. Construction of direct flood defences
 8. Site protection plans
 9. Improved understanding
- 6.9 The actions are grouped under two separate headings in the draft delivery plans. These headings are:
1. **Ongoing and Confirmed Actions** (Actions that are either underway or where the funding has been confirmed for 2016 – 2021).
 2. **Potential Actions** (Actions that are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016 – 2021 due to project lead-in times and / or funding constraints).

- 6.10 The three draft Local Flood Risk Management Plans relevant to Scottish Borders Council are given in Appendices 1, 2 and 3 for the Forth Estuary LPD10, Tweed LPD13 and Solway LPD14 respectively.
- 6.11 There is now a need to obtain the Council's approval of these draft Local Flood Risk Management Plans. This approval is required in order to allow publication of the draft plans for consultation on 2 March 2015.

7 IMPLICATIONS

7.1 Financial

- (a) This report does not have any immediate financial implications with respect to developing the strategies and plans as funding is included in the Asset Management revenue budget.
- (b) The report does have future financial implications with respect to LPD level actions. These actions are currently funded and consequently have been included in the plans under the ongoing and confirmed group of actions. This assumes that funding will continue for these actions.
- (c) The report does not have any future financial implications with respect to the implementation of flood protection schemes as the only schemes included in the plans under the ongoing and confirmed group of actions are those which are currently funded in the 10 year capital plan. These are Selkirk FPS and Jedburgh (Skiprunning Burn) FPS which are funded through to completion and Hawick FPS which is funded through to the end of design preparation stage. The construction stage of Hawick FPS is dependent on an 80% contribution from the Scottish Government. This future funding has yet to be determined.

The programme for these schemes is given in Appendix 4.

- (d) This report does have future financial implications with respect to flood studies. These studies are required to inform development of surface water management plans and future potential flood protection schemes. There is no provision in current financial plans for these studies. A programme for the studies and an associated draft financial plan are given in Appendix 5.

It is noted that national funding of actions and in particular flood protection schemes and associated studies may be based on the national priorities arising out of the Flood Risk Management Strategies. The future funding mechanism has however, yet to be determined.

7.2 Risk and Mitigations

- (a) There is a risk the public do not consider that the strategies and plans reflect the real flood risk to them. This risk is being mitigated by an extensive data and information collection exercise being undertaken by SEPA, Local Authorities and Scottish Water.
- (b) There is a risk the public consider the New Flood Maps developed as part of the national flood risk assessment is resulting in increased insurance premiums. This risk is being mitigated by explaining the basis on which these maps are provided.
- (c) There is a risk the production of the Flood Risk Management Strategies and Local Flood Risk Management Plans is delayed and statutory publication dates are not achieved. This risk is being mitigated by a high degree of co-operation and collaboration between SEPA, Local Authorities and Scottish Water.

7.3 Equalities

Research has shown that the more vulnerable groups in society are more negatively impacted by flooding.

Therefore the proposed strategies and plans which are aimed at reducing the risk of flooding and making people more prepared will have a beneficial effect on these vulnerable groups.

7.4 Acting Sustainably

The development of the strategies and plans are being undertaken as part of implementing the Flood Risk Management (Scotland) Act 2009 which has, as its core principle, the need to act sustainably.

7.5 Carbon Management

- (a) Natural Flood Management actions provide opportunities for carbon management and are being considered under the Low Carbon Strategy initiative.
- (b) There will be a carbon cost in the implementation of some actions but this should be offset by the benefits in reducing the impact of flooding.

7.6 Rural Proofing

It is anticipated there will be no adverse impact on the rural area from the proposals contained in this report.

7.7 Changes to Scheme of Administration or Scheme of Delegation

There are no changes required to either the Scheme of Administration or the Scheme of Delegation as a result of the proposals in this report.

8 CONSULTATION

- 8.1 The Chief Financial Officer, the Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR, and the Clerk to the Council have been consulted and their comments have been taken into account in the final report.
- 8.2 The Corporate Equalities and Diversity Officer and Corporate Communications have been consulted and their comments have been taken into account in the final report.

Approved by

Andrew Drummond-Hunt

Service Director Commercial Services Signature

Author(s)

Name	Designation and Contact Number
David Green	Flood and Coastal Management Team Leader - 01835 825180

Background Papers:

1. Flood Study Scheme Prioritisation Programme (Executive – 2 September 2007)
2. Strategic Approach to Flood Prevention (Council – 21 February 2008)
3. Strategy for the Implementation of Flood Protection Schemes (Council – 24 June 2010)
4. Flood Risk Management (Scotland) Act 2009 – Approval of Draft Flood Risk Management Strategies to be published on 22 December 2014 for Public Consultation – 30 October 2014.

Previous Minute Reference:

1. Flood Risk Management (Scotland) Act 2009 – Draft Flood Risk Management Strategies – Minute – SCOTTISH BORDERS COUNCIL – 30 October 2014

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APPENDIX 1

Draft Local Flood Risk Management Plan Forth Estuary LPD10

APPENDIX 2

Draft Local Flood Risk Management Plan Tweed LPD13

APPENDIX 3

Draft Local Flood Risk Management Plan Solway Estuary LPD14

APPENDIX 4

Flood Protection Schemes Programme

APPENDIX 5

Flood Studies Programme and Financial Plan

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Delivery Plan for Consultation

Berwickshire Coast (Potentially Vulnerable Area 10/26)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Flood warning schemes	100841800	Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.	There is a SEPA coastal flood warning scheme in place for Eyemouth. There is also a flood warning scheme in place for Grantshouse to Eyemouth for the Eye Water. These schemes are ongoing.	SEPA	SEPA
Maintain Eye Water flood warning scheme.	100993311810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA
Maintain Firth of Forth and Tay flood warning scheme.	100993491810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the	Ongoing	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in	SEPA

Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		needs of the local community.		aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Property level protection	100841700	<p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk.</p> <p>This scheme is ongoing.</p> <p>The effectiveness of property level protection is dependent on availability of flood warnings.</p>	<p>Scottish Borders Council's Capital Budget.</p> <p>Self-funded by Property Owners</p>	Property Owner
Self help / awareness raising	-	Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding.	Ongoing	<p>Individuals, businesses, organisations and communities.</p> <p>SEPA</p> <p>Scottish Borders Council's Revenue Budget.</p>	<p>Individuals, businesses, organisations and communities.</p> <p>SEPA (awareness raising, flood warnings)</p> <p>Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme)</p>

Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		They focus on awareness and understanding of the flood risk.			and resilient communities groups)
Emergency planning	-	Emergency response plans are applicable for all sources of flooding. They set out the steps to be taken during a flood event to maximise safety and minimise impacts where possible.	Ongoing	Proportional funding by appropriate Council's Revenue Budgets	Scottish Borders Council East Lothian Council Emergency Services
Land use planning	-	Application of national and local planning policies including objectives and actions Local Plan District development plan	Ongoing	Proportional funding by appropriate Council's Revenue Budgets	Scottish Borders Council East Lothian Council
Maintenance of existing coast protection scheme	-	Existing seawall defences in Eyemouth provide coastal erosion and flood protection to residential and non-residential properties.	Ongoing	Scottish Borders Council's Revenue Budget	Scottish Borders Council
Watercourse Maintenance	-	Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing	Proportional funding by appropriate Council's Revenue Budgets where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council East Lothian Council Property Owner

Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Relocation of properties/infrastructure away from flood risk areas	100840200	<p>Some of the properties that have been identified to be at risk of flooding may be suitable for relocation.</p> <p>Relocation of properties or infrastructure, currently at risk of flooding, away from the flood risk area may be applicable in locations where frequent flooding is expected to a limited area that may be otherwise difficult or uneconomical to protect.</p>	<p>This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's Capital Budget and Scottish Government's Capital Budget.</p>	<p>Scottish Borders Council</p>
Wave attenuation	100840800	<p>Wave attenuation actions use the natural characteristics of different types of coastal land cover to either reduce the impact of waves and coastal erosion or to act as a physical barrier to tidal waters.</p>	<p>This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's Capital Budget and Scottish Government's Capital Budget.</p>	<p>Scottish Borders Council</p>

Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
Construction of Direct flood Defences	100841400	<p>Within Eyemouth, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</p>	<p>This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government.</p> <p>There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's Capital Budget and Scottish Government's Capital Budget.</p>	<p>Scottish Borders Council</p>
Site protection plans	100842100	<p>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</p>	<p>This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government.</p> <p>There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's Capital Budget and Scottish Government's Capital Budget.</p>	<p>Scottish Borders Council</p>
Modelling and other assessments to improve knowledge of flood hazards	100842200	<p>Improved knowledge of the risks from different events helps to develop plans to</p>	<p>A Shoreline Management Plan for the Berwickshire Coast</p>	<p>Scottish Borders Council's Revenue Budget.</p>	<p>Scottish Borders Council</p>

Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
and impacts		avoid or mitigate future flooding to sensitive areas.	will be developed in 2016 followed by a Coastal Flood Study for Eyemouth in 2017 and 2018.		
Improve Eye Water flood warning scheme	100993311821	This action has been identified because it is technically possible to improve the existing flood warning scheme. It will be selected where the costs of improvement are justified based on the potential benefits.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA
Simplify Eye Water flood warning scheme	100993311830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA
Improve sign-up of Firth of Forth and Tay flood warning scheme	100993491822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in	SEPA

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Firth of Forth and Tay flood warning scheme	100993491830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA

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Tweed Catchment Area (Local Plan District 13)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.		Application of national and local planning policies including objectives and actions in the Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.		<i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget and South Lanarkshire Council's budgets where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Local Authorities and Riparian Owners.
Property level protection.		<i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 69		<i>resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i>	level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.		
Flood warning schemes.		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There are 30 number SEPA flood warning schemes in place for rivers in the Scottish Borders. There is 1 number SEPA flood warning schemes in place for the Berwickshire Coast in the Scottish Borders. Ongoing.	SEPA.	SEPA.
Maintain flood warning schemes.		This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		local community.		addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Local flood warning schemes.		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There are 3 number Scottish Borders Council flood warning schemes in place in the Scottish Borders. These schemes are for Longphilip Burn in Selkirk, Bakehouse Burn in Galashiels and Skiprunning Burn in Jedburgh. Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Maintain local flood warning scheme.		This action has been identified for all existing local flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
<p>POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.</p>					
See individual PVA draft delivery plans.					

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West Linton (Potentially Vulnerable Area 13/01)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.		Application of national and local planning policies including objectives and actions in the Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 73		taken during a flood event to maximise safety and minimise impacts where possible.			
	Watercourse clearance and repair.	Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
	Property level protection.	Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>flooding.</i>			

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

None					
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Preston (Potentially Vulnerable Area 13/02)

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.		Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.	130321800	<i>flooding. Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is SEPA flood warning scheme in place for Preston. This is Preston to Paxton for the Whiteadder Water. Ongoing.	SEPA.	SEPA.
Maintain Whiteadder Water flood warning scheme.	130323471810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Simplify Whiteadder Water flood warning scheme.	130323471830	This action has been identified because other structural actions are	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		being considered in this area which may reduce the need for a detailed flood warning scheme.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	

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Lauder (Potentially Vulnerable Area 13/03)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 80		taken during a flood event to maximise safety and minimise impacts where possible.			
	Watercourse clearance and repair.	Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
	Property level protection.	Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
130323321801 Flood warning schemes.		<i>flooding.</i> <i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a SEPA flood warning scheme in place for the Leader Water. Ongoing.	SEPA.	SEPA.
Maintain Gala and Leader Water flood warning scheme.	130323321810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Improve signup of Gala and Leader Water flood warning scheme.	130323321822	This action has been identified because the sign-up rate in some of	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
page 82		the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Gala and Leader Water flood warning scheme.	130323321830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Edinburgh, Peebles, Innerleithen, Selkirk, Stow and Galashiels (Potentially Vulnerable Area 13/04) - SELKIRK

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in the Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.	130071800, 130081800, 130091800, 130101800, 130111800, 130121800 & 130181800	Existing defences along the Ettrick Water and Yarrow Water provide protection to residential, non-residential and community properties up to a 1 in 30 year event. <i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing. These defences will become redundant when construction of the Selkirk Flood Protection Scheme is completed in December 2016.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Property level protection.	130071700, 130091700, 130111700 & 130181700	<p>event.</p> <p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i></p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings.</p> <p>Ongoing.</p>	Scottish Borders Council's capital budget.	Property Owner.
Flood warning schemes.	130071800, 130081800, 130091800, 130101800, 130111800, 130121800 & 130181800	<p><i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i></p>	<p>There are a number of SEPA flood warning schemes in place for Selkirk. These are Selkirk, Bannerfield and Riverside and Selkirk to Lindean for the Ettrick Water. There are also schemes in place for Ettrick Valley and Yarrow Valley for the</p>	SEPA.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			Etrick Water and Yarrow Water respectively. Ongoing.		
Maintain Etrick Water flood warning scheme.	130323301810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Yarrow Water flood warning scheme.	130323481810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Local flood warning schemes.		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a Scottish Borders Council flood warning scheme in place for the Philiphaugh, Ettrickhaugh and Bannerfield areas in Selkirk for the Longphilip Burn. Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Maintain Longphilip Burn local flood warning scheme.		This action has been identified for all existing local flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Sediment Management.	130070700, 130080700, 130090700, 130100700, 130110700, 130120700 & 130180700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	The existing offline sediment management basin on the Longphilip Burn in the Philiphaugh area of Selkirk will be incorporated into the Selkirk Flood Protection Scheme 2012 when the Scheme is completed	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Construction of online and offline storage.	130071000, 130081000, 130091000, 130101000, 130111000 & 130121000	Upstream of Selkirk land with the potential to be used for online or offline storage has been identified. This could offer a reduction in flood risk along the Yarrow Water for medium likelihood events. Flood storage actions retain water in the upper catchment or away from the channel, to reduce level and flow in the river. The benefit of these actions decreases further downstream although they can be designed to benefit multiple communities.	in December 2016. Online storage at St. Marys Loch is being provided as part of the Selkirk Flood Protection Scheme 2012, which will be completed in December 2016.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modification of Conveyance	130071100, 130081100, 130091100, 130101100, 130111100, 130121100 & 130181100	Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential benefits of these actions are greatest during high	Modification of conveyance in the Ettrick Water at Lindean is being provided as part of the Selkirk Flood Protection Scheme 2012, which will be completed in	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		probability events.	December 2016.		
Installation / modification of fluvial control.	130181200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	Modification of fluvial control at St. Marys Loch is being provided as part of the Selkirk Flood Protection Scheme 2012, which will be completed in December 2016.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Construction of Direct Flood Defences.	130071400, 130081400, 130091400, 130101400, 130111400, 130121400 & 130181400	<p>Within Selkirk, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	<p>Direct flood defences are being provided as part of the Selkirk Flood Protection Scheme 2012, which will be completed in December 2016.</p> <p>The defences along the Ettrick Water and Yarrow Water will provide protection to residential, non-residential and community properties in Philiphaugh, Ettrickhaugh and</p>	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
<p>Page 90</p>			<p>Bannerfield up to a 1 in 200 year plus climate change event.</p> <p>The defences along the Etrick Water will provide protection to residential, non-residential and community properties in Riverside up to a 1 in 500 year plus climate change event.</p> <p>The defences along the Longphilip Burn will provide protection to residential, non-residential and community properties in Philiphaugh, Etrickhaugh and Bannerfield up to a 1 in 100 year plus climate change event.</p> <p>The defences along the Shaw Burn will provide protection to residential, non-residential and</p>		

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 91			community properties in the Shaw burn and Riverside areas up to a 1 in 200 year plus climate change event.		
	Modelling and other assessments to improve knowledge of flood hazards and impacts.	130082200, 130082200, 130092200, 130102200, 130112200, 130122200 & 130182200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	Modelling and other assessment are being undertaken as part of the Selkirk Flood Protection Scheme 2012, which will be completed in December 2016.	Scottish Borders Council's revenue budget.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130070500, 130080500, 130090500, 130100500, 130110500, 130120500 & 130180500	Upstream of Selkirk an area with the potential to be used for runoff control has been identified. Further analysis has shown that due to its positioning within the catchment and / or its size, this action will not reduce flood risk in the target area.	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i>	currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
River or floodplain restoration.	130070600, 130080600, 130090600, 130100600, 130110600, 130120600 & 130180600	Upstream of Selkirk land with potential for river or floodplain restoration has been identified. Further analysis has shown that due to its positioning within the catchment and / or its size, this action will not reduce flood risk in the target area. <i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council
Site protection plans.	130072100,	<i>Site protection plans are</i>	This is a short listed	Scottish Borders	Scottish Borders

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 93	130082100, 130092100, 130102100, 130112100 & 130122100	<i>developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Council's capital budget and Scottish Government's capital budget.	Council.
Improve signup of Ettrick Water flood warning scheme.	130323301822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Ettrick Water flood warning scheme.	130323301830	This action has been identified because other	Potential actions are drawn from a short list	The maintenance of SEPA's flood warning	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Improve signup of Yarrow Water flood warning scheme.	130323481822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Yarrow Water flood warning scheme.	130323481830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			actions that are identified as being of priority are dependent on funding.	grant funding to enable SEPA to implement new flood warning schemes.	

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.	130130100	Existing defences along the Edderston Burn provide protection to residential and non-residential properties up to a 1 in 30 year event. <i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Property level protection.	130131700	<p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i></p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings.</p> <p>Ongoing.</p>	Scottish Borders Council's capital budget.	Property Owner.
Flood warning schemes.	130131800	<p><i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i></p>	<p>There are a number of SEPA flood warning schemes in place for Peebles. These are the Peebles scheme for the Eddleston Water and the Peebles and Peebles to Yair schemes for the River Tweed.</p> <p>There are also a number of SEPA flood warning schemes in</p>	SEPA.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			place upstream of Peebles. These are the Shiplaw to Crossburn including the Eddleston scheme for the Eddleston Water, the Romannobridge to Lyne Station scheme for the Lyne Water and the Dawyck to Lyne Ford scheme for the River Tweed. Ongoing.		
Maintain Eddleston Water flood warning scheme.	130323271810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Lyne Water flood warning scheme.	130323361810	This action has been identified for all existing flood warning schemes. It	Ongoing.	The maintenance of SEPA's flood warning service is funded by	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 100		will be appropriate where the existing scheme meets the needs of the local community.		Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Peebles covered by a surface water management plan.	130052382	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most sustainable actions to achieve the objectives.	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Section 16 Assessment of the risk of flooding from sewerage systems.		Scottish Water to undertake an assessment identifying where in Peebles it considers a flood is likely to originate from its sewerage system and estimating the volume of water which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.
Modelling and other assessments to improve knowledge of surface water flood hazards and impacts.		This action has been identified to inform development of the surface water management plan.	A Flood Study for potential surface water flooding of the Edderston Burn area of Peebles is to be undertaken in 2018/2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of surface water flood hazards and impacts.		This action has been identified to inform development of the surface water management plan.	A Flood Study for potential surface water flooding of the Kingsmeadows area of Peebles is to be undertaken in 2018/2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130130500	Upstream of Peebles an area with the potential to be used for runoff control	This is a short listed potential action which will be subject to	Scottish Borders Council's capital budget and Scottish	Scottish Borders Council.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 102		<p>has been identified. This could offer some reduction in flood risk along the River Tweed, Kingledoors Burn and Holms Burn, among other burns for high likelihood events.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	<p>further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Government's capital budget.</p>	
River or floodplain restoration.	130130600	<p>Upstream of Peebles land with the potential to be used for river or floodplain restoration has been identified. This could offer some reduction in flood risk along the River Tweed, Eddleston Water and other watercourses for a high likelihood event.</p>	<p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>	for this action in Scottish Borders Council's capital plan to 2024/25.		
Sediment Management.	130130700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modification of Conveyance	130131100	Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		benefits of these actions are greatest during high probability events.	dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Installation / modification of fluvial control.	130131200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Construction of Direct flood Defences.	130131400	Within Peebles, the potential to construct direct defences has been identified to reduce the risk to residential and non-	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		residential properties from a medium likelihood flood event. <i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i>	priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Site protection plans.	130302100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of flood hazards	130132200	<i>Improved knowledge of the risks from different events helps to develop</i>	A Flood Study for Peebles is to be developed in 2017 and	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
and impacts.		<i>plans to avoid or mitigate future flooding to sensitive areas.</i>	2018.		
Improve signup of Eddleston Water flood warning scheme.	130323271822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Eddleston Water flood warning scheme.	130323271830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Lyne Water flood warning scheme.	130323361830	This action has been identified because other structural actions are	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
page 107		being considered in this area which may reduce the need for a detailed flood warning scheme.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Improve signup of Tweed flood warning scheme.	130323441822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Tweed flood warning scheme.	130323441830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			priority are dependent on funding.	implement new flood warning schemes.	

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109 Middleston, Peebles, Innerleithen, Selkirk, Stow and Galashiels (Potentially Vulnerable Area 13/04) - INNERLEITHEN

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in the Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.	130140100	Existing surface water flood defences provide protection to residential and non-residential properties from between a 1 in 2 to 1 in 10 year event. <i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Property level protection.	130141700	<p>event.</p> <p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i></p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings.</p> <p>Ongoing.</p>	Scottish Borders Council's capital budget.	Property Owner.
Flood warning schemes.	130141800	<p><i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i></p>	<p>There are a number of SEPA flood warning schemes in place for Innerleithen. These are the Innerleithen scheme for the Leithen Water and the Peebles to Yair scheme for the River Tweed.</p> <p>Ongoing.</p>	SEPA.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Maintain Leithen Water flood warning scheme.	130323351810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130140500	Upstream of Innerleithen an area with the potential to be used for runoff	This is a short listed potential action which will be subject to	Scottish Borders Council's capital budget and Scottish	Scottish Borders Council.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 113		control has been identified. Further analysis has shown that due to its positioning within the catchment and/ or its size, this action will not reduce flood risk in the target area. <i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i>	further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Government's capital budget.	
River or floodplain restoration.	130140600	Upstream of Innerleithen land with potential for river or floodplain restoration has been identified. Further analysis has shown that due to its positioning within the catchment and / or its size, this action will not reduce flood risk in the target area.	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>	for this action in Scottish Borders Council's capital plan to 2024/25.		
Sediment Management.	130140700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modification of Conveyance	130141100	Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		benefits of these actions are greatest during high probability events.	dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Installation / modification of fluvial control.	130141200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Construction of Direct flood Defences.	130141400	Within Innerleithen, the potential to construct direct defences has been identified to reduce the risk to residential and non-	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		residential properties from a medium likelihood flood event. <i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i>	priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Site protection plans.	130142100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of flood hazards	130132200	<i>Improved knowledge of the risks from different events helps to develop</i>	A Flood Study for Innerleithen is to be developed in 2017 and	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
and impacts.		<i>plans to avoid or mitigate future flooding to sensitive areas.</i>	2018.		
0 e 1 1 7 Improve signup of Leithen Water flood warning scheme.	130323351822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Leithen Water flood warning scheme.	130323351830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Improve signup of Tweed flood warning scheme.	130323441822	This action has been identified because the sign-up rate in some of	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Tweed flood warning scheme.	130323441830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Edinburgh, Peebles, Innerleithen, Selkirk, Stow and Galashiels (Potentially Vulnerable Area 13/04) - GALASHIELS

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in the Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Maintenance of existing flood protection schemes.	130150100, 130160100, 130170100 & 130180100	<p>taken during a flood event to maximise safety and minimise impacts where possible.</p> <p>The Galashiels – Plumtree/Wilderhaugh Flood Prevention Scheme 1987 and the Galashiels - Netherdale Flood Prevention Scheme 1987 along the Gala Water provided protection to residential, non-residential and community properties up to a 1 in 25 year event.</p> <p>These schemes were replaced by the Galashiels (Plumtree & Wilderhaugh and Netherdale) Flood Prevention Scheme 2010 which was completed in October 2014. This scheme provides protection to residential, non-residential and community properties in Plumtree, Wilderhaugh and town centre up to a 1 in 75 year event and</p>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 121		<p>Netherdale up to a 1 in 200 plus climate change year event for the Gala Water.</p> <p><i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i></p>			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.	130151700 & 130181700	None of the properties that have been identified to be at risk of flooding are deemed suitable for property level protection.	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i>	level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.		
Flood warning schemes.	130151800, 130161800, 130171800 & 130181800	<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There are a number of SEPA flood warning schemes in place for Galashiels. These are Galashiels including Bowland and Galashiels Netherdale schemes for the Gala Water and Tweedbank to Floors for the River Tweed. There are also schemes in place for the Gala Water Valley which are the Stow and the Galashiels including Bowland schemes for the Gala Water. Ongoing.	SEPA.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Maintain Gala Water and Leader Water flood warning scheme.	130323321810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Local flood warning schemes.		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people</i>	There is a Scottish Borders Council flood warning scheme in place for Bank Street in Galashiels for the Bakehouse Burn.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>/ possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	Ongoing.		
Maintain Bakehouse Burn local flood warning scheme.		This action has been identified for all existing local flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Galashiels, Melrose and Tweedbank covered by a surface water management plan.	130052381	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most sustainable actions to achieve the objectives.	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Galashiels, Melrose and Tweedbank integrated catchment study.	13005239	An integrated catchment study will be carried out to improve knowledge and understanding of surface water flood risk and interactions with other sources of flooding e.g. with the sewer network and watercourses.	Confirmed. Planned to be carried out between 2015 and 2021.	Scottish Borders Council's revenue budget and Scottish Water.	Scottish Water led in partnership with Scottish Borders Council and SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Section 16 Assessment of the risk of flooding from sewerage systems.		Scottish Water to undertake an assessment identifying where in Galashiels it considers a flood is likely to originate from its sewerage system and estimating the volume of water which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.
Modelling and other assessments to improve knowledge of surface water flood hazards and impacts.		This action has been identified to inform development of the integrated catchment study and the surface water management plan.	A Flood Study for potential surface water flooding of the Balmoral and Galashiels Academy area of Galashiels is to be undertaken in 2016/2017.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of surface water flood hazards and impacts.		This action has been identified to inform development of the integrated catchment study and the surface water management plan.	A Flood Study for potential surface water flooding of the Borders General Hospital area of Melrose is to be undertaken in 2016/2017.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Modification of Conveyance	130151100, 130161100, 130161100 & 130181100	Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or	Modification of conveyance in the Gala Water at Comely Bank has been provided as part of the	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		increasing cross sectional area. The potential benefits of these actions are greatest during high probability events.	Galashiels (Plumtree & Wilderhaugh and Netherdale) Flood Prevention Scheme 2010, which was completed in October 2014.		
Construction of Direct Flood Defences.	130151400, 130161400, 130171400 & 130181400	<p>Within Galashiels, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	<p>Direct flood defences have been provided as part of the Galashiels (Plumtree & Wilderhaugh and Netherdale) Flood Prevention Scheme 2010, which was completed in October 2014.</p> <p>The defences along the Gala Water provide protection to residential, non-residential and community properties in Plumtree, Wilderhaugh and town centre up to a 1 in 75 year event and in Netherdale up to a 1 in 200 year plus climate change event.</p>	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
Modelling and other assessments to improve knowledge of flood hazards and impacts.	130152200, 130162200, 130172200 & 130182200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	Modelling and other assessment have been undertaken as part of the Galashiels (Plumtree & Wilderhaugh and Netherdale) Flood Prevention Scheme 2010, which was completed in October 2014.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130150500, 130160500, 130170500 & 130180500	Upstream of Galashiels an area with the potential to be used for runoff control has been identified. This could offer some reduction in flood risk along the Shiel Burn and Wooly Burn for high likelihood events. <i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
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Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>These actions often experience the greatest benefits in areas of high probability flooding.</i>			
River or floodplain restoration.	130150600, 130160600, 130170600 & 130180600	Upstream of Galashiels land with the potential to be used for river or floodplain restoration has been identified. This could offer some reduction in flood risk for a high likelihood event. <i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Sediment Management.	130150700, 130160700, 130170700 & 130180700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Installation / modification of fluvial control.	130151200, 130161200, 130171200 & 130181200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Site protection plans.	130152100, 130162100 & 130182100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>the network.</i>	funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Improve signup of Ettrick Water flood warning scheme.	130323301822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Ettrick Water flood warning scheme.	130323301830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to	SEPA.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
Improve signup of Yarrow Water flood warning scheme.	130323481822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Yarrow Water flood warning scheme.	130323481830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

Draft Delivery Plan for Consultation

13/05/2016
 Parton (Potentially Vulnerable Area 13/05)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.	130190100	Existing defences along the Turford Burn provide protection to residential and non-residential properties up to a 1 in 25 year event although some properties have been affected during more frequent flood events. <i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works	Scottish Borders Council and Riparian Owners.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Property level protection.	130191700	<p>regular planned activity or in response to a flood event.</p> <p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i></p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings.</p> <p>Ongoing.</p>	<p>will substantially reduce flood risk.</p> <p>Scottish Borders Council's capital budget.</p>	Property Owner.
Flood warning schemes.	130191800	<p><i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing</i></p>	<p>There is a SEPA flood warning scheme in place for Earliston for the Leader Water.</p> <p>Ongoing.</p>	SEPA.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>temporary barriers to reduce flooding impacts.</i>			
Maintain Gala and Leader Water flood warning scheme.	130323321810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

River or floodplain restoration.	130190600	Upstream of Earliston, land with the potential for river or floodplain restoration has been identified. This could offer some reduction in flood risk along the Leader Water, Lauder Burn, Turfford Burn and other burns for a high likelihood event. <i>Restoring the river</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>	Scottish Borders Council's capital plan to 2024/25.		
Sediment Management.	130190700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependant on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modification of conveyance.	130191100	<i>Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>benefits of these actions are greatest during high probability events.</i>	dependant on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Installation / modification of fluvial control.	130191200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependant on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Construction of direct flood defences.	130191400	Within Earliston, the potential to construct direct defences has been identified to reduce the risk to residential and non-	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		residential properties from a medium likelihood flood event. <i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i>	priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Site protection plans.	130192100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of flood hazards	130192200	<i>Improved knowledge of the risks from different events helps to develop</i>	A Flood Study for Earlston is to be developed in 2019 and	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
and impacts.		<i>plans to avoid or mitigate future flooding to sensitive areas.</i>	2020.		
improve signup of Gala and Leader Water flood warning scheme.	130323321822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Gala and Leader Water flood warning scheme.	130323321830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

Draft Delivery Plan for Consultation

Pooldstream (Potentially Vulnerable Area 13/06)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.		Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.		<i>flooding.</i> <i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a SEPA flood warning scheme in place in Coldstream. This is Kelso to Coldstream and Coldstream Town for the River Tweed. Ongoing.	SEPA.	SEPA.
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Improve signup of Tweed flood warning scheme.	130323441822	This action has been identified because the sign-up rate in some of	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Tweed flood warning scheme.	130323441830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Biggar (Potentially Vulnerable Area 13/07)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Clearance and repair.		<i>Watercourse maintenance can prevent debris accumulating within channels, which may otherwise result in an increased flood risk. It can be undertaken as a regular planned activity or in response to a flood event.</i>	Ongoing.	Existing authority budgets where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Local Authorities and Riparian Owners.
Asset Management - Maintenance of existing flood protection schemes.	130210100	An existing Flood Accommodation System at Biggar High School provides a Flood Warning and Routing System to the school sending an alert for flood panels to be opened, routing flood water underneath the school. <i>On-going maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>			South Lanarkshire Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Emergency Planning and Response.		<i>Emergency response plans are applicable for all flood sources and likelihoods. They set out the steps to be taken during a flood event to maximise safety and minimise impacts where possible.</i>	Ongoing.	Existing authority budgets.	Local Authorities.
Land Use Planning.		Avoid development in medium to high risk areas.	Ongoing.	Existing authority budgets.	Local Authorities.
		Application of national and local planning policies, including objectives and actions identified in the LPD development plan.	Ongoing.	Existing authority budgets.	Local Authorities.
		Local planning authorities work in partnership to undertake a catchment-wide SFRA to inform their development plan allocations in line with SEPA's guidance on SFRA's and Land Use Vulnerability. SFRA findings are used to inform development plan allocations.	Ongoing.	Existing authority budgets.	Local Authorities.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 146		Local planning authorities and SEPA require the submission of flood risk assessments that accord with SEPA's Technical Flood Risk Guidance for Stakeholders, to support planning applications where there is a potential flood risk. The FRA should be used to demonstrate as far as possible that the development will be safe for its lifetime, without increasing flood risk elsewhere and, where possible, takes opportunities to reduce flood risk overall.	Ongoing.	Existing authority budgets.	Local Authorities and SEPA.
		SEPA ensures that its flood risk advice to planning authorities is clear and appropriate. SEPA in consultation with LPAs will undertake an annual assessment of planning advice and its contribution to flood risk.	Ongoing.	Existing authority budgets.	Local Authorities and SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 147		SEPA and local planning authorities engage at an early stage of the development plan process to agree appropriate forms of development to help inform the preparation and implementation of the SFRA.	Ongoing.	Existing authority budgets.	SEPA.
		New development is resilient to predicted future changes in climate – local planning authorities ensure that climate change is considered in SFRA and flood risk assessments based upon the best scientific evidence and the information requirements of planners to make informed decisions.	Ongoing.	Existing authority budgets.	Local Authorities.
Awareness Raising.		<i>Self help actions can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and</i>	Ongoing.	Existing authority budgets.	All Responsible and Competent Authorities.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
<p>Page 148</p>		<p><i>understanding of the flood risk.</i></p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths <0.6m in areas of high probability flooding.</i></p> <p><i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i></p>			
Flood Forecasting.		<p><i>Flood forecasting is a key part in the delivery of a flood warning service, which allows people to take appropriate action to reduce the risk to themselves, their homes, businesses and communities.</i></p>	Ongoing.	SEPA budget.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
<p>Individuals taking action to protect themselves and their property from flooding.</p>		<p><i>Self help actions can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.</i></p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths <0.6m in areas of high probability flooding.</i></p> <p><i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i></p>	<p>Ongoing.</p>		<p>Individuals, businesses, organisations or communities at risk of flooding.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
<p>POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.</p>					
Runoff control.	130210500	<p>Upstream of Biggar an area with the potential to be used for run off control has been identified. This could offer a limited reduction in flood risk along the Biggar Burn and some drains for high likelihood events.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.
River or floodplain restoration.	130210600	<p>Upstream of Biggar land with the potential to be used for river or floodplain restoration has been identified. This will provide no reduction in flood risk for a high likelihood event.</p> <p><i>Restoring the river corridor</i></p>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 151		<i>to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i>			
Sediment management.	130210700	<i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.
Construction of online and offline storage.	130211000	Upstream of Biggar land with the potential to be used for online or offline storage has been identified. This could offer a reduction in flood risk along the Biggar Burn for medium likelihood events. <i>Flood storage actions retain water in the upper catchment or away from the channel, to reduce level and flow in the river. The benefit of these actions decreases further downstream although they can be designed to benefit</i>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Modification of conveyance.	130211100	<p><i>multiple communities.</i></p> <p><i>Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential benefits of these actions are greatest during high probability events.</i></p>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.
Construction of direct flood defences.	130211400	<p>Within Biggar, the potential to construct direct defences has been identified to reduce the risk to residential and non residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.
Property level protection.	130211700	<p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood</i></p>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish		South Lanarkshire Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i>	Government for the period 2016-2021.		
Site protection plans.	130212100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.
Improved understanding.	130212200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on the funding settlement from Scottish Government for the period 2016-2021.		South Lanarkshire Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.	130221700	Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.	130221800	<i>flooding.</i> <i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a SEPA flood warning scheme in place for the River Tweed from Drumelzier to Dawyck. Ongoing.	SEPA.	SEPA.
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130220500	Upstream of Broughton an area with the potential to be used for runoff control	This is a short listed potential action which will be subject to	Scottish Borders Council's capital budget and Scottish	Scottish Borders Council.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Page 157		<p>has been identified. This could offer some reduction in flood risk along the Broughton Burn, Linn Burn and some drains for high likelihood events.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	<p>further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Government's capital budget.</p>	
Sediment Management.	130220700	<p><i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i></p>	<p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Construction of Direct flood Defences.	130221400	<p>Within Broughton, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	<p>Scottish Borders Council's capital plan to 2024/25.</p> <p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>
Site protection plans.	130222100	<p><i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i></p>	<p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.		
Modelling and other assessments to improve knowledge of flood hazards and impacts.	130222200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Improve signup of Tweed flood warning scheme.	130323441822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Simplify Tweed flood warning scheme.	130323441830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Walsley (Potentially Vulnerable Area 13/09)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.		Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.	130321800	<i>flooding.</i> <i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There are a number of SEPA flood warning schemes in place for Kelso. These are Monteviot to Kelso for the Teviot Water and Tweedbank to Floors and Kelso to Coldstream for the River Tweed. Ongoing.	SEPA.	SEPA.
Maintain Teviot Water flood warning scheme.	130323431810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Tweed flood warning scheme.	130323441810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		meets the needs of the local community.		in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Kelso covered by a surface water management plan.	13023238	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most sustainable actions to achieve the objectives.	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Section 16 Assessment of the risk of flooding from sewerage systems.		Scottish Water to undertake an assessment identifying where in Kelso it considers a flood is likely to originate from its sewerage system and estimating the volume of sewerage which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.					
Improve signup of Teviot Water flood warning scheme.	130323431822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Teviot Water flood warning scheme.	130323431830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Improve signup of Tweed flood warning scheme.	130323441822	This action has been identified because the sign-up rate in some of	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Tweed flood warning scheme.	130323441830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Edinburgh (Potentially Vulnerable Area 13/10)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Maintenance of existing flood protection schemes.	130260100	Existing defences along the Jed Water provide protection to residential and non-residential properties up to a 1 in 75 year event. <i>Ongoing maintenance of existing defences will ensure they continue to offer protection from flooding. This applies to all types of existing defences although appropriate maintenance activities may vary.</i>	Ongoing.	Scottish Borders Council's revenue and capital budgets.	Scottish Borders Council.
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Property level protection.	130261700	<p>Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection.</p> <p><i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i></p>	<p>Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings.</p> <p>Ongoing.</p>	Scottish Borders Council's capital budget.	Property Owner.
Flood warning schemes.	130261800	<p><i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i></p>	<p>There is a SEPA flood warning scheme in place for the Jed Water from Camptown to Jedburgh and Jedburgh to Jedfoot Bridge.</p> <p>Ongoing.</p>	SEPA.	SEPA.
Maintain Jed Water flood warning scheme.	130323341810	This action has been identified for all existing	Ongoing.	The maintenance of SEPA's flood warning	SEPA.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.		service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Local flood warning schemes.		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a Scottish Borders Council flood warning scheme in place for the Skiprunning Burn in Jedburgh. Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Maintain Skiprunning Burn local flood warning scheme.		This action has been identified for all existing local flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Jedburgh covered by a surface water management plan.	13024238	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most sustainable actions to achieve the objectives.	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Section 16 Assessment of the risk of flooding from sewerage systems.		Scottish Water to undertake an assessment identifying where in Jedburgh it considers a flood is likely to originate from its sewerage system and estimating the volume of sewerage which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.
Construction of Jedburgh (Skiprunning Burn) Flood Protection Scheme 2014.		This Scheme consists of a number of elements which are designed to reduce the risk of surface water flooding to Jedburgh from the Skiprunning Burn, through the principle of debris management and conveyance improvement. The scheme does not include any direct	Notice of the scheme was published on 13 November 2014. Construction of the scheme is to be undertaken in 2015 and 2016.	Scottish Borders Council's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		defences (new or raised walls or embankments) or formal flood storage.			

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130260500	<p>Upstream of Jedburgh an area with the potential for runoff control has been identified. This could offer some reduction in flood risk along the Carter Burn, Black Burn, Duntae Burn, Jed Water, Raven Burn and March Sike for high likelihood events.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Sediment Management.	130260700	<i>Sediment management can help control the sediment balance in the</i>	This is a short listed potential action which will be subject to	Scottish Borders Council's capital budget and Scottish	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i>	further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Government's capital budget.	
Modification of conveyance.	130261100	<i>Conveyance modification aims to reduce flooding by moving flow more efficiently: speeding it up, removing constrictions or increasing cross sectional area. The potential benefits of these actions are greatest during high probability events.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Installation / modification of fluvial control.	130261200	<i>Fluvial control structures can reduce flood levels to a target area by either restricting or increasing channel flow. The impact of these structures can vary significantly depending on type and location of the structures being added or modified.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Construction of Direct flood Defences.	130221400	<p>Within Jedburgh, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event..</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Site protection plans.	130262100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	to 2024/25. This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of flood hazards and impacts.	130262200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Improve signup of Jed Water flood warning scheme.	130323341822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Jed Water flood warning scheme.	130323341830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Denholm (Potentially Vulnerable Area 13/11)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.					
Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.		Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.		<i>flooding.</i>			
		<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a SEPA flood warning scheme in place in Denholm. This is Hawick to Monteviot for the Teviot Water. Ongoing.	SEPA.	SEPA.
Maintain Teviot Water flood warning scheme.	130323431810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Improve sign-up of Teviot Water flood warning scheme.	130323431822	This action has been identified because the sign-up rate in some of	Potential actions are drawn from a short list of options that are	The maintenance of SEPA's flood warning service is funded by	SEPA.
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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Simplify Teviot Water flood warning scheme.	130323431830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.	130301700	Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.	130301800	<i>flooding.</i> <i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There are a number of SEPA flood warning schemes in place for Hawick. These are the Hawick scheme for the Slitrig Water and Hawick and Hawick to Monteviot schemes for the River Teviot. Ongoing.	SEPA.	SEPA.
Maintain Slitrig Water flood warning scheme.	130323391810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Maintain Teviot Water flood warning scheme.	130323431810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In	SEPA.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		local community.		addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	
Hawick covered by a surface water management plan.	13028238	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most sustainable actions to achieve the objectives.	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Section 16 Assessment of the risk of flooding from sewerage systems.		Scottish Water to undertake an assessment identifying where in Hawick it considers a flood is likely to originate from its sewerage system and estimating the volume of sewerage which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.
Modelling and other assessments to improve knowledge of surface water flood hazards and impacts.		This action has been identified to inform development of the surface water management plan.	A Flood Study for potential surface water flooding of emergency services facilities in the Wilton area of	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
			Hawick, is to be undertaken in 2017/2018.		

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130300500	<p>Upstream of Hawick an area with the potential to be used for runoff control has been identified. This could offer some reduction in flood risk along the River Teviot, Frostlie Burn, Linhope Burn and other watercourses for a high likelihood event.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
River or floodplain restoration.	130300600	Upstream of Hawick land with the potential to be	This is a short listed potential action which	Scottish Borders Council's capital	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<p>used for river or floodplain restoration has been identified. This could offer some reduction in flood risk for a high likelihood event.</p> <p><i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i></p>	<p>will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>budget and Scottish Government's capital budget.</p>	
Sediment Management.	130300700	<p><i>Sediment management can help control the sediment balance in the catchment, maintain channel capacity and reduce the impact of siltation at structures and other key areas.</i></p>	<p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
Construction of online and offline storage.	130301000	<p>No storage sites are identified as part of Scottish Borders Council's preferred flood protection scheme for Hawick.</p> <p>Flood storage actions retain water in the upper catchment or away from the channel, to reduce level and flow in the river. The benefit of these actions decreases further downstream although they can be designed to benefit multiple communities.</p>	<p>This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>
Construction of Direct flood Defences.	130301400	<p>Within Hawick, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	<p>Scottish Borders Council is promoting a flood protection scheme for Hawick to provide protection to residential and non-residential properties up to a 1 in 75 year event. The preferred scheme consists of a number of direct flood defence elements. There is provision in Scottish Borders Council's capital plan</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
			to 2024/25 for scheme preparation. Construction, which is programmed for 2019 to 2021, is however dependent on the funding settlement from Scottish Government.		
Site protection plans.	130302100	<i>Site protection plans are developed to identify whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Modelling and other assessments to improve knowledge of flood hazards and impacts.	130302200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive</i>	Scottish Borders Council is promoting a flood protection scheme for Hawick to provide protection to	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		areas.	residential and non-residential properties up to a 1 in 75 year event. The preferred scheme consists of a number of direct flood defence elements. There is provision in Scottish Borders Council's capital plan to 2024/25 for scheme preparation. This includes modelling and other assessments to improve knowledge of flood hazards and impacts.		
Improve signup of Slitrig Water flood warning scheme.	130323391822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
190 Simplify Slitrig Water flood warning scheme.	130323391830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Improve signup of Teviot Water flood warning scheme.	130323431822	This action has been identified because the sign-up rate in some of the FWTAs within this scheme is less than the target of 40%. If the action is progressed, the sign-up rate for the relevant FWTAs will be improved via a targeted communications campaign.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Simplify Teviot Water flood warning scheme.	130323431830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In	SEPA.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		flood warning scheme.	benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	

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Donchester Bridge (Potentially Vulnerable Area 13/13)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.		Application of national and local planning policies including objectives and actions in Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.		Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and supporting resilient communities groups).
Emergency planning.		Emergency response plans are applicable for all sources of flooding. They set out the steps to be	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		taken during a flood event to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.	130321700	Some of the properties that have been identified to be at risk of flooding may be suitable for property level protection. <i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>flooding.</i>			

POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Runoff Control.	130320500	<p>Upstream of Bonchester Bridge an area with the potential for runoff control has been identified. Further analysis has shown that due to its positioning within the catchment and / or its size, this action will not reduce flood risk in the target area.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Sediment Management.	130320700	<i>Sediment management can help control the sediment balance in the catchment, maintain</i>	This is a short listed potential action which will be subject to further appraisal. If the	Scottish Borders Council's capital budget and Scottish Government's capital	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>channel capacity and reduce the impact of siltation at structures and other key areas.</i>	action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	budget.	
Construction of direct flood defences.	130321400	<p>Within Bonchester Bridge, the potential to construct direct defences has been identified to reduce the risk to residential and non-residential properties from a medium likelihood flood event.</p> <p><i>Direct defence actions aim to reduce the risk of flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i></p>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
Site protection plans.	130322100	<i>Site protection plans are developed to identify</i>	This is a short listed potential action which	Scottish Borders Council's capital	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>whether normal operation of a facility can be maintained during an event. This may be due to existing protection or resilience of the facility or the network.</i>	will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	budget and Scottish Government's capital budget.	
Modelling and other assessments to improve knowledge of flood hazards and impacts.	130322200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	This is a short listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be dependent on the funding settlement from Scottish Government. There is currently no provision for this action in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

Draft Delivery Plan for Consultation

Newcastleton (Potentially Vulnerable Area 14/03)

Action	Action ID	Description	Status and Timing	Funding	Responsibility
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ONGOING AND CONFIRMED ACTIONS. Actions that are either underway or where the funding has been confirmed for 2016-2021.

Land use planning.	-	Application of national and local planning policies including objectives and actions Local Plan District development plan.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Self help / awareness raising.	-	Self help actions (individuals taking action to protect themselves and their property against flooding) can be undertaken by any individuals, businesses, organisations or communities at risk of flooding. They are applicable to all sources and probabilities of flooding. They focus on awareness and understanding of the flood risk.	Ongoing.	Individuals, businesses, organisations and communities. SEPA. Scottish Borders Council's revenue and capital budgets.	Individuals, businesses, organisations and communities. SEPA (awareness raising, flood warnings). Scottish Borders Council (awareness raising, flood warnings, subsidised flood products scheme and resilient communities groups).
Emergency planning.	-	Emergency response plans are applicable for all sources of flooding. They set out the steps to be taken during a flood event	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		to maximise safety and minimise impacts where possible.			
Watercourse clearance and repair.		Watercourse clearance and repair can prevent debris accumulating within channels, which may otherwise result in increased flood risk. This can be undertaken as a regular planned activity or in response to a flood event.	Ongoing.	Scottish Borders Council's revenue budget where responsibility for clearance and repair lies with the Local Authority and works will substantially reduce flood risk.	Scottish Borders Council and Riparian Owners.
Property level protection.	140031700	<i>Property level protection can reduce flood impact by restricting water entering a property, or using construction techniques which are resilient to flood water. It is most beneficial for flood depths less than 0.6m in areas of high probability flooding.</i>	Scottish Borders Council operates a subsidised flood products scheme to assist property owners to implement property level protection where this will reduce flood risk. The effectiveness of property level protection is dependent on availability of flood warnings. Ongoing.	Scottish Borders Council's capital budget.	Property Owner.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
Flood warning schemes.	140031800	<i>Flood warning actions enable people and organisations to prepare for an event. They enable the risk of the event to be reduced by moving people / possessions out of the floodplain and by placing temporary barriers to reduce flooding impacts.</i>	There is a SEPA river flood warning scheme in place for Newcastleton. Ongoing.	SEPA.	SEPA.
Maintain Newcastleton flood warning scheme.	140403631810	This action has been identified for all existing flood warning schemes. It will be appropriate where the existing scheme meets the needs of the local community.	Ongoing.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Newcastleton covered by a surface water management plan.	14037238	The area must be covered by a surface water management plan or plans that set objectives for the management of surface water flood risk and identify the most	Ongoing. Recommended actions agreed by all partners identified by 2019.	Scottish Borders Council's revenue budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		sustainable actions to achieve the objectives.			
Maintenance of existing Newcastleton Drainage Improvement Scheme Phase 1.	-	Existing surface water relief sewer in South Hermitage Street in Newcastleton provides flood protection to residential and non-residential properties.	Ongoing.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Section 16 Assessment of the risk of flooding from sewerage systems.	-	Scottish Water to undertake an assessment identifying where in Newcastleton it considers a flood is likely to originate from its sewerage system and estimating the volume of sewerage which is likely to be released in the event of such flood.	Scottish Water to undertake the Section 16 Assessment in the period 1 April 2015 to 31 March 2021.	Scottish Water.	Scottish Water.

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POTENTIAL ACTIONS. The actions below are being consulted upon to support the process of identifying preferred actions. Preferred actions may not be able to be implemented during the period 2016-2021 due to project lead-in times and / or funding constraints.

Relocation of properties/infrastructure away from flood risk areas.	140030200	<i>Relocation of properties or infrastructure, currently at risk of flooding, away from the flood risk area may be applicable in locations where frequent flooding is expected to a limited area that may be otherwise</i>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
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Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>difficult or uneconomical to protect.</i>	funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.		
Runoff Control.	140030500	<p>There are a number of areas upstream of Newcastleton which have the potential to be used for runoff control to both the Liddel Water and Black Burn. This could offer a reduction in flooding in Newcastleton.</p> <p><i>Runoff control actions look to enhance the natural catchment ability to capture and slow runoff water reaching the receiving watercourses. These actions often experience the greatest benefits in areas of high probability flooding.</i></p>	This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.
River or floodplain restoration.	140030600	There are stretches of the Liddel Water, both upstream and within	This is a shorted listed potential action which will be subject to	Scottish Borders Council's capital budget and Scottish	Scottish Borders Council.

Draft Delivery Plan for Consultation

Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<p>Newcastleton, which have the potential for river/floodplain restoration actions. This could offer a reduction in flooding in Newcastleton.</p> <p><i>Restoring the river corridor to a more natural state aims to enhance the capacity of the floodplain to hold back water which can reduce the risk of flooding downstream.</i></p>	<p>further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Government's capital budget.</p>	
Construction of Direct flood Defences.	140031400	<p>Within Newcastleton there is the potential to construct direct flood defences adjacent to the Liddel Water to protect properties from flooding. High walls are not acceptable to local residents so a limited amount of work may be possible to protect to a lower level. This could offer a reduction in flooding in Newcastleton.</p> <p><i>Direct defence actions aim to reduce the risk of</i></p>	<p>This is a shorted listed potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.</p>	<p>Scottish Borders Council's capital budget and Scottish Government's capital budget.</p>	<p>Scottish Borders Council.</p>

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		<i>flooding by placing a designed barrier between the flooding source and the receptors at flood risk.</i>			
Modelling and other assessments to improve knowledge of flood hazards and impacts.	140032200	<i>Improved knowledge of the risks from different events helps to develop plans to avoid or mitigate future flooding to sensitive areas.</i>	A Flood Study for Newcastleton to be developed in 2019 and 2020.	Scottish Borders Council's revenue budget.	Scottish Borders Council.
Simplify Newcastleton flood warning scheme.	140403631830	This action has been identified because other structural actions are being considered in this area which may reduce the need for a detailed flood warning scheme.	Potential actions are drawn from a short list of options that are undergoing further analysis in terms of their relative cost and benefit. The delivery and timing of those actions that are identified as being of priority are dependent on funding.	The maintenance of SEPA's flood warning service is funded by Scottish Government through SEPA's grant in aid settlement. In addition, the Government provide grant funding to enable SEPA to implement new flood warning schemes.	SEPA.
Construction of Newcastleton Drainage Improvement Scheme Phase 2.		Construction of a diversion channel to intercept flow from small burns discharging into the Lakes area of Newcastleton and to discharge this flow into new outfall channel.	This is a potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

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Action	Action ID	Description	Status and Timing	Funding	Responsibility
		Construction of new outfall channel from B6357 road to discharge into Liddel Water downstream of WWTW.	funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.		
Construction of Newcastleton Drainage Improvement Scheme Phase 3.		Extension of Phase 1 surface water sewer in South Hermitage Street from Short Sike burn to discharge into outfall channel at B6357 to be constructed under Phase 2.	This is a potential action which will be subject to further appraisal. If the action is identified as a priority, the delivery and timing will be depending on the funding settlement from Scottish Government. There is currently no provision in Scottish Borders Council's capital plan to 2024/25.	Scottish Borders Council's capital budget and Scottish Government's capital budget.	Scottish Borders Council.

ID	Task Name	RP Affec	NRP Affec	Damages	Start	Finish									
							2015	2016	2017	2018	2019	2020	2021		
1	FLUVIAL FLOODING				Wed 07/01/15	Wed 07/01/15									
2	PVA 13/04 - Eddleston, Peebles, Innerleithen etc				Wed 07/01/15	Wed 07/01/15									
3	Selkirk Flood Protection Scheme	253	375	£649,226	Thu 01/01/15	Mon 02/01/17									
4	Flood Study				Thu 01/01/15	Thu 01/01/15									
5	Option Assessment & Outline Design				Thu 01/01/15	Thu 01/01/15									
6	Publish & Confirm Scheme				Thu 01/01/15	Thu 01/01/15									
7	Detailed Design & Procurement				Thu 01/01/15	Mon 09/02/15									
8	Construction				Mon 09/02/15	Mon 02/01/17									
9	Galashiels Flood Protection Scheme	514	339	£649,226	Thu 01/01/15	Thu 01/01/15									
10	Flood Study				Thu 01/01/15	Thu 01/01/15									
11	Option Assessment & Outline Design				Thu 01/01/15	Thu 01/01/15									
12	Publish & Confirm Scheme				Thu 01/01/15	Thu 01/01/15									
13	Detailed Design & Procurement				Thu 01/01/15	Thu 01/01/15									
14	Construction				Thu 01/01/15	Thu 01/01/15									
15	PVA 13/12 - Hawick				Wed 07/01/15	Wed 07/01/15									
16	Hawick Flood Protection Scheme	536	325	£1,694,400	Wed 07/01/15	Mon 27/09/21									
17	Flood Study				Wed 07/01/15	Wed 07/01/15									
18	Option Assessment & Outline Design				Wed 07/01/15	Fri 22/07/16									
19	Publish & Confirm Scheme				Mon 25/07/16	Fri 10/11/17									
20	Detailed Design & Procurement				Mon 13/11/17	Fri 02/08/19									
21	Construction				Mon 06/08/18	Mon 27/09/21									
22	PLUVIAL FLOODING				Wed 07/01/15	Wed 07/01/15									
23	PVA 13/10 - Jedburgh				Wed 07/01/15	Mon 15/02/16									
24	Jedburgh (Skiprunning Burn)FPS				Wed 07/01/15	Mon 15/02/16									
25	Flood Study				Wed 07/01/15	Wed 07/01/15									
26	Option Assessment & Outline Design				Wed 07/01/15	Wed 07/01/15									
27	Publish & Confirm Scheme				Wed 07/01/15	Wed 08/04/15									
28	Detailed Design & Procurement				Wed 07/01/15	Tue 18/08/15									
29	Construction				Tue 18/08/15	Mon 15/02/16									









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Project: 150127_LFRMP_Delivery Date: Wed 28/01/15	Task 	Milestone 	Project Summary 	External Milestone 	Progress 
	Split 	Summary 	External Tasks 	Deadline 	

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ID	Task Name	RP Affec	NRP Affec	Damages	Start	Finish										
							015	2016	2017	2018	2019	2020	2021	2022		
1	COASTAL FLOODING				Wed 07/01/15	Wed 07/01/15										
2	PVA 10/26 - Berwickshire Coast				Wed 07/01/15	Wed 07/01/15										
3	Shoreline Management Plan				Fri 01/04/16	Fri 31/03/17										
4	Eyemouth Coastal Flood Protection Scheme	24	17	£133,58	Mon 03/04/17	Tue 02/04/19										
5	Flood Study				Mon 03/04/17	Tue 02/04/19										
6	FLUVIAL FLOODING				Wed 07/01/15	Wed 07/01/15										
7	PVA 13/04 - Eddleston, Peebles, Innerleithen etc				Wed 07/01/15	Wed 07/01/15										
8	Peebles Flood Protection Scheme	334	56	£773,134	Mon 03/04/17	Tue 02/04/19										
9	Flood Study				Mon 03/04/17	Tue 02/04/19										
10	Innerleithen Flood Protection Scheme	462	84	£793,727	Mon 03/04/17	Tue 02/04/19										
11	Flood Study				Mon 03/04/17	Tue 02/04/19										
12	PVA 13/05 - Earliston				Wed 07/01/15	Wed 07/01/15										
13	Earliston Flood Protection Scheme	61	43	£534,107	Mon 01/04/15	Wed 31/03/21										
14	Flood Study				Mon 01/04/15	Wed 31/03/21										
15	PVA 13/08 - Broughton				Wed 07/01/15	Wed 07/01/15										
16	Broughton Flood Protection Scheme	43	9	£128,506	Thu 30/06/22	Thu 30/06/22										
17	Flood Study				Thu 30/06/22	Thu 30/06/22										
18	PVA 13/10 - Jedburgh				Wed 07/01/15	Wed 07/01/15										
19	Jedburgh (Jed Water) Flood Protection Scheme	59	69	£347,745	Thu 30/06/22	Thu 30/06/22										
20	Flood Study				Thu 30/06/22	Thu 30/06/22										
21	PVA 13/13 - Bonchester Bridge				Thu 30/06/22	Thu 30/06/22										
22	Bonchester Bridge Flood Protection Scheme	38	7	£116,916	Thu 30/06/22	Thu 30/06/22										
23	Flood Study				Thu 30/06/22	Thu 30/06/22										
24	PVA 14/03 - Newcastleton				Wed 07/01/15	Wed 07/01/15										
25	Newcastleton Flood Protection Scheme	128	5	£148,805	Mon 01/04/15	Wed 31/03/21										
26	Flood Study				Mon 01/04/15	Wed 31/03/21										
27	PLUVIAL FLOODING				Wed 07/01/15	Wed 07/01/15										
28	PVA 13/04 - Eddleston, Peebles, Innerleithen etc				Fri 01/04/16	Tue 02/04/19										
29	Peebles (Edderston Burn)				Mon 02/04/18	Tue 02/04/19										
30	Flood Study				Mon 02/04/18	Tue 02/04/19										
31	Peebles (Kings Meadows)				Mon 02/04/18	Tue 02/04/19										
32	Flood Study				Mon 02/04/18	Tue 02/04/19										
33	Galashiels WWTW				Fri 01/04/16	Tue 02/04/19										
34	Integrated Catchment Study				Mon 03/04/17	Tue 02/04/19										
35	Balmoral and Galashiels Academy Area				Fri 01/04/16	Fri 31/03/17										
36	Flood Study				Fri 01/04/16	Fri 31/03/17										
37	Borders General Hospital				Fri 01/04/16	Fri 31/03/17										
38	Flood Study				Fri 01/04/16	Fri 31/03/17										
39	PVA 13/12 - Hawick				Mon 03/04/17	Mon 02/04/18										
40	Hawick (Stirches Burn)				Mon 03/04/17	Mon 02/04/18										
41	Flood Study				Mon 03/04/17	Mon 02/04/18										

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Project: 150127_LFRMP_Delivery Date: Wed 28/01/15	Task 	Milestone 	Project Summary 	External Milestone 	Progress 
	Split 	Summary 	External Tasks 	Deadline 	

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Scottish Borders Council
Flood Studies Draft Financial Plan 2016/17 - 2021/22

Looking after the Borders	OPERATIONAL PLAN			Total £000	STRATEGIC PLAN						Grand Total £000	Specific Project Funding £000	Net Cost exc. Specific Funding £000
	2015/16 £000	2016/17 £000	2017/18 £000		2018/19 £000	2019/20 £000	2020/21 £000	2021/22 £000	2022/23 £000	2023/24 £000			
PLACE													
Flood Studies													
Coastal Flooding													
Berwickshire Shoreline Management Plan		40		40							40		
Eyemouth Coastal Flood Study			10	10	10						20		
River Flooding													
Peebles Flood Study			15	15	15						30		
Innerleithen Flood Study			15	15	15						30		
Earlston Flood Study				0		20	20				40		
Broughton Flood Study				0							0		
Jedburgh Flood Study				0							0		
Bonchester Bridge Flood Study				0							0		
Newcastleton Flood Study				0		15	15				30		
Surface Water Flooding													
Peebles (Edderston Burn) Flood Study				0	10						10		
Peebles (Kings Meadow) Flood Study				0	10						10		
Galashiels Integrated Catchment Study		10	20	30	25						55		
Galashiels (BGH) Flood Study		10		10							10		
Galashiels (Bakehouse Burn) Flood Study		10		10							10		
Hawick (Stirches Burn) Flood Study			10	10							10		
	0	70	70	140	85	35	35	0	0	0	295	0	0

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CAPITAL FINANCING	OPERATIONAL PLAN			Total £000	STRATEGIC PLAN						Grand Total £000	
	2015/16 £000	2016/17 £000	2017/18 £000		2018/19 £000	2019/20 £000	2020/21 £000	2021/22 £000	2022/23 £000	2023/24 £000		2024/25 £000
Specific Grants from Scottish Government				0							0	
				0							0	
Borrowing				0							0	
	0	(70)	(70)	(140)	(85)	(35)	(35)	0	0	0	(295)	
	0	(70)	(70)	(140)	(85)	(35)	(35)	0	0	0	(295)	

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**JEDBURGH (SKIPRUNNING BURN) FLOOD PROTECTION
SCHEME
CONFIRMATION OF THE SCHEME UNDER THE FLOOD RISK
MANAGEMENT (SCOTLAND) ACT 2009**

**Report by Service Directors of Capital Projects & Service Director
Commercial Services**

Scottish Borders Council

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report requests that the Council makes a decision to confirm the proposed Jedburgh (Skiprunning Burn) Flood Protection Scheme 2014. It also seeks authorisation to continue the statutory approvals processes and commence the procurement and construction stages of the project.**
- 1.2 The Statutory Approvals processes were commenced in November 2014. This was on the basis of authority received from Council on 30 October 2014. Within these processes the Scheme will achieve approval under the Flood Risk Management (Scotland) Act 2009 (2009 Act); Deemed Planning Permission; and its CAR Licence.
- 1.3 As part of the Scheme approval process it was required to give notice of the Scheme in accordance with paragraph 1 of schedule 2 of the 2009 Act. The notice was first published on 13 November 2014.
- 1.4 Any person is entitled to object to the Scheme in accordance with paragraph 3 of schedule 2 of the 2009 Act. The formal 28 day objection period began on the date the notice was first published and concluded on 10 December 2014.
- 1.5 There were no objections to the proposed Scheme therefore the Council must now make a decision to confirm or reject the Scheme in accordance with paragraph 4 (1) of schedule 2 of the 2009 Act.
- 1.6 Once the decision has been made the project team will publish notice of that decision in accordance with the relevant parts of the 2009 Act. The Scheme will become operative 6 weeks after notice is published unless an appeal is made.

- 1.7 The Council's decision to confirm the scheme will also allow Deemed Planning Permission to be obtained and enable the project team to finalise the detailed design before progressing to procurement and construction during financial year 2015/16.

2 RECOMMENDATIONS

2.1 I recommend that the Council:-

- (a) Makes the decision to confirm the proposed Jedburgh (Skiprunning Burn) Flood Protection Scheme 2014;**
- (b) Authorises the Service Directors of Capital Projects & Commercial Services to continue the Statutory Approvals processes identified in the Flood Risk Management (Scotland) Act 2009; and,**
- (c) Authorises the Service Directors of Capital Projects & Commercial Services to commence the procurement and construction stages of the project.**

3 BACKGROUND

- 3.1 The Skiprunning Burn is a minor tributary of the Jed Water and flows beneath Jedburgh town centre through a culvert. The burn has a history of causing flooding in the town and there are at least five recorded flood events which could be attributed to this watercourse, with four occurring since 1984. The most severe being the high profile event which occurred on 5 August 2012 when around 50 properties were estimated to be affected. The burn flooded most recently on 21 December 2013.
- 3.2 Following the flood event of 1984, the then Borders Regional Council promoted and implemented the (Jedburgh - Skiprunning Burn Culvert) Flood Prevention Scheme 1985 under the Flood Prevention (Scotland) Act 1961. This scheme aimed to mitigate flooding by improving conveyance through the culvert and included work to limit overland flow from the culvert inlet.
- 3.3 Survey and analysis work was undertaken by consultants appointed by the Council immediately after the 5 August 2012 flood event. This concluded that the principal cause of flooding was debris blocking the culvert inlet trash screen rather than the culverts inability to convey the flow.
- 3.4 Over 20 separate options that would mitigate the impacts of flooding were identified as part of this analysis work.
- 3.5 The Director of Environment and Infrastructure presented the outcome of the analysis of the 5 August 2012 flood and set out the possible flood risk management options to the Environment and Infrastructure Committee on 8 November 2012. Approval was given by the Environment and Infrastructure Committee for the project team to take forward the works in 3 phases.
- 3.6 The exact detail of the options being taken forward and the phasing of the works have been developed during 2013 and 2014.
- 3.7 Phase 1 (early implementation) works were completed in March 2014.
- 3.8 Phase 1A (streetscape and other flood resilience measures) works are effectively an extension of the Phase 1 works and these are being taken forward during financial year 2014/15. These works are not included within the formal Flood Protection Scheme (FPS) as they do not require complex planning and statutory approvals.
- 3.9 Phase 2 (FPS) is being taken forward under a formal FPS due to the fact that there will be a number of affected landowners and the work is of a more complex nature. Phase 2 is programmed for implementation during financial year 2015/16

- 3.10 Phase 3 repair works to the main town culvert has been incorporated into Phase 2 and is therefore now included in the Flood Protection Scheme.
- 3.11 On 30 October 2014 the Council approved the final outline design for the Jedburgh (Skiprunning Burn) Flood Protection Scheme, authorised the commencement of the statutory approval processes identified in the 2009 Act and Instructed the Service Directors of Capital Projects & Commercial Services to present the proposed Scheme to Council for a decision as soon as possible after the end of the formal 28 day objection period.
- 3.12 Notice of the proposed Scheme was given on 13 November 2014 and no objections were received during the 28 day objection period.

4 UPDATE ON PROGRESS WITH THE STATUTORY APPROVALS PROCESS

- 4.1 The Statutory Approvals process consists of a number of discrete processes through which the Scheme can obtain legal powers for the delivery of the works. The main approvals are:

- (1) **The Scheme Approval:** under the 2009 Act; and the Flood Risk Management (Flood Protection Schemes, Potentially Vulnerable Areas and Local Districts) (Scotland) Regulations 2010 (2010 Regulations);
- (2) **Deemed Planning Permission:** under the 2009 Act; the 2010 Regulations; and section 57 of the Town and Country Planning (Scotland) Act 1997; and
- (3) **The CAR Licenses:** under the Water Environment (Controlled Activities) (Scotland) Act 2011, also known as CAR.

4.2 THE SCHEME APPROVAL:

As part of the Scheme being approved under the 2009 Act it was required to give notice of the Scheme in accordance with paragraph 1 of schedule 2 of the 2009 Act. This notice was given on 13 November 2014.

- 4.3 The final outline design, which was approved by Council on 30 October 2014, became the Scheme design for the Statutory Approvals process and it was this design that was made available for public viewing from 13 November 2014.
- 4.4 The 28 day objection period expired on 10 December 2014. No objections to the Scheme were received.
- 4.5 At the end of the 28 day objection period there are a number of different routes through which the Scheme can achieve approval. The route chosen is not optional but dependant on whether or not there are any valid objections. As no objections were received in this case, the Council must make a decision to confirm or reject the proposed Scheme as detailed in paragraph 4 of schedule 2 of the 2009 Act. This is the current position and this report requests that the Council make that decision. This process is fully detailed in section 5 of this report.

4.6 **DEEMED PLANNING CONSENT:**

Where a Scheme is confirmed under the 2009 Act the Scottish Ministers direct that planning permission for any development described in the scheme is deemed to be granted. This is detailed in section 65 of the 2009 Act and regulation 14 of the 2010 Regulations.

4.7 In order that the project team fulfil the requirements of regulation 14 of the 2010 Regulations, SBC's Design Services and the project consultant, CH2M Hill, are current assembling the information required by the Scottish Ministers. SBC Planning are also currently undertaking a full review of the Scheme from a planning perspective and will produce a report for submission to the Scottish Ministers to assist them in their consideration of whether conditions are appropriate.

4.8 At this point there is no action required of the Council in relation to this approval therefore it is not proposed to discuss it further within this report.

4.9 **THE CAR LICENCE:**

There was one CAR Licence application required for the Scheme and this has been approved by SEPA. The application was submitted to SEPA on 13 November 2014 and authorisation was granted on 13 January 2015.

4.10 At this point there is no action required of the Council in relation to this approval therefore it is not proposed to discuss it further within this report.

5 MAKING A DECISION WHERE NO VALID OBJECTIONS RECEIVED

5.1 The process through which the Scheme is being approved is contained within the 2009 Act and its 2010 Regulations.

5.2 As no valid objections have been received during the 28 day objection period, the local authority must make a 'decision where no valid objections are received' in accordance with paragraph 4 (1) of section 2 of the 2009 Act.

5.3 The following is a direct copy of paragraph 4 (1) of schedule 2 of the 2009 Act:

4 (1) Where, in relation to a proposed flood protection scheme, the local authority receives no valid objections the local authority must, after the expiry of the period referred to in paragraph 3(2)(c), either –
(a) confirm the proposed scheme, or
(b) reject the proposed scheme.

6 CONCLUSION OF THE APPROVALS PROCESSES

- 6.1 Once the Council makes the decision to confirm the Scheme under paragraph 4 (1) of schedule 2 of the 2009 Act the Council must give notice of that decision in accordance with paragraph 10 of the Act. It is intended to undertake this activity as soon as possible after the Council decision.
- 6.2 Regulation 14 of the 2010 Regulations states that, where a Council confirms a scheme under paragraph 4 (1) of schedule 2 of the 2009 Act, the local authority must request that the Scottish Ministers direct that planning permission for any development described in the scheme is to be deemed to be granted. It is intended to undertake this activity as soon as possible after the Council decision.
- 6.3 The Council's Planning Officer for Jedburgh is currently undertaking a full review of the proposed Scheme and is producing a report summarising that review. This report will be provided to Scottish Ministers as part of the process to obtain deemed planning permission.
- 6.4 Paragraph 11 of schedule 2 of the 2009 Act confirms that a 'Scheme' becomes operative 6 weeks after notice of its confirmation is published in accordance with paragraph 10 of the Act.
- 6.5 Paragraph 12 of schedule 2 of the 2009 Act confirms that the decision to confirm the scheme may be appealed by any person affected by the confirmed scheme. The grounds of appeal are restricted to those specified in the 2009 Act and relate more to points of law rather than the contents of the Scheme. This process is however outside of the control of the project team therefore this section is intended to identify that this process exists and that in the event that someone makes an appeal then the project team will deal with it in the appropriate manner and report back to Council as appropriate thereafter.

7 IMPLICATIONS

7.1 Financial

- (a) This report does not have any immediate financial implications as funding for the implementation of this project is included in the current capital plan.
- (b) The scheme will provide the following benefits:
- a) Reduced flood risk to approximately 50 properties (residential, retail, community etc.) in the centre of Jedburgh;
 - b) The potential for serious harm to individuals will be reduced;
 - c) Jedburgh town centre will become a safer and more attractive place to undertake business;
 - d) The Council will have greater protection against the significant costs that a major flood event on the Skiprunning Burn causes.

- (c) There are also many other potential social benefits which are difficult to quantify: the reduction in fear associated with living in an area that floods and the associated improvement in health due to the removal of that stress; a reduction in insurance premiums and insurance excesses; the protection of essential infrastructure etc.

7.2 Risk and Mitigations

- (a) Floods can happen at any time and there is a risk that a flood event occurs before the proposed works are implemented. This risk is mitigated by the following:
 - a) Progressing with flood protection works as quickly and efficiently as possible;
 - b) Phasing the works to reduce the impact of flooding, as soon as possible;
 - c) Undertaking watercourse clearance work upstream of the main trash screen;
 - d) Continuing to monitor and clear the grilles;
 - e) Continuing operation of local flood warning group based on grill sensor;
 - f) Promoting flood awareness; and
 - g) Providing assistance to help those at risk to be prepared for a flood event.

- (b) Due to the nature of the Skiprunning Burn and the fact that the principal risk of flooding is due to debris blocking the culvert inlet rather than the capacity of the culvert/inlet, there remains a risk that a flood event caused by debris occurs after the proposed works have been implemented. There is also a risk that a flood event occurs after the proposed works have been implemented which exceeds the capacity of the culvert itself. These risks are mitigated by the following:
 - a) Phase 1 and Phase 1A works which sees flood risk management measures downstream of the culvert inlet which reduce the flood risk to the most vulnerable properties and direct any escaped flood waters to areas and zones where less damage occurs;
 - b) Designing of the flood protection works to minimise the risk of debris reaching and completely blocking the main culvert inlet trash screen;
 - c) Designing the main culvert inlet trash screen and associated bypass culvert to be more easily and safely cleared in an emergency situation;
 - d) Continuing to undertake watercourse clearance work upstream of the main trash screen;
 - e) Continuing to monitor and clear the grilles;
 - f) Continuing operation of local flood warning group based on grill sensor;
 - g) Continuing to promote flood awareness; and
 - h) Continuing to provide assistance to help those at risk to be prepared for a flood event.

- (c) There is a risk that someone may make an appeal against the decision to confirm the Scheme, which delays the implementation of the Scheme. The potential for this risk to manifest itself is considered to be low however the impact of such a risk is high. This risk is being mitigated by the following:
 - a) Phasing the works to introduce early implantation measures to reduce the impact of flooding, as soon as possible;
 - b) Extensive consultation with key landowners and stakeholders; and
 - c) Ensuring that the correct processes were followed at all times and that an appropriate record of all decisions / actions was kept in case it was necessary to provide proof that such process was followed.

- (d) If the project does not go ahead there will remain a significant risk that further flood events will occur which will again damage houses and businesses within the town. There is also the potential for personal injury due to steep gradients and the consequential high velocity of flood flows. Much damage was caused by the 2012 flood event to local houses and businesses. The public agencies had to dedicate a significant resource to manage the event and aftermath, and repair costs to damaged public infrastructure were significant. In addition many householders and businesses suffered financial loss.

7.3 **Equalities**

It is anticipated there will be no adverse impact due to race, disability, gender, age, sexual orientation or religion/belief arising from the proposals contained in this report.

7.4 **Acting Sustainably**

- (a) The implementation of the proposed works will reduce the risk of flooding in Jedburgh and promote the personal wellbeing of the residents of the town.

- (b) The proposed works will have an environmental impact but this will be offset by the reduction in environmental impact that would arise as a result of reacting to and recovering from future flood events.

7.5 **Carbon Management**

There are no significant effects on carbon emissions.

7.6 **Rural Proofing**

It is anticipated there will be no adverse impact on the rural area from the proposals contained in this report.

7.7 Changes to Scheme of Administration or Scheme of Delegation

There are no changes required to either the Scheme of Administration or the Scheme of Delegation as a result of the proposals in this report.

8 CONSULTATION

- 8.1 Extensive consultation with key landowners and stakeholders has taken place during the development of the scheme. These include the following:
- a) Statutory consultees such as SEPA and SNH;
 - b) Emergency services;
 - c) Local residents and housing associations;
 - d) Local Councillors;
 - e) Various Council Officers and Services; and
 - f) Public utility companies.
- 8.2 The Cheviot Area Forum has been kept up to date throughout the process and articles have been included in The Jed Eye and SBConnect.
- 8.3 A public exhibition was held in the Jedburgh Town Hall on 28 and 29 August 2014 to present the draft outline design to the people of Jedburgh.
- 8.4 The Chief Financial Officer, the Service Director Regulatory Services (as Monitoring Officer), the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR, and the Clerk to the Council have been consulted and their comments have been taken into account in the final report.
- 8.5 The Depute Chief Executive Place, Service Director Neighbourhood Services and Communications & Marketing have been consulted and their comments have been taken into account in the final report.

Approved by

Service Director of Capital Projects **Signature**

Service Director of Commercial Services **Signature**

Author(s)

Name	Designation and Contact Number
David Murphy	Design Team Leader (Engineering) - 01835 826665
David Green	Flood and Coastal Management Team Leader - 01835 825180

Scottish Borders Council – 19 February 2015

Background Papers: None

Previous Minute Reference: 30 October 2014 - Council - Item No. 10

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Contact us at Scottish Borders Council, Council Headquarters, Newtown St Boswells, Melrose, Scottish Borders, TD6 0SA tel 01835 826665 fax 01835 825431 e-mail PLACetransrequest@scotborders.gov.uk

INTEGRATION OF HEALTH & SOCIAL CARE – ESTABLISHING A STRATEGIC PLANNING GROUP

Report by Depute Chief Executive People

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report proposes arrangements for establishing a permanent Strategic Planning Group to support the arrangements for the Integration of Health and Social Care in the Borders.
- 1.2 The report sets out:
 - (a) The duty, under the Joint Working (Public Bodies) (Scotland) Act 2014 to establish a Strategic Planning Group to support the local arrangements for the integration of Health and Social Care.
 - (b) Proposals for the composition of the Strategic Planning Group
 - (c) The process and timescales for establishing the Strategic Planning Group
 - (d) Draft Terms of Reference for the Strategic Planning Group, including a role description for the Group

2 STATUS OF REPORT

- 2.1 This proposal was presented to the Shadow Integration Board on 9 February 2015 and their views are incorporated into this report.

3 RECOMMENDATIONS

- 3.1 **I recommend that Council agrees the proposals for establishing the Strategic Planning Group in support of the local Integration of Health and Social Care arrangements.**

4 BACKGROUND

4.1 As part of the requirements laid down in the Public Bodies (Joint Working) (Scotland) Act 2014ⁱ, the Integration Joint Board must produce a Strategic Commissioning Plan (also described in the legislation as a Strategic Plan) that sets out how they will plan and deliver services for the Borders over the medium term (three years) and, through this, how they will meet the 9 National Health and Wellbeing Outcomes (see figure 1 below) and achieve the core aims of integration:

- (a) To improve the quality and consistency of services for patients, carers, service users and their families;
- (b) To provide seamless, integrated, quality health and social care services in order to care for people in their homes, or a homely setting, where it is safe to do so; and
- (c) To ensure resources are used effectively and efficiently to deliver services that meet the needs of the increasing number of people with long term conditions and often complex needs, many of whom are older.

Figure 1 – The Nine National Health & Wellbeing Outcomes

- 1. People are able to look after and improve their own health and wellbeing and live in good health for longer.**
- 2. People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community.**
- 3. People who use health and social care services have positive experiences of those services, and have their dignity respected.**
- 4. Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.**
- 5. Health and social care services contribute to reducing health inequalities.**
- 6. People who provide unpaid care are supported to look after their own health and wellbeing, including to reduce any negative impact of their caring role on their own health and wellbeing.**
- 7. People using health and social care services are safe from harm.**
- 8. People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.**
- 9. Resources are used effectively and efficiently in the provision of health and social care services.**

4.2 All stakeholders must be fully engaged in the preparation, publication and review of the Strategic Commissioning Plan as part of an on-going, cyclical process. To ensure this, the Act requires each Integration Authority to establish a Strategic Planning Groupⁱⁱ.

- 4.3 The role of the Strategic Planning Group (SPG) is to support the Integration Joint Board in the cyclical development of the Plan and the continuing review of the progress in its delivery against the agreed national and local outcomes. In terms of governance, the SPG is a reference and advisory group reporting to the Integration Joint Board. It has no executive function.
- 4.4 The Strategic Planning Group will be concerned with a series of questions throughout the commissioning process, such as the following, based on work by Audit Scotland:
- (a) How many people will need services and what type will they need?
 - (b) What is the current provision, is it the right level, quality and cost?
 - (c) How can these services improve people's lives?
 - (d) Which Services will best achieve this?
 - (e) How do we develop these services at an affordable cost?
 - (f) How do we procure and deliver these services to best effect?
 - (g) How do we monitor and review these services?
- 4.5 The process, itself, does not start or end with the publication of the strategic commissioning plan. Engagement with stakeholders and the involvement of the Strategic Planning Group are all part of a continual, iterative cycle.
- 4.6 The role of the Strategic Planning Group is in developing and finalising the strategic commissioning plan and in continuing to review progress, measured against the statutory outcomes for health and wellbeing, and associated indicators. The Strategic Commissioning Plan should be revised as necessary (and at least every three years), with the involvement of the Strategic Planning Group.
- 4.7 The views of localities must be taken into account with the Integration Authority required to identify the most appropriate person to represent each locality on the Strategic Planning Group. Local flexibility is allowed, so that an individual can represent more than one locality.

5 PRESCRIBED GROUPS

- 5.1 The Statutory Guidance on Strategic Planning, published in December 2014, provides local flexibility on the size and composition of the Strategic Planning Group. However, the Integration Authority is required to involve a range of relevant stakeholders. These groups must include representatives of groups prescribed by the Scottish Ministers in regulations as having an interest. These are:
- (a) Users of health care
 - (b) Carers of users of health care
 - (c) Commercial providers of health care
 - (d) Non-commercial providers of health care
 - (e) Health professionals
 - (f) Social care professionals
 - (g) Users of social care
 - (h) Carers of users of social care
 - (i) Commercial providers of social care
 - (j) Non-commercial providers of social care
 - (k) Non-commercial providers of social housing

- (l) Third sector bodies carrying out activities related to health or social care

5.2 The Integration Authority can include other persons it considers appropriate, and must include members nominated by the Local Authority or the Health Board, or both. In effect, this provides for the partners who prepared the Integration Scheme, and are party to the integrated arrangements, to be involved in the development of the strategic commissioning plan.

5.3 Including the required representation within the Strategic Planning Group has the potential to create a large and unwieldy Group. There is clearly the need to strike a balance between an effective and manageable group and effective representation of prescribed communities of interest as well as localities. Feedback from other Integration Partnerships across Scotland suggests that the Sizes of Strategic Planning Groups vary from between 15 and 70.

6 PROPOSED COMPOSITION OF THE STRATEGIC PLANNING GROUP

6.1 The proposal set out in paragraph 6.2 below is based on the following principles:

- (a) Group members represent their communities of interest/professional groups.
- (b) Group members will ensure that localities are represented. (Locality responsibilities will be assigned to Group members).
- (c) That there will be a General Practitioner representative on the Group as one of the prescribed Health Professionals.
- (d) That the arrangements are seen as a starting point and are kept under review to ensure that they are as effective as possible.

It is proposed that the representation from the NHS and Council, over and above the prescribed list (see 5.2 above), is as set out in Table 1 below.

Table 1. NHS Borders and Scottish Borders Representatives

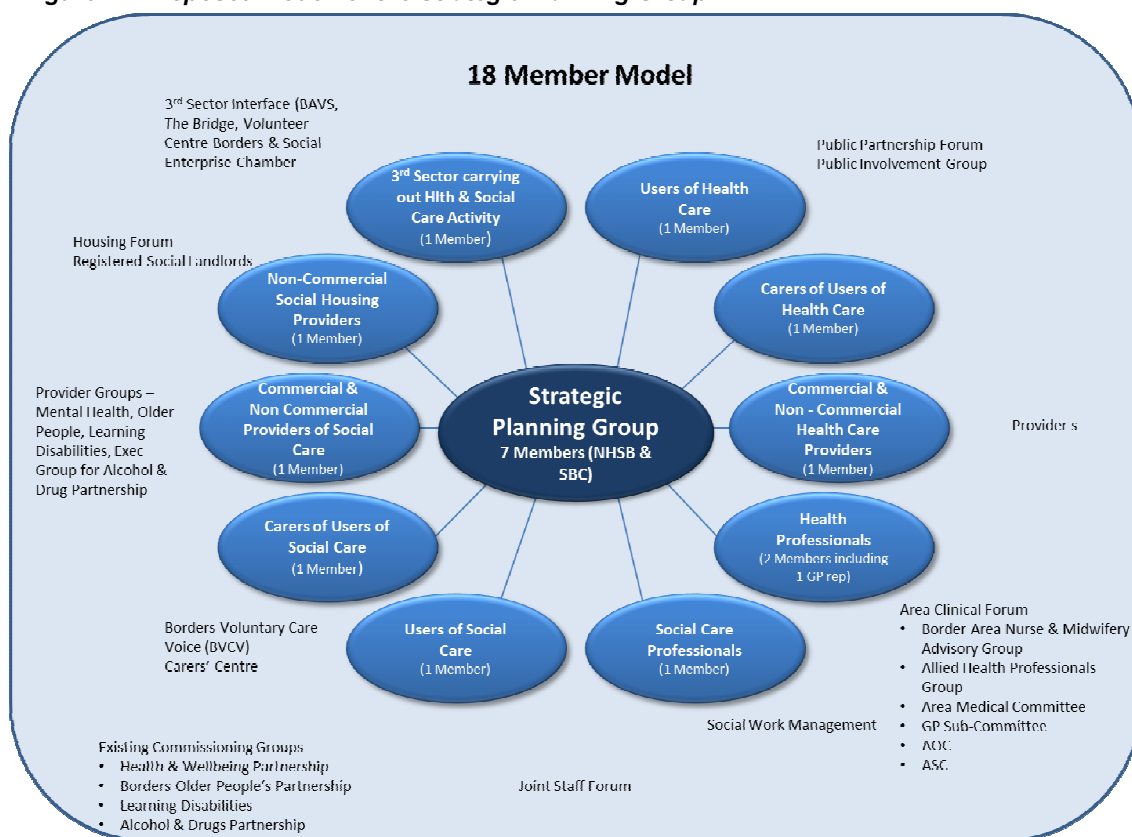
Role	No.	Description
Chair	1	Up to October 2015, it is proposed that the Chair be the Executive of the Strategic Planning Work Stream in the Integration Programme (currently Dr. Eric Baijal). After October 2015, this role will be taken by the Chief Officer.
NHS Reps	2	Lead officers in the drafting and commissioning process.
SBC Reps	2	Lead officers in the drafting and commissioning process
Staff Rep	2	Two representatives from the Joint Staff Forum

Other officials may be required to attend any meetings as and when required.

6.2 The proposal is for an 18 member model based on the seven representatives in Table 1, and single representatives from the prescribed groups – with the exception of Commercial and Non-Commercial providers of both Health Care (a single rep for the two groups) and similarly a single rep for both Commercial and Non-Commercial providers of Social Care.

Health professionals have two representatives, one of which is a GP rep see Figure 2 below.

Figure 2 – Proposed Model for the Strategic Planning Group



7 PROCESS & TIMESCALES FOR ESTABLISHING THE STRATEGIC PLANNING GROUP

- 7.1 If both the Council and NHS Board agree the model, representatives will be recruited to ensure that the Group is in place by end of March. A first induction meeting will be arranged at the end of March/beginning of April and the Group will need to be in place in time to review the first draft of the Strategic Commissioning Plan and the development of the second draft (scheduled from 6 April – 5 June).

8 TERMS OF REFERENCE

- 8.1 A draft Terms of Reference is set out in Appendix 2 and sets out both the role of the group and the role of representatives. The draft Terms of Reference are currently out to consultation with members of the Strategic Planning Project Board and will be updated in the light of their comments.
- 8.2 It is anticipated that, after initiation, the Strategic Planning Group will meet formally no more than once a quarter. A detailed schedule of meetings will be drafted in line with the cycle of developing, monitoring and renewing the Strategic Commissioning Plan. It is also anticipated that, at least annually, there would be a wider event involving the wider stakeholders that the Strategic Planning Group represents.

9 IMPLICATIONS

9.1 Financial

There are no specific costs attached to any of the recommendations contained in this report. Group members will be eligible for reasonable expenses and provision for this will be made within the Integration Joint Board budget.

9.2 Risk and Mitigations

There is a risk that, if the proposal is not approved, the Partnership will not be able to comply with the legislation or, if delayed, will not be able to meet the agreed timescales in terms of having a Strategic Commissioning Plan in place by end of October this year.

9.3 Equalities

An Equalities Impact Assessments has been carried out on this proposal as attached. It is anticipated that there will be no adverse equality implications.

9.4 Acting Sustainably

There are no adverse effects arising from the proposal.

9.5 Carbon Management

There are no adverse effects arising from the proposal.

9.6 Rural Proofing

There are no adverse effects arising from the proposal.

9.7 Changes to Scheme of Administration or Scheme of Delegation

There are no changes to be made to the Scheme of Administration of Scheme of Delegation.

10 CONSULTATION

10.1 The Chief Financial Officer, the Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, Service Director Capital Projects, the Chief Officer Audit and Risk, the Chief Officer HR, the Joint Director of Public Health and the Clerk to the Council have been consulted and their comments have been incorporated into the final report.

10.2 The Shadow Integration Board has also been consulted over these proposals and the recommendation reflects their preferred option.

Approved by

Jeanette McDiarmid
Depute Chief Executive People

Signature

Author(s)

Name	Designation and Contact Number
James Lamb	Portfolio Manager

Background Papers:**Previous Minute Reference:**

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Contact us at Scottish Borders Council, Council Headquarters, Newtown St Boswells, Melrose, Scottish Borders, TD6 0SA tel. 01835 826665 fax. 01835 825431.

ⁱ Section 29 of the Act lays the duty on the Integration Authority

ⁱⁱ Section 32 of the Act

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Strategic Planning Group – Terms of Reference

1. Purpose

This paper sets out the proposed terms of reference and role descriptions for the Borders Health and Social Care Partnership's Strategic Planning Group.

2. Background

The Integration of health and social care is the Scottish Government's ambitious programme of reform to improve services for people who use health and social care services. Underpinned by the Public Bodies (Joint Working) (Scotland) Act 2014, it aims to ensure that health and social care provision across Scotland is joined-up and seamless, especially for people with long term conditions and disabilities, many of whom are older people.

This means from April 2016, the partnership between NHS Borders and Scottish Borders Council will bring together:

- § All community health services
- § Adult social care services
- § Health visiting
- § Community dental services
- § Sexual health services
- § Unscheduled care

From 1 April 2016, Scottish Borders Council and NHS Borders will delegate responsibilities – and associated budgets - to a joint legal body called the 'Integrated Joint Board'. In the meantime, a Shadow Integration Board has been created to oversee the work.

Integration will see NHS, SBC and the voluntary and independent care partners, work as one to deliver services which are integrated around the needs of individuals, their carers and family members.

3. Strategic Commissioning Plan

As part of the requirements laid down in the Public Bodies (Joint Working) (Scotland) Act 2014, the Integration Joint Board must produce a Strategic Commissioning Plan that sets out how they will plan and deliver services for the Borders over the medium term (three years) and, through this, how they will meet the National Health and Wellbeing Outcomes and achieve the core aims of integration:

- To improve the quality and consistency of services for patients, carers, service users and their families;
- To provide seamless, integrated, quality health and social care services in order to care for people in their homes, or a homely setting, where it is safe to do so; and
- To ensure resources are used effectively and efficiently to deliver services that meet the needs of the increasing number of people with long term conditions and often complex needs, many of whom are older.

4. Strategic Planning Group (SPG)

Stakeholder Engagement

All stakeholders must be fully engaged in the preparation, publication and review of the Strategic Commissioning Plan as part of an on-going, cyclical process. To ensure this, the Act requires each Integration Authority to establish a Strategic Planning Group.

Role

The role of the Strategic Planning Group (SPG) is to support the Integration Joint Board in the cyclical development and finalising of the Plan and the continuing review of the progress in its delivery against the agreed national and local outcomes.

The Strategic Planning Group will be concerned with a series of questions throughout the commissioning process, such as the following, based on work by Audit Scotland:

- How many people will need services and what type will they need?
- What is the current provision, is it the right level, quality and cost?
- How can these services improve people's lives?
- Which Services will best achieve this?
- How do we develop these services at an affordable cost?
- How do we procure and deliver these services to best effect?
- How do we monitor and review these services?

The process itself does not start or end with the publication of the strategic commissioning plan. Engagement with stakeholders and the involvement of the Strategic Planning Group are all part of a continual, iterative cycle.

The role of the Strategic Planning Group is in developing and finalising the strategic commissioning plan and in continuing to review progress, measured against the statutory outcomes for health and wellbeing, and associated indicators. The Strategic Commissioning Plan should be revised as necessary (and at least every three years), with the involvement of the Strategic Planning Group.

Localities

The views of localities must be taken into account with the Integration Authority required to identify the most appropriate person to represent each locality on the Strategic Planning Group. Local flexibility is allowed, so that an individual can represent more than one locality.

5. Members Roles

Strategic commissioning is crucially about establishing a mature relationship between different partners from across the public, third and independent sectors in a way which will help to achieve the best services for the population. Providers themselves will bring knowledge and experience of their services and the outcomes they are delivering. Every partner has a role to play in strategic commissioning, and that is why it is important that local arrangements promote mature relationships and constructive dialogue.

Members will be expected to:

- represent their sector or professional area (community of interest) see table 1 below.
- ensure the interests of the agreed localities are represented
- develop and maintain the necessary links and networks with groups and individuals in their community of interest to enable views to be sought and represented over the development, review and renewal of the Strategic Commissioning Plan
- in the first year, to take an active role in the development of the initial draft of the Strategic Commissioning Plan (as well as the subsequent drafts)
- help ensure the Plan reflects the needs and expectations (and that there has been an adequate assessment of those needs and expectations) both across the borders and in the localities

Table 1 SPG Members and Their Respective Communities of Interest (Will be amended depending upon the chosen model).

SPG Member	Communities of Interest
a) health professionals;	Area Clinical Forum <ul style="list-style-type: none"> • Allied Health Professionals Advisory Committee • Area Dental Advisory Committee • Area Medical Committee • Area Ophthalmic Committee • Area Pharmaceutical Committee • Borders Area Nursing & Midwifery Advisory Committee • Medical Scientists Advisory Committee • GP Sub Committee
b) users of health care;	Public Partnership Forum and Public Involvement Group
c) carers of users of health care;	
d) commercial providers of health care;	Identified providers of Health Care
e) non-commercial providers of health care;	
f) social care professionals;	SBC's SW Management Group – which comprises Senior Managers from SW.
g) users of social care;	Borders Voluntary Care Voice (BVCV) and Carers Centre
h) carers of users of social care;	
i) commercial providers of social care;	Provider Groups already exist for those delivering services for Older People, Learning Disabilities, Mental Health along with the Executive Group for the Alcohol and Drug Partnership.
j) non-commercial providers of social care;	
k) non-commercial providers of social housing; and	Housing Forum/Registered Social Landlords
l) third sector bodies carrying out activities related to health care or social care.	Third Sector Interface arrangements are based around Berwickshire Associations of Voluntary Services, The Bridge, Volunteer Centre Borders and the Social Enterprise Chamber.

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Scottish Borders Council

Stage 1 Equality Impact Assessment

(For Early Proposals, Project Initiation)

1.	Title of Proposal:	Integration of Health and Social Care - Establishing a Strategic Planning Group Report
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(Please enter the title or reference for your proposal)

2.	Service Area:	Adult Health & Social Care
	Department:	Social Work – Joint Working with the NHS

(Please enter the department/service area submitting the proposal)

3.	Description:	<p>This report proposes arrangements for establishing a permanent Strategic Planning Group to support the arrangements for the Integration of Health and Social Care in the Borders.</p> <p>The report sets out:</p> <ul style="list-style-type: none">(a) The duty, under the Joint Working (Public Bodies) (Scotland) Act 2014 to establish a Strategic Planning Group to support the local arrangements for the integration of Health and Social Care.(b) Proposals for the composition of the Strategic Planning Group(c) The process and timescales for establishing the Strategic Planning Group(d) Draft Terms of Reference for the Strategic Planning Group, including a role description for the Group
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(Please enter a full description of your proposal including its aims and objectives)

4.	Relevance to the Equality Duty.		
	Do you believe your proposal has any relevance to the following duties of the Council under the Equality Act 2010? (If you believe that your proposal may have some relevance – however small please indicate yes)		
	Duty		Yes/No
	Elimination of discrimination (both direct & indirect), victimisation and harassment. <i>(Could your proposal discriminate? Or help eliminate discrimination?)</i>		The proposal does not discriminate.
	Promotion of equality of opportunity? <i>(Could your proposal help or hinder the Council with this)</i>		The proposal contributes to the wider Integration of Social Care and Health arrangements and to the National Health & Wellbeing Outcomes which includes the reduction of health inequalities.
	Foster good relations? <i>(Could your proposal help or hinder the council's relationships with those who have equality characteristics?)</i>		The Partnership's approach to developing its Health & Social Care arrangements involves engagement with all stakeholders. The proposal, itself, seeks to establish an advisory body which will represent all stakeholders including new and existing groups involved in commissioning services.

5.	Which groups of people may be impacted (both positively and negatively) if the proposal is advanced? (Please x all that apply).				
	Equality Characteristic	Impact			Description
		No Impact	Possible Positive Impact	Possible Negative Impact	
	Age (Older or younger people or a specific age grouping)		Yes		The proposals seek to establish a Standing Group that will support the Integration Joint Board (the key governance body behind our integrated Health and Social Care arrangement) with the engagement of all stakeholders in the development, review and renewal of its Strategic Commissioning Plan. As a result, this proposal aims to ensure that this stakeholder group has a

				voice in shaping the services that affect them.
Disability e.g. Effects on people with mental, physical, sensory impairment, learning disability, visible/invisible, progressive or recurring		Yes		As above.
Gender (Males, Females, Transgender or Transsexual people)	X			Not directly – but as stakeholders, the proposals aim to ensure that their views are represented as part of the stakeholder engagement through the Strategic Planning Group. Again this is related to the outcome on reducing health inequalities.
Race Groups: including colour, nationality, ethnic origins, including minorities (e.g. gypsy travellers, refugees, migrants and asylum seekers)	X			Not directly – but as stakeholders, the proposals aim to ensure that their views are represented as part of the stakeholder engagement through the Strategic Planning Group. Again this is related to the outcome on reducing health inequalities.
People with Religious or other Beliefs: different beliefs, customs (including atheists and those with no aligned belief)	X			Not directly – but as stakeholders, the proposals aim to ensure that their views are represented as part of the stakeholder engagement through the Strategic Planning Group. Again this is related to the outcome on reducing health inequalities.
Sexual Orientation, e.g. Lesbian, Gay, Bisexual, Heterosexual	X			Not directly – but as stakeholders, the proposals aim to ensure that their views are represented as part of the stakeholder engagement through the Strategic Planning Group. Again this is related to the outcome on reducing health inequalities.
Carers (those who have caring		Yes		Yes, this is a specific stakeholder group that will be represented on and by the Strategic Planning Group

responsibilities for someone with an equality Characteristic)				
Employees (those employed by the Council including full time, part time and temporary)		Yes		Again, staff will be involved represented on and by the Strategic Planning Group – in two respects – through their professional groupings and also via the Joint Staff Forum.

6.	Mitigation	
	Where you have identified a potential negative impact, please detail what mitigations will need to be put in place in order for your proposal to progress. If you are unsure of the answer please state this and recommend further investigation.	
	Characteristic	Mitigation

7.	How certain are you of the answers you have given?	
	Answer	Tick One
	Certain - I could provide evidence to support my answers if asked.	
	Fairly Certain – but don't have concrete evidence to support my answers so would recommend further assessment is conducted if the proposal is progressed.	X The proof will be in the implementation of the proposals.
	Not Certain – further assessment is recommended if proposal is progressed.	

Completed By			
Name	James Lamb	Service Area.	Integration of Health & Social Care
Post	Programme Manager	Date	5 th February 2015

This assessment should be presented to those making a decision about the progression of your proposal.

If it is agreed that your proposal will progress, you must send an electronic copy to corporate communications to publish on the webpage within 3 weeks of the decision.

For your records, please keep a copy of this Equality Impact Assessment form.

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**SCHEME OF ADMINISTRATION – LLP STRATEGIC
GOVERNANCE GROUP**

Report by Chief Executive

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 This report seeks approval for the remit of the LLP Strategic Governance Group, a Sub-Committee of Council, set up to carry out the monitoring and control functions required by the Council in connection with the SB Cares LLP.**
- 1.2 At its meeting on 29 January 2015, Council considered and approved the details of the partnership agreement setting out the key governance arrangements for the establishment of a Limited Liability Partnership (LLP) - SB Cares – for Adult Care Services; this decision also included the creation of a five Member Sub-Committee, the LLP Strategic Governance Group, to carry out the monitoring and control functions required by the Council in connection with the LLP. Membership of the Group was agreed as Councillors Brown, Greenwell, Mitchell, Renton, and White. It is further proposed that a non-Council member of the Health and Social Care Integration Shadow Board be added as a non-voting member of the LLP Strategic Governance Group.
- 1.3 The partnership agreement prescribes that the LLP Officers (Managing Director, Finance and Commercial Director, Head of Operations, and the Chairman of the Board) meet with the Council on a quarterly basis. It is proposed that the LLP Strategic Governance Group is established as a Sub-Committee of full Council to fulfil this requirement.
- 1.4 The remit of the LLP Strategic Governance Group is detailed in Appendix I to this report and covers the Committee’s decision-making and scrutiny activity including service performance, risks, financial management, quality, customer care, contractual compliance, and compliance with statutory obligations. The items included in the remit are taken from Parts 2 and 3 of the Partnership Agreement approved by Council at its meeting on 29 January 2015.

2 RECOMMENDATIONS

- 2.1 I recommend that the Council approves:-**
- (a) the addition of a non-Council member of the Health and Social Care Integration Shadow Board as a non-voting member of the LLP Strategic Governance Group;**

- (b) the inclusion of the LLP Strategic Governance Group as a Sub-Committee of Council in the Scheme of Administration; and**
- (c) the remit of the LLP Strategic Governance Group as detailed in Appendix I.**

3 BACKGROUND

- 3.1 At its meeting on 29 January 2015, Council considered and approved the details of the partnership agreement setting out the key governance arrangements for the establishment of a Limited Liability Partnership (LLP) - SB Cares – for Adult Care Services. Members agreed as part of these details to approve the creation of a five Member Sub-Committee, the LLP Strategic Governance Group, to monitor and regulate the LLP on behalf of the Council. Membership of the Group was agreed as Councillors Brown, Greenwell, Mitchell, Renton, and White.
- 3.2 The purpose of the partnership agreement is to govern the relationship between the Council and the LLP. The partnership agreement establishes spheres of decision making which are taken by the LLP and also those which cannot be taken without the written agreement of Scottish Borders Council. The partnership agreement also establishes the primary means of scrutiny of the LLP by the Council i.e. the LLP Strategic Governance Group.

4 LLP STRATEGIC GOVERNANCE GROUP

- 4.1 The LLP (SB Cares) has a Board of Management which will be responsible for all aspects of the LLP and its business. The Board of Management comprises the Managing Director, the Finance and Commercial Director, the Head of Operations, the Chairman, and up to five additional persons with the skills, knowledge or experience deemed necessary or useful to the Board of Management in fulfilling its obligations and functions.
- 4.2 The partnership agreement prescribes that the LLP Officers (Managing Director, Finance and Commercial Director, Head of Operations, and the Chairman of the Board) meet with the Council on a quarterly basis. It is proposed that the LLP Strategic Governance Group is established as a Sub-Committee of full Council to fulfil this requirement.
- 4.3 In previous discussions in the Members Reference Group, consideration was given to the inclusion of a non-Council member of the Health and Social Care Integration Shadow Board as a non-voting member of the LLP Strategic Governance Group. This was inadvertently missed from the report to Council on 29 January 2015, and it is proposed that the inclusion of this non-voting member be added now to the Group.
- 4.4 The remit of the LLP Strategic Governance Group is detailed in Appendix I to this report and covers the Committee's decision-making and scrutiny activity including service performance, risks, financial management, quality, customer care, contractual compliance, and compliance with statutory obligations. The items included in the remit are taken from Parts 2 and 3 of the Partnership Agreement approved by Council at its meeting on 29 January 2015. Matters not marked with an asterisk (*) are delegated to the Sub-Committee for decision or recommendation to Council; those matters marked with an asterisk are delegated to the Sub-Committee for consideration only, with a final decision being made by full Council.
- 4.5 The LLP Strategic Governance Group will be supported by the Chief Social Work Officer, Chief Financial Officer and other relevant officers of the Council who will act as advisers to the Committee.

5 IMPLICATIONS

5.1 Financial

There are no costs attached to any of the recommendations contained in this report.

5.2 Risk and Mitigations

There is a reputational and financial risk to the Council should it not monitor the business of the LLP. This is mitigated by the formation of the Sub-Committee which will consider matters as a minimum on a quarterly basis.

5.3 Equalities

There are no implications for any equality groups across the Borders from the proposals in this report.

5.4 Acting Sustainably

There are no economic, social or environmental effects from the proposals in this report.

5.5 Carbon Management

The proposals in this report will have no known effects on the Council's carbon emissions.

5.6 Rural Proofing

There is no impact on rural areas from the proposals in this report.

5.7 Changes to Scheme of Administration or Scheme of Delegation

The proposals in this report require an addition of a Sub-Committee of Council, in the form of the LLP Strategic Governance Group, to the Scheme of Administration, as detailed in Appendix I.

6 CONSULTATION

6.1 The Members Reference Group has had sight of a draft copy of the report at its meeting on 10 February 2015.

6.2 The Chief Financial Officer, the Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR have been consulted on this report.

Approved by

**Name
Title**

**Tracey Logan
Chief Executive**

Signature

Author(s)

Name	Designation and Contact Number
Jenny Wilkinson	Clerk to the Council 01835 825004

Background Papers: Nil

Previous Minute Reference: Scottish Borders Council, 29 January 2015

Note – You can get this document on tape, in Braille, large print and various computer formats by contacting the address below. Jenny Wilkinson can also give information on other language translations as well as providing additional copies.

Contact us at Jenny Wilkinson, Democratic Services, Council Headquarters, Newtown St Boswells, Melrose, TD6 0SA

LLP STRATEGIC GOVERNANCE GROUP

Constitution

- (a) Five Elected Members of Scottish Borders Council being:-
- (i) the Executive Member for Social Work
 - (ii) the Depute Leader (Finance)
 - (iii) three other Elected Members.
- (b) One non-Council member of the Health and Social Care Integration Shadow Board as a non-voting member.

Chairman

The Chairman shall be the Executive Member for Social Work.

Quorum

Three SBC Elected Members of the Sub-Committee shall constitute a quorum

Functions Referred

The following functions of the Council in relation to the LLP (SB Cares) shall stand referred to the Sub-Committee:-

Decision-Making

1. Approve the Scheme of Financial Governance for the LLP and any changes proposed, including but not limited to, the adoption of a Financial Risk Register, the delegation of financial approvals within set limits to particular officers or staff of the LLP and any approvals in respect of signatures on cheques.
- * 2. Approve all staffing matters affecting the terms and conditions of employees of the LLP, Early Retirement/Voluntary Severance, potential compulsory redundancies, the variation of HR Policies and Procedures, any variation in conditions of employment.
3. Approve the expansion or contraction of the business of the LLP, including the pursuit of, bid for, or provision of any new workstreams or Services, or the relinquishment of any existing workstream or Service.
4. Approve the cessation of any part of the Services provided by the LLP.
- *5. Approve the LLP Business Plan.
6. Approve or amend the LLP's scheme of internal delegation authorising named employees or Officers of the LLP to execute certain deeds on its behalf.
7. Approve amendments to the terms of Service Level Agreements.
8. Approve any significant amendments to the business structure of the LLP.
9. Approve any significant alteration to the nature of the LLP's business.
10. Approve the sale, disposal, assignment or otherwise alienation of any assets of the LLP of individual value of more than £50,000 or any interest in any properties.

11. Approve the acquisition of any assets of individual value of more than £50,000 or any interest in any properties.
12. Approve the issue of any loan capital or entry into any commitment with respect to the issue of any loan capital.
13. Approve the formation of any subsidiary, or acquisition of any shares in a company or participation in any partnership or joint venture.
14. Approve any closing down or the making of any material change to the nature scope or location of any business operation
15. Approve the amalgamation or merger with any other company or undertaking
16. Approve the entry into, variation or termination of any commitment by way of a transaction or series of related transactions (including any leasing transactions) which would involve the LLP in the payment or receipt of consideration and having an aggregate value in excess of £50,000
17. Approve any arrangement, contract or transaction which relates to capital expenditure with a value in excess of £50,000
18. Approve the creation of, or give permission to be created any mortgage, charge, encumbrance with other security interests whatsoever over the whole or part of the business undertakings or assets of the LLP or agree to do so, other than liens arising in the ordinary course of business or any charge arising by the operation or purported operation of title retention clauses and in the ordinary course of business
19. Approve any loan (otherwise and by way of a deposit with a bank or other institution the normal business of which includes acceptance of deposits), or, grant any credit (other than in the normal course of trading), or, give any guarantee (other than in the normal course of trading) or indemnity
20. Approve the appointment of a new bank or bank as the Banker to the LLP.
- *21. Approve any change to the status of the pension fund, pension benefit for employees or employer contributions.

Scrutiny

- *22. Monitor the financial records (including Profit and Loss Accounts, Balance Sheet and cash flow) and financial performance of the LLP. Such financial records shall be reported to the Local Authority annually, in arrears, and within one month of the end of the reporting period.
23. Monitor quarterly budgetary control statements to be submitted within one calendar month of each financial quarter close, and make any recommendations as appropriate to the LLP or to Council.
24. Monitor any Extra-Ordinary expenditure requirement which shall be reported by the LLP within one month of having been identified
25. Request any further information in the possession or control of the LLP regarding financial condition and operations of the LLP as the Local Authority may reasonably request.
26. Monitor any dispute arising between the LLP and any union representing its employees.

27. Monitor on a quarterly basis the performance of the LLP as measured against:
 - (a) the Key Performance Indicators contained within the Service Contract;
 - (b) the business plans of the LLP.
28. Monitor any claim made, or likely to be made, relating to the LLP, its Business or property (including any progress on such claims) and of which the LLP has knowledge which might impact financially or reputationally on the LLP, SB Supports or the Local Authority.
29. Monitor all reports prepared by the Care Inspectorate in respect of any part of the Services provided by the LLP.

NOTE: In terms of the LLP Partnership Agreement, any matters falling within Part 2 of the Schedule in the Agreement (Items 1 to 21 above) which are already detailed in the current approved LLP Business Plan, shall not require further specific approval.

Functions Delegated

All functions above NOT marked *. Those functions marked * are referred to the Sub-Committee for consideration and recommendation only and must receive approval of the Council.



A CULTURAL STRATEGY FOR THE SCOTTISH BORDERS

Report by Corporate Transformation and Services Director

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 **The purpose of this report is to recommend support for a Cultural Strategy for the Scottish Borders, and to agree the Council's role in delivering the Strategy in partnership with cultural sector stakeholders and businesses.**
- 1.2 The Scottish Borders has to compete to attract visitors, businesses, inward investment and in-migration. Culture has a central role in enhancing the region's competitiveness – through promoting a distinctive regional identity, creativity in business, employability and community entrepreneurship. A rich and varied cultural calendar will help to attract new residents and retain or draw back young people as well as enhancing the appeal of the Scottish Borders for inward investment and business relocation. The Strategy is one way of giving the cultural sector the means to shape the future of culture in the Scottish Borders for the greater benefit of all.
- 1.3 In September 2013, Scottish Borders Council (SBC), with financial support from Creative Scotland, commissioned a Cultural Strategy for the Scottish Borders. The commission was developed by a team of consultants under the direction of Noble Openshaw Limited (NOL).
- 1.4 The brief set out a clear central task for the Cultural Strategy for the Scottish Borders as a whole, and not just for SBC:
- "A cultural strategy for the Scottish Borders will establish shared priorities that will drive collaborative work across organisations and sectors and make it possible for us to contribute successfully to wider regional agendas and key national strategies." The brief also stressed the primary importance of developing the Cultural Strategy through a process of wide consultation across the cultural sector in the Scottish Borders including key national and regional public bodies. Over a three month period, NOL carried out a consultation exercise, completing the research in February prior to producing the final Strategy in March 2014.
- 1.5 Following the production of the Strategy, a draft Action Plan was prepared in consultation with the Creative Arts Business Network (CABN) Project Manager and presented to Creative Scotland in July 2014. The action plan is based on the themes of the strategy and potential partners have been identified. Creative Scotland welcomed the draft Action Plan. Using the Strategy as a guide, the Arts Development Team have been working with Creative Scotland and as a result have secured Creative Scotland grants to support creative learning both in an outwith schools and establish a rural touring network.

- 1.6 To achieve its Vision, the Strategy sets out four key aims supported by specific recommendations: Better Communication, Improved Connectivity, Valuing the Sector and Empowering the Creative Community. As part of "Improved Connectivity", the Strategy recommends establishing a Cultural Forum for the Scottish Borders and a Cultural Support Unit to work with the Forum. It is proposed that this Unit be provided by SBC's Creative Arts Business Network (CABN) to:
- (a) Enable and support partnerships and collaborative working
 - (b) Provide a secretariat to the Forum
 - (c) Act as "traffic manager" to signpost individuals and organisations developing new projects and initiatives to the best sources of information, advice and training.
- 1.7 The core Strategy concludes by connecting its recommendations to the priorities of SBC's Corporate Plan 2012/13 to 2017/18 and the 2013 Single Outcome Agreement between the Scottish Government and the Scottish Borders Community Planning Partnership.
- 1.8 Whilst NOL acknowledge that SBC should be the "*prime mover*" in facilitating the Strategy's recommendations, it notes it cannot do so alone, and the cultural sector as a whole requires a route by which it can be a crucial part of monitoring, and shaping, the Strategy's implementation. To address this, NOL have produced an Action Plan which outlines how the Strategy's recommendations can be implemented.
- 1.9 The Strategy has been welcomed, and well received, by the cultural sector in the Scottish Borders, is widely quoted and continues to inform funding applications from Borders-based organisations to external sources including Creative Scotland and Trusts and Foundations. The Strategy was recently referenced by Jura Consultants in the detailed business case for a new visitor centre for The Great Tapestry of Scotland. The Strategy will inform the strategic direction of any new organisation tasked with delivering SBC Cultural Services.
- 1.10 A Cultural Strategy for the Scottish Borders can be accessed at <http://sbcculturalstrategy.files.wordpress.com/2013/11/sbc-strategy-v-12-2-final-with-sbc-typos.pdf>

2 RECOMMENDATION

2.1 I recommend that Council:-

- (a) Approves the Cultural Strategy for the Scottish Borders; and**
- (b) Agrees that SBC Cultural Services takes a leadership role in implementing the Cultural Strategy towards implementation by providing the Cultural Support Unit, via the Creative Arts Business Network, as recommended in the Strategy.**

3 BACKGROUND

- 3.1 The need for a Cultural Strategy and associated delivery plan has been highlighted in a number of landmark reports, for example EKOS' 2012 profile of the 'Creative Sector in the South of Scotland' which strongly emphasised:

"... a need for a clear strategy for the development of the arts and creative industries at the South of Scotland level as there is an opportunity to combine resources and realise a greater vision for the sector." In addition, Scottish Borders Council (SBC) and Creative Scotland have been in discussion about a 'Place Partnership', which promises investment in the cultural sector in the Scottish Borders. Creative Scotland has been clear that this investment will need to be guided by an overarching strategic plan and framework. As a result, a Cultural Strategy for the Scottish Borders was commissioned by SBC with financial support from Creative Scotland.

- 3.2 The brief for the Cultural Strategy emphasised that the Strategy would be for the Scottish Borders, and not for one agency or partnership, and that it should consequently be developed from broad consultation, across the cultural sector in the Scottish Borders and with key national and regional public bodies.
- 3.3 Noble Openshaw Limited (NOL) were successful in their bid for the commission to produce the Cultural Strategy.

- 3.4 For the purposes of the Strategy, NOL define culture as:

- (a) All forms of the arts, including film, and forms using new technologies
- (b) Heritage, including museums, the built environment, traditions and other forms of 'intangible cultural heritage', libraries and archives
- (c) The creative industries, including 'creative services' such as design and marketing.

That wide definition brings substantial parts of the Council's provision into the scope of the Strategy: all of Cultural Services, and significant elements of Economic Development, Schools and Social Work Services.

- 3.5 NOL have produced a Strategy which they aim to be:

"visionary, broadly based, and long term (which) represents the issues ... discussed, and offers broad principles and recommendations for development which can be widely adopted, from national agencies to local voluntary groups and individual artists."

- 3.6 **Creative Arts Business Network (CABN)**

- a) Key to implementing and delivering the Cultural Strategy is the role of SBC's Creative Arts Business Network (CABN) which sits within the Arts Development Team. Based at Heart of Hawick, CABN provides dedicated support to individuals, businesses and groups working in the creative industries (music, literature, film, dance and drama) including specialised training and support, networking opportunities, funding advice and a grant scheme for Visual Artists and Crafts Makers.
- b) The origins of CABN can be traced back over 10 years; however support for the sector from SBC was not in place until 2009 when funding was secured on a year by year basis from external sources including LEADER (European funding programme) and Creative Scotland and matched with small amounts of core departmental budgets. The funding allows for a Project Manager, Clerical Assistant and programme activities.

- c) In 2014 CABN secured a three year grant from Creative Scotland's Place Partnership Programme. In calendar year 2014 the funding level was 100% of agreed costs. For calendar years 2015 and 2016, Creative Scotland are providing 50% of costs with SBC providing the balance. From 2017 the full costs will be met by other sourced grants and by SBC. These costs can be accommodated within the existing budgets.
- d) The value of CABN has been highlighted in previous reports to SBC's Economic Development Group. Throughout NOL interviews and consultation meetings for the Cultural Strategy, they were met with unanimous support for the work of CABN and consultees openly acknowledged the value and importance of those services available to the wider creative community. The Strategy states that "*CABN has shown how a small, lightweight unit can, through a clear purpose and good leadership, deliver benefits far beyond its apparent reach.*"

4 STRATEGIC FIT

4.1 Of the eight priorities in SBC's Corporate Plan 2012/13 to 2017/18, six are directly supported by the recommendations of the Cultural Strategy. The six relevant priorities are outlined below, followed by an illustrative recommendation for each of the priorities.

- (a) **Corporate Priority 1** Encouraging sustainable economic growth
Cultural Tourism Initiative – bringing tourism providers and people involved in cultural activities together to grow audiences and increase overnight stays across the Scottish Borders and throughout the year.
- (b) **Corporate Priority 2** Improving attainment and achievement levels for all our children and young people, both within and outwith the formal curriculum
Actively involving Young People in Culture - a more integrated approach to involving young people in culture, from pre-school to post-education e.g. through the Scottish Borders Creative Learning Network which champions creativity, the arts and culture in schools and communities within the overarching context of the Curriculum for Excellence.
- (c) **Corporate Priority 4** Building the capacity and resilience of our communities and voluntary sector
Establish a Cultural Forum for the Scottish Borders – an inclusive and representative Forum, to support the implementation of the Cultural Strategy, acting as a voice for the cultural sector and placing culture at the centre of plans and policies for the Scottish Borders. This would include planning for our cultural resources, for example the history, heritage, traditions and geography, as well as our buildings, natural heritage and the diversity of the skills and talents of people in our local communities.
- (d) **Corporate Priority 5** Maintaining and improving our high quality environment
Cultural Diversity – a commitment amongst public agencies to promote the cultural diversity of the region – its very different geographies; the distinct identity of its communities; and to valuing equally the historical, the traditional and the contemporary.

- (e) **Corporate Priority 6** Developing our workforce

Developing Leadership – the emergence of new leaders who can stimulate and develop opportunities within the cultural sector, fostered and supported through training, networking and information sharing.

- (f) **Corporate Priority 7** Developing our assets and resources

Tourism Promotion – fully representing the rich reality of Scottish Borders culture, both traditional and contemporary, in tourism promotion, and in place making initiatives for individual communities and for the Scottish Borders as a whole.

The recommendations above will be further developed during the planning and operational phases of the Strategy.

5 THE CULTURAL STRATEGY

- 5.1 The consultants headline the Strategy with a statement about the vitality of the cultural sector in the Scottish Borders:

“Despite its small and scattered population, the Scottish Borders has a cultural richness and diversity that can stand comparison with any other rural region in the UK, and not a few urban ones too. Not only are its history, traditions and built heritage of exceptional depth and quality, but it is home to an increasingly dynamic, ambitious, and internationally aware creative community. Its unique Border status gives it a special role to play in Scotland’s national identity.”

- 5.2 NOL begin the Strategy with a Profile of the cultural sector in the Scottish Borders in the form of an essay ‘Unweaving the Tweed’. It has been chiefly informed by in-depth conversations with individuals (in small groups and in open meetings) who are engaged in and shape the cultural scene in the Scottish Borders as well as by extensive desk research augmented by online surveys and questionnaires.

- 5.3 The profile is followed by the Strategy proper which draws out six key themes and triggers for action. These are:

- (a) Visibility NOL recommend a more co-ordinated approach to cultural tourism and a more confident, assertive promotion of the valuable work of SBC’s Cultural Services and their ongoing contribution to key strategic priorities.
- (b) Connectedness NOL highlight a leadership role for SBC “both in working with national and regional agencies and in partnering, supporting and guiding the cultural sector in the Scottish Borders.”
- (c) Sustainability NOL highlight “a vital need for short-term, bridging support” pointing to how “CABN has shown (that) a small, lightweight unit can, through a clear purpose and good leadership, deliver benefits far beyond its apparent reach.”
- (d) Traditions NOL recommend “a more integrated and holistic approach to the heritage of the region ... to work together (to) strengthen current offerings, augment the use of existing resources and facilitate collaboration and growth with education and tourism sectors.”
- (e) Ambition and Quality NOL reinforce the significant place and value of the creative industries to the Scottish Border’s economy. They recommend that new businesses born from the region’s rich textile heritage continue to be supported, and at the same time other creative businesses are nurtured to grow and blossom.

- (f) Unity and Diversity The lack of a single dominant centre in the Scottish Borders – a Dumfries or an Inverness – can lead to a challenging sense of entitlement i.e. that a given community may feel it has a right to retain, or aspire to, its own museum, festival or cultural venue. NOL suggest this cannot be addressed by applying rigid guidelines; what is required is an ongoing process of dialogue and negotiation.

6 AIMS

6.1 Out of the Strategy NOL have produced four headline Aims, each of which has a number of recommendations. Crucially, NOL recognise that “some of these are directed to SBC as the commissioning body, but most require partnership and cooperative working by national and regional agencies, other sectors and – above all – by cultural organisations, groups and people across the Scottish Borders.”

6.2 **Aim 1 : Better Communication**

- (a) Cultural Gathering – for those active across the cultural sector, a major cultural gathering held at least every third year to exchange ideas and develop collaboration, combined with training opportunities and briefings on regional and national policy.
- (b) Tourism Promotion – fully representing the rich reality of Scottish Borders culture, both traditional and contemporary, in tourism promotion, and in placemaking initiatives for individual communities and for the Scottish Borders as a whole.

6.3 **Aim 2 : Improved Connectivity**

- (a) An inclusive and representative Cultural Forum for the Scottish Borders –to support the implementation of the Cultural Strategy, acting as a voice for the cultural sector and linking with regional and national agencies, for example Creative Scotland, Museums Galleries Scotland, Education Scotland, VOCAL (National Association for Culture & Leisure Managers), Arts & Business Scotland and local and voluntary groups and organisations.
- (b) Cross-Border Collaborations - drawing on models that already exist to create a mechanism for fostering and supporting more, and more effective, cross-Border collaborations, for example the South of Scotland Visual Arts & Crafts Awards scheme in partnership with Dumfries and Galloway Council, Crossing Borders annual Art Trail and Borderlands.

6.4 **Aim 3 : Valuing the Sector**

- (a) Support the demonstration of Social and Economic Returns - demonstrating how cultural facilities, activity and groups deliver excellent social and economic returns on investment.
- (b) Cultural Tourism Initiative – bringing tourism providers and people involved in cultural activities together to grow audiences and increase overnight stays across the Scottish Borders and throughout the year.

6.5 **Aim 4 : Empowering the Creative Community**

- (a) Community Planning Partnership – integrating the role of culture within Community Planning Partnership processes and policies, and in future Single Outcome Agreements including contributing to the development of the national framework “How Good is Our Culture and Sport” (HGIOCS) which supports continuous improvement in culture and sport to recognise and evidence its wider contribution - a framework that is now included within Learning Community Inspections.
- (b) Developing Leadership – the emergence of new leaders who can stimulate and develop opportunities within the cultural sector, fostered and supported through training, networking and information sharing.

6.6 The Strategy has been welcomed, and well received, by the cultural sector in the Scottish Borders, is widely quoted and continues to inform funding applications from Borders-based organisations to external sources including Creative Scotland and Trusts and Foundations. The Strategy was recently referenced by Jura Consultants in the detailed business case for a new visitor centre for The Great Tapestry of Scotland. The Strategy will inform the strategic direction of any new organisation tasked with delivering SBC Cultural Services.

7 **NEXT STEPS**

7.1 Whilst NOL acknowledge that SBC should be the “prime mover” in facilitating the Strategy’s recommendations, it cannot do so alone, and the cultural sector as a whole requires a route by which it can be a crucial part of monitoring, and shaping, the Strategy’s implementation. NOL have addressed this by suggesting the following set of actions:

Action	Rationale	Responsibility
Establish Cultural Strategy Forum	The Forum will be a broad-ranging, inclusive and representative partnership that will oversee the delivery of the Strategy, acting as a voice for the cultural sector, and linking with comparable bodies in other sectors, such as Tourism and Health & Wellbeing.	SBC Cultural Services/CABN
Set up Cultural Support Unit	The Unit will be a secretariat to the Cultural Strategy Forum, supporting information and intelligence gathering and acting as ‘traffic manager’ to signpost individuals and organisations developing new projects and initiatives to the best sources of information, advice and training.	SBC Cultural Services/CABN
Establish a Concord of Agencies	It is proposed that SBC takes a lead role in establishing a Concordat for the Cultural Strategy, a broad-based agreement amongst public sector and voluntary/third sector agencies to support the aims of the Strategy and its underlying principles, and to be involved in the delivery of a Borders wide Action Plan.	SBC Cultural Services

Produce Cultural Services Development Plan	Cultural Services aims to finalise its own Development Plan by the end of June 2015 clearly demonstrating which elements of the Action Plan it can prioritise and deliver directly and where it will facilitate and enable others to implement the recommendations of the Strategy.	SBC Cultural Services
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7.2 Following the production of the Strategy, a draft Action Plan was prepared by Cultural Services and presented to Creative Scotland in July 2014. The action plan is based on the themes of the strategy and potential partners have been identified. Creative Scotland welcomed the draft Action Plan. Using the Strategy as a guide, the Arts Development Team have been working with Creative Scotland and as a result have secured Creative Scotland grants funds to deliver the proposed actions as follows:

- (a) Theme – Creative Learning - developing new and cultural initiatives in schools, lifting the profile of creativity within the context of Curriculum for Excellence. This area of work, funded by the Creative Scotland/ Education Scotland Creative Learning Network, provides hosted events between teachers, lecturers, artists and businesses, delivering professional learning. It is linked to the Wood Commission, funding research projects which demonstrate how creativity and employability skills can be developed, acquired and supported.
- (b) Theme – Creative Learning - strengthening the evidence base for the scope, scale and impact of creative activity amongst children and young people. Via Voice of My Own (VOMO), an Arts Programme Review Board has been established which aims to build a supportive environment to nurture enthusiasm and talent, stimulate creativity and innovation through youth led arts activity and empower young people. The VOMO Project Team have been working on an ambitious funding bid for the past 3-6 months and are awaiting confirmation of an funding application to Creative Scotland for £100,000 to deliver this area of work through digital media and establishing a local Web TV station. In addition to this VOMO secured £50,000 in October 2014 to delivered tailored training and accreditation in areas of deprivation and social disadvantage to enable young people to progress into further education, training and employment.
- (c) Theme – Rural Touring Network - bringing together local promoters – primarily the theatre venues in the Scottish Borders and programming and supporting volunteer led village hall committees, local theatre companies and touring companies. Arts Development in partnership with Heart of Hawick secured £20,000 in September 2014 to firmly establish a rural touring network for the Scottish Borders to attract more high quality performances to the area. The Rural Touring Network was launched in January 2015.

8 IMPLICATIONS

8.1 Financial

Key to SBC's ability to support the recommendations of the Cultural Strategy is the continued funding of CABN, as it is CABN that will in large part provide the resource to undertake the necessary work. As mentioned in paragraph 3.6 above this funding has been secured - initially by grant support from Creative Scotland and then incrementally by the provision of core SBC budget. There are no additional budget pressures linked to CABN's role in supporting the roll out of the Action Plan.

8.2 Risk and Mitigations

Risk	Mitigation
Failure to support the Strategy could put the Place Partnership investment at risk	Support the Cultural Strategy by refocusing and reprioritising the core work of CABN, and encouraging a set of deliverables and milestones aligned to Place Partnership
Failure to support the Strategy will result in a loss of goodwill from the cultural sector and potentially adverse media coverage	Seek SBC and partner support for the Strategy, and refocus resources to be based on delivery of key aims
Impact on funding applications from both SBC and external cultural organisations if SBC is seen not to support the Strategy	Support the Strategy, based on prioritisation of deliverables, and further develop relationship with aligned funding bodies, mainly Creative Scotland

8.3 Equalities

One of the underlying principles of the Strategy is that Culture should be available to all. An Equality Impact Assessment has been completed and it is anticipated there will be no adverse impact due to race, disability, gender, age, sexual orientation or religion/belief arising from the proposals contained in the Strategy or this report.

8.4 Acting Sustainably

A number of key principles on which the Strategy has been developed are based on acting sustainably. These are:

- Culture Supports Economic Growth and Tourism
- Culture Strengthens Communities
- Culture Promotes Innovation
- Culture is Crucial to Shaping the Future of the Scottish Borders.

8.5 Carbon Management

There is no impact on the Council's carbon emissions of doing what is proposed.

8.6 Rural Proofing

The Rural Proofing Checklist has been applied to the Cultural Strategy and it is anticipated there will be no adverse impact on the rural area from the proposals contained in the Strategy or this report.

8.7 Changes to Scheme of Administration or Scheme of Delegation

No changes are required to either the Scheme of Administration or the Scheme of Delegation as a result of the proposals in this report.

9 Consultation

- 9.1 The Chief Financial Officer, Monitoring Officer, Chief Legal Officer, Clerk to the Council, Director Strategy and Policy, Chief Officer Audit and Risk, and Chief Officer HR have been consulted on the contents of this report and their comments incorporated in the final report.
- 9.2 Key to the production of the Cultural Strategy was an extensive consultation exercise across the cultural sector in the Scottish Borders including key national and regional public bodies. Details of the consultation process is included in Section 7 of the Strategy.

Approved by

Corporate Transformation and Services Director

Signature:

Author(s)

Name	Designation and Contact Number
Ian Brown	Cultural Services Manager, 01835 826606
Susan Taylor	Marketing & Operations Manager, Heart of Hawick, 01450 360682
Lisa Denham	Arts Development Business Manager, 01750 726400

Background Papers: "A Cultural Strategy for the Scottish Borders", Noble Openshaw Ltd and commissioned by Scottish Borders Council, March 2014.

Previous Minute Reference:

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CULTURE TRUST

Report by Corporate Transformation and Services Director

SCOTTISH BORDERS COUNCIL

19 February 2015

1 PURPOSE AND SUMMARY

- 1.1 **This report informs Council of the positive response from Borders Sport and Leisure Trust (BSLT) agreeing to dialogue on an Integrated Culture and Sport Trust and considers the next steps.**
- 1.2 Council considered a report on 20 November 2014 on the Culture Trust, approved further investigation of an Integrated Culture and Sport Trust with BSLT, and requested a report back to Council in February 2015. This report provides the outcome of these investigations.
- 1.3 A formal request was made to BSLT in December to consider dialogue with SBC on an Integrated Culture and Sport Trust.
- 1.4 BSLT convened a special meeting for February 9th 2015 to discuss this proposal.
- 1.5 BSLT have now agreed to dialogue over an Integrated Culture and Sport Trust.

2 RECOMMENDATIONS

- 2.1 **I recommend that Council:-**
 - (a) **Notes the decision of Borders Sport and Leisure Trust (BSLT) agreeing to the Council's request for dialogue about a Joint Trust**
 - (b) **Approves the approach to joint working with BSLT in considering an Integrated Culture and Sport Trust including the terms of reference for this work.**
 - (c) **Authorises the Corporate Transformation & Services Director in consultation with the Leader of the Council and Executive Member for Culture, Sport, Youth and Communities to agree such amendments as may be required to these terms of reference following discussion with BSLT.**
 - (d) **Requests a progress report back to Council in June 2015 and a final report by no later than 8 October 2015 on the viability or not of an integrated trust.**

- (e) Notes any the financial implications associated with a delay in the implementation of Cultural Services to a trust will be addressed as part of the revenue monitoring process**

3 BACKGROUND

- 3.1 On 20th November 2014 a report was presented to Council on the Culture Trust requesting time to investigate the feasibility of an Integrated Culture and Sport Trust before deciding to go ahead with a separate Culture Trust.
- 3.2 Officers see the benefits of an integrated trust being:-
- (a) Economies of scale in senior management and back-office functions. BSLT already has a number of support functions in place such as finance, payroll and IT that could be built on for an integrated trust.
 - (b) Business activities such as IT, finance, marketing, branding, PR, business development that could be shared.
 - (c) A single board of trustees required.
 - (d) An integrated trust would have a higher profile than two smaller trusts alone with the public, the press and with the Council.
 - (e) Would benefit from the ten years of trust experience of BSLT.
 - (f) Reduces any risk of competing or conflicting strategies between the two trusts.
 - (g) Cross-marketing initiatives, e.g. High Life Highland membership card covers sport and culture venues. Could encourage use of both by different groups.
 - (h) BSLT has a proven track record, has Charitable Status in place and would not face the same challenges that a new trust would face. This is valuable experience that can be drawn on in an integrated trust.
- 3.3 Officers see the challenges an integrated trust being:-
- (a) Change management: integrating two groups of staff from two different business cultures, i.e. one group of staff who are used to being in a trust and another who are not. Both groups must be fully integrated to succeed.
 - (b) Sport and leisure has more income-generating potential than culture. Steps would have to be taken to ensure that sport and leisure is not financially threatened by the less commercial aspects of culture.
 - (c) Balancing the needs of culture and sport to ensure both meet their objectives. This will need the right mix of skills within the management team and trustees to do this.

4 DIALOGUE WITH BSLT

- 4.1 A formal approach was made to BSLT in December 2014 requesting dialogue on an Integrated Culture and Sport Trust. A number of initial meetings between BSLT and SBC have also taken place to discuss the request and the wider concept.
- 4.2 At a special meeting of the BSLT board on 9th February 2015 they agreed to enter into dialogue with SBC over an integrated trust.

5 NEXT STEPS

- 5.1 It is recommended that the following are the terms of reference for the joint work:
- (a) To jointly undertake work for the Council and BSLT in taking forward consideration of a joint sport and culture trust
 - (b) To conclude, or not, that there is a sustainable business case for an integrated trust
 - (c) In reaching its conclusions to consider the:
 - i. Experience of integrated trusts elsewhere in Scotland
 - ii. Optimum scope of an integrated trust in the Borders
 - iii. Appropriate governance arrangements for an integrated trust
 - iv. Optimum approach for the provision of support services including (but not exclusively) property, IT, finance and HR
 - v. Likely risks in establishing an integrated trust
 - vi. Any other matters that are identified as being relevant and contribute to the outcome of the group's work

Following approval of these terms of reference by Council these should be discussed further with BSLT and in the event that any amendments are required it is further recommended that the Corporate Transformation & Services Director is authorised, in consultation with the Leader of the Council and Executive Member for Culture, Sport, Youth and Communities Portfolio to agree such amendments.

- 5.2 It is recommended that a Joint Officer Working Group made up of officers from SBC and BSLT work together on the feasibility of an Integrated Culture and Sport Trust and to prepare proposals. This work will include management and delivery of services and could include dialogue with service users and stakeholders. That is likely to include the Culture Forum. The working group will be supported by the project manager who has managed the work to date in considering establishment of the Culture Trust and who therefore has a significant amount of knowledge and understanding of the issues to be considered in respect of an integrated trust.
- 5.3 It is recommended that the current Member Officer Working Group (MOWG) would continue in order to examine and support the work of the Joint Officer Working Group and contribute to discussions with BSLT board members; the key outcome of this work being to arrive at a set of recommendations, or not, for the establishment of an integrated trust.
- 5.4 The Joint Officer Working Group should discuss proposals with the MOWG and if requested the BSLT Board regularly to identify issues and receive feedback.
- 5.5 It is recommended that officers be requested to prepare a progress report back to Council and the BSLT board in June and a final report on the feasibility of an integrated trust no later than 8 October 2015.
- 5.6 Appendix 1 shows a diagram of the proposed joint approach.

6 IMPLICATIONS

- 6.1 The establishment of a culture trust may now be delayed beyond the previously assumed go live date of 31 October 2015. Any temporary budgetary impact of this delay, is a phasing issue which will be addressed within the council's revenue monitoring process

7 RISKS AND MITIGATIONS

- 7.1 Risks associated with an integrated trust would be examined as part of the next steps.

8 IMPLICATIONS

8.1 Financial

Any cost implications are already incorporated throughout this report.

8.2 Equalities

A full equalities impact assessment was undertaken for the February 2014 report. It was revised in light of the recommendations in this report and has not identified any significant equalities issues and none that cannot be addressed through a robust SLA between the Council and Trust.

8.3 Acting Sustainably

Acting Sustainably. The purpose of this investigation is to produce services that can be made resilient and sustainable.

8.4 Carbon Management

Cultural Services manage a significant proportion of the Council's operational facilities (in 2008, Cultural Services managed 10% of the total Council estate; 10% of the value, 8.6% of the number of assets and 10.8% of the gross floor area). Consideration will be given to how the carbon footprint of the Council might be reduced by collocation of services in a Trust.

8.5 Rural Proofing

Sustaining the rural reach of services has been a key consideration in the options appraisal. A rural proofing assessment was undertaken for the February report. There is nothing in the content or recommendations of this report to change the view that the rural reach of services is better protected by transferring services to a Trust.

8.6 Changes to Scheme of Administration or Scheme of Delegation

Changes to the Scheme of Administration or Scheme of Delegation. The Council's scheme of administration and delegation will require amendment when a Trust is operational.

9 CONSULTATION

- 9.1 Given the timing of the decision by BSLT on 9th February, normal consultation has not been completed yet. The Chief Financial Officer, the Monitoring Officer, the Chief Legal Officer, the Service Director Strategy and Policy, the Chief Officer Audit and Risk, the Chief Officer HR, and the Clerk to the Council will be consulted. Should they have any comments these will be reported to Council.

Approved by

**Rob Dickson
Corporate Transformation
and Services Director**

Signature

Author(s)

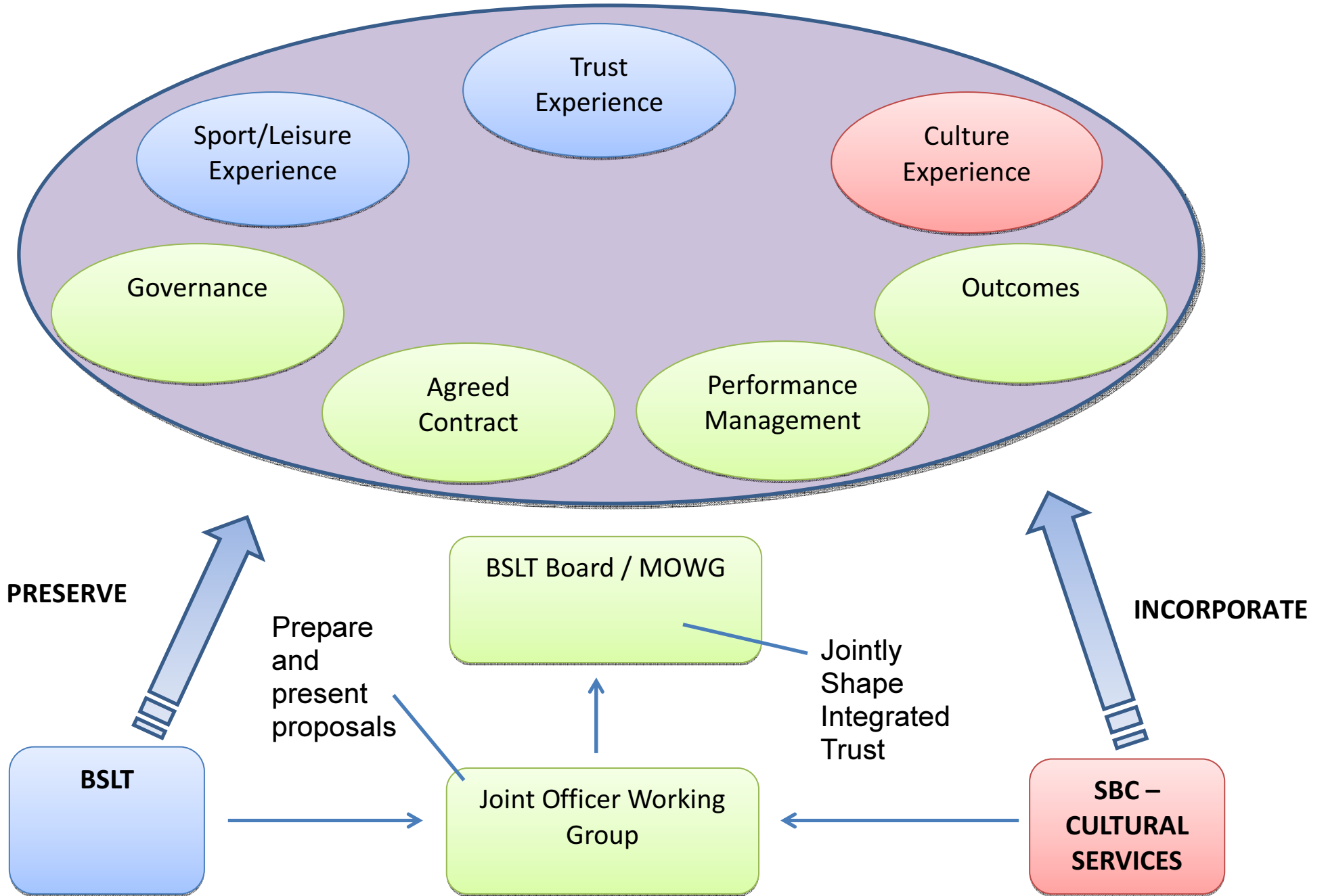
Name	Designation and Contact Number
Stephen Roy	Project Manager 01835 824000

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Contact Stephen Roy, Project Manager, Scottish Borders Council, Council Headquarters, Newtown St Boswells, TD6 0SA, telephone 01835 824000.

APPENDIX 1 : APPROACH TO DIALOGUE & JOINT WORK WITH BSLT

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